

# Development of Large-Area GEM Detectors for the Forward Muon Endcap Upgrade of the CMS Experiment and Search for the SM Higgs Boson Decay in the $H \rightarrow \tau^- \tau^+ \rightarrow \mu^- \mu^+ \bar{\nu}_\mu \nu_\mu \nu_\tau \bar{\nu}_\tau$ Channel

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Ph.D. Dissertation Defense: Vallary Bhopatkar

Thesis Advisor: Prof. Marcus Hohlmann

29 Nov 2017

# Outline

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- The Compact Muon Solenoid (CMS) Experiment at the LHC, CERN

## Part I

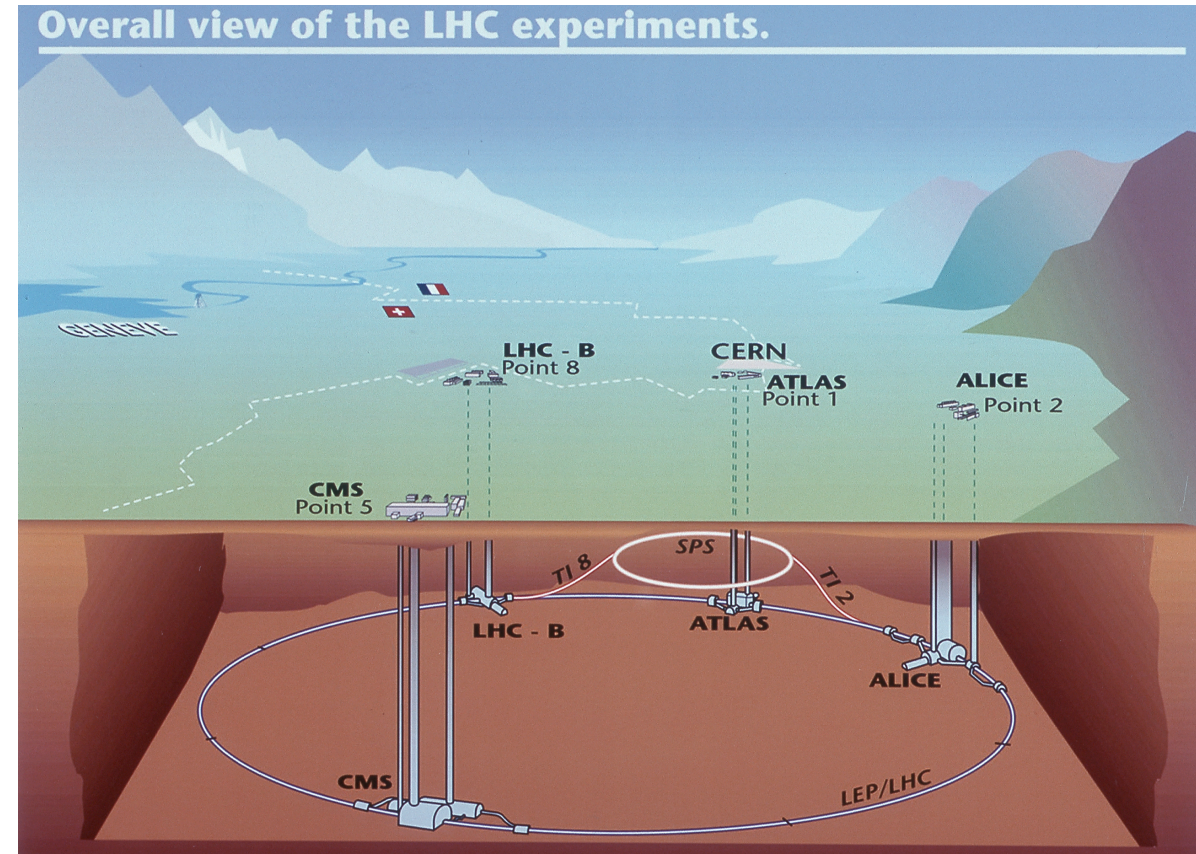
- GEMs Technology for the CMS Phase II Muon Endcap Upgrade
  - Gaseous Electron Multiplier (GEM) Detector
  - Fermilab Beam Test Results
  - Upgrade Status

## Part II

- Physics Analyses with CMS Run II Data (2015, 2016)
  - Standard Model (SM) Higgs Boson
  - $Z \rightarrow \tau\tau$  Cross Section Measurements
  - $H \rightarrow \tau\tau \rightarrow \mu\mu$  Analysis
- Summary

# Large Hadron Collider (LHC)

- Largest and most powerful circular particle collider
- Built at CERN (European Center for Nuclear Research), Geneva, Switzerland
- Consist of 7 detectors-
  - ATLAS: A Toroidal LHC ApparatuS
  - ALICE: A Large Ion Collider Experiment
  - CMS: Compact Muon Solenoid**
  - LHCb: Large Hadron Collider beauty
  - LHCf: Large Hadron Collider forward
  - TOTEM: Total Elastic and diffractive cross section Measurements
  - MoeDAL: Monopole and Exotic Detector At the LHC



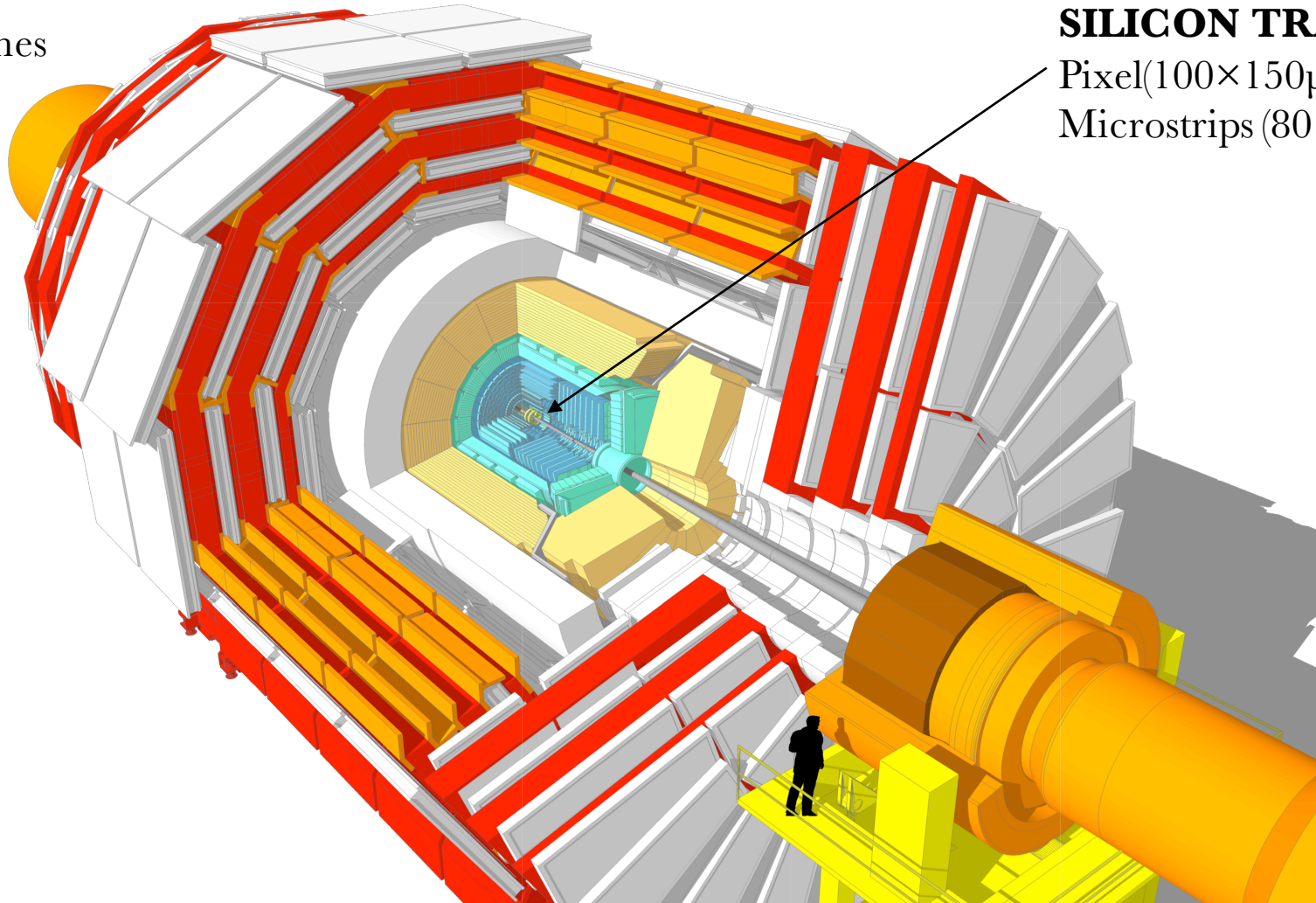
# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight 14,000 tonnes  
Overall diameter 15m  
Overall length 28.7 m  
Magnetic field 3.8T

## SILICON TRACKER

Pixel( $100 \times 150 \mu\text{m}$ )  $\sim 66\text{M}$  Channels  
Microstrips ( $80 \times 180 \mu\text{m}$ )  $\sim 9.6\text{M}$  Channels





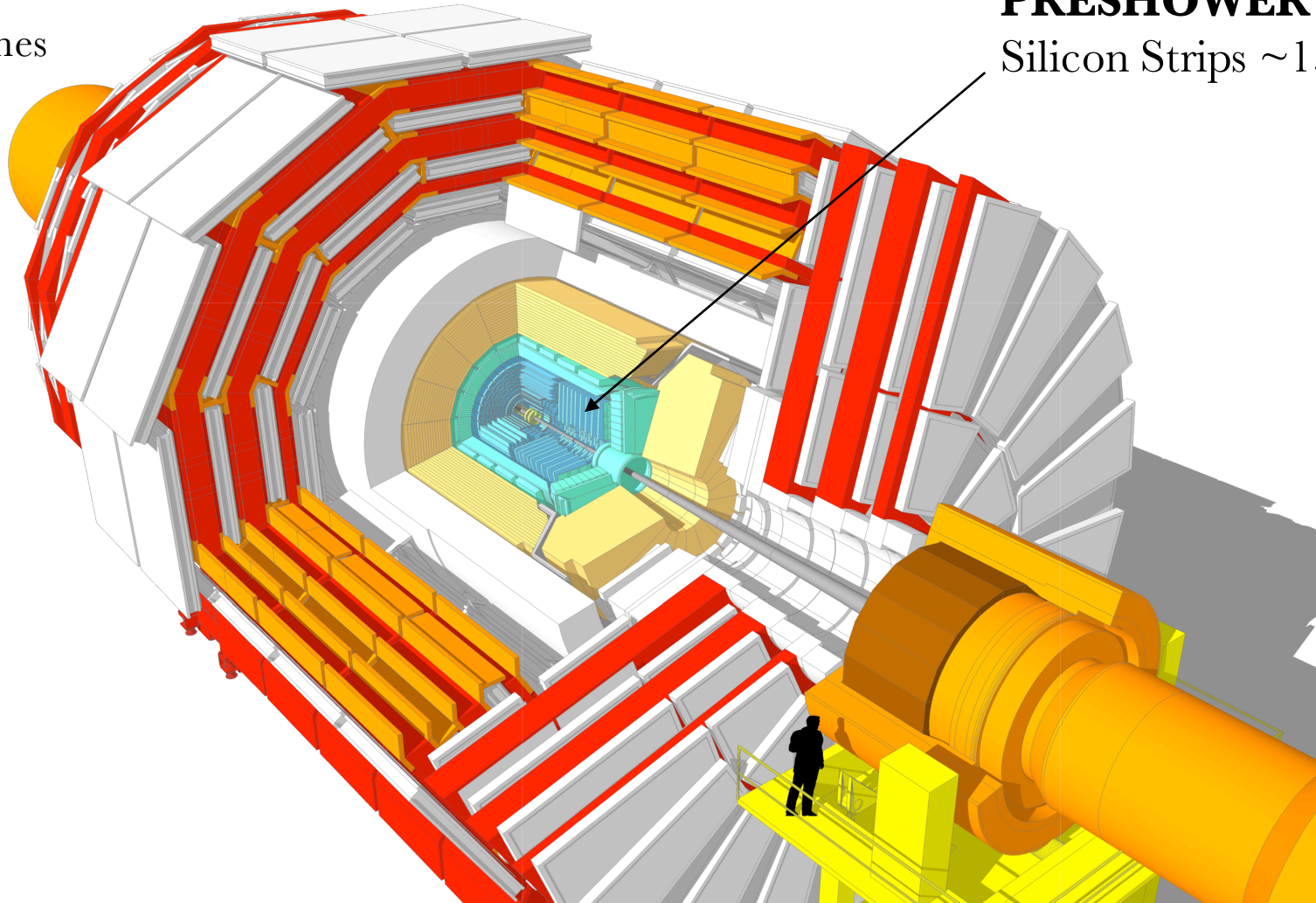
# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight 14,000 tonnes  
Overall diameter 15m  
Overall length 28.7 m  
Magnetic field 3.8T

## PRESHOWER

Silicon Strips ~137,000 channels



# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight 14,000 tonnes

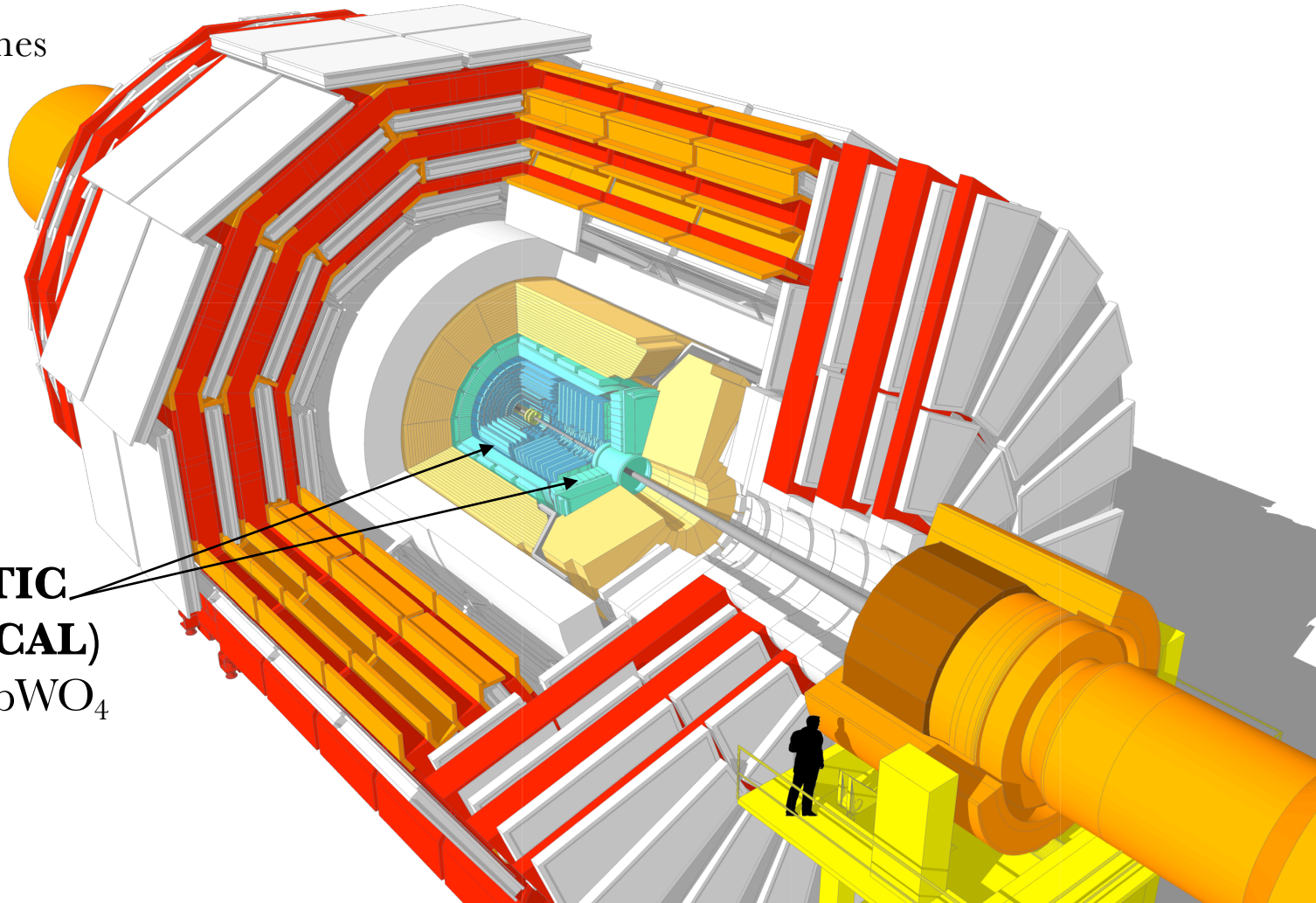
Overall diameter 15m

Overall length 28.7 m

Magnetic field 3.8T

## CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)

~76,000 scintillating  $\text{PbWO}_4$   
crystals



# Compact Muon Solenoid (CMS) Experiment

## **CMS DETECTOR**

Total weight 14,000 tonnes

Overall diameter 15m

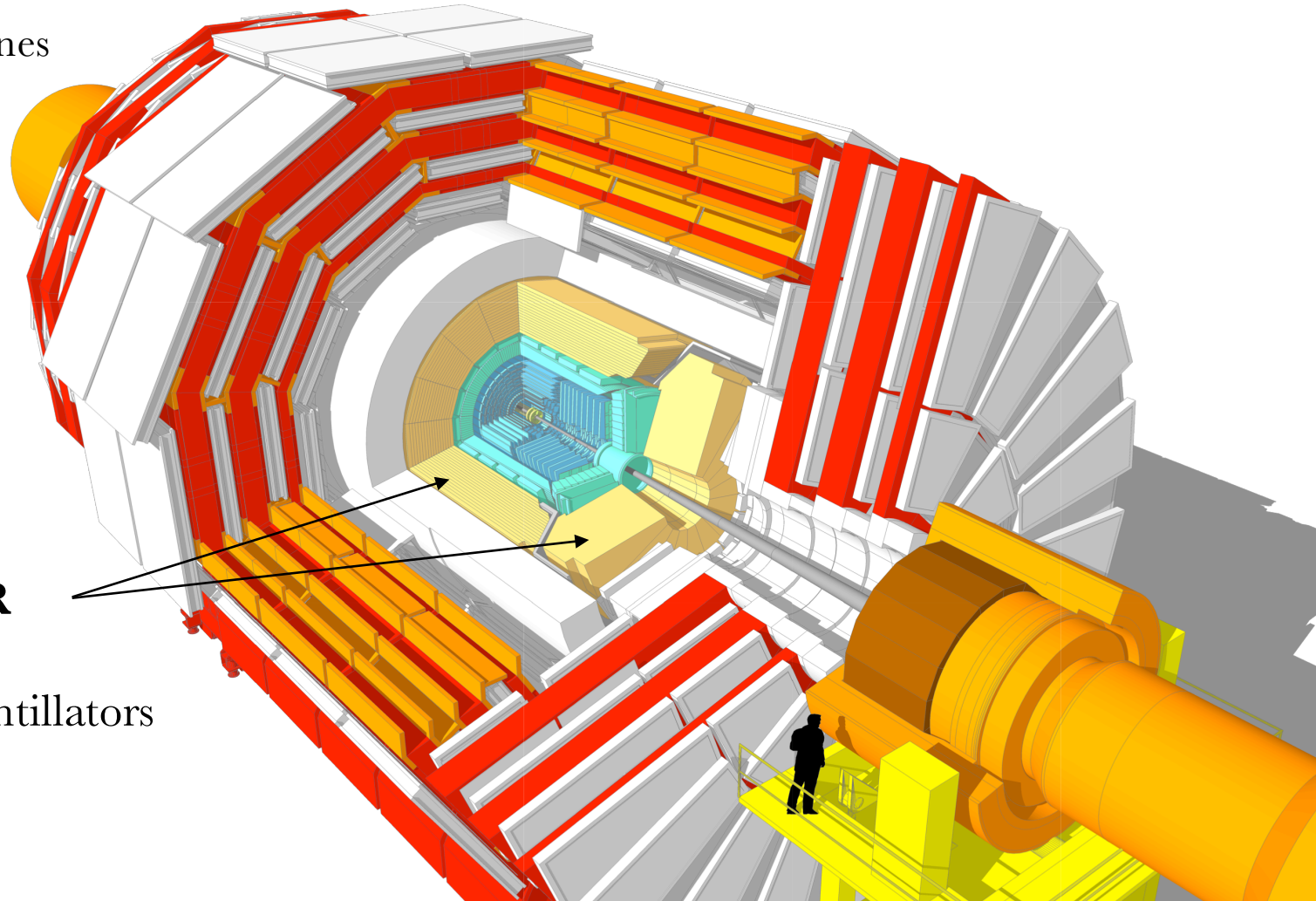
Overall length 28.7 m

Magnetic field 3.8T

## **HADRON CALORIMETER (HCAL)**

Brass + Plastic Scintillators

~7,000 Channels





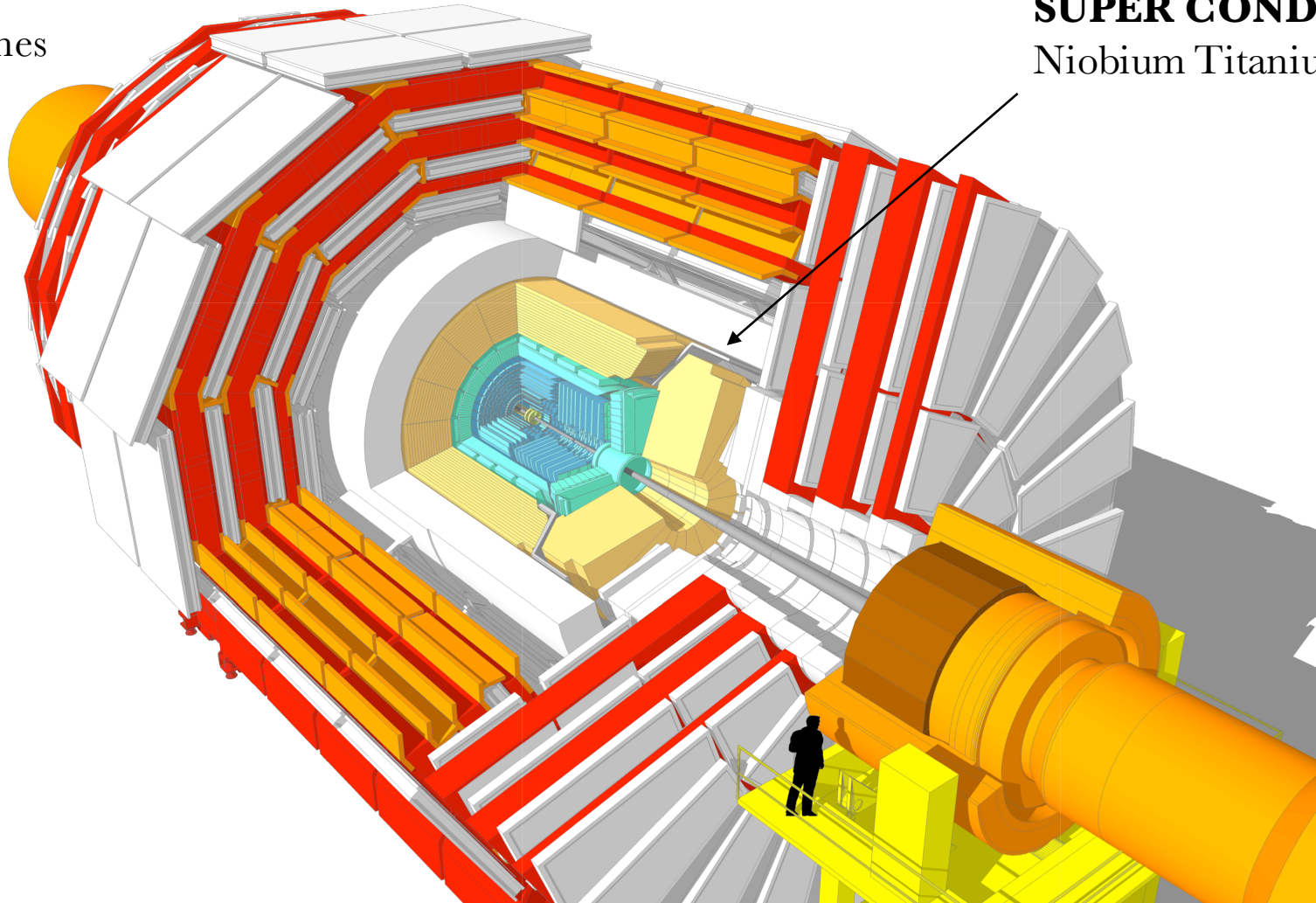
# Compact Muon Solenoid (CMS) Experiment

## **CMS DETECTOR**

Total weight 14,000 tonnes  
Overall diameter 15m  
Overall length 28.7 m  
Magnetic field 3.8T

## **SUPER CONDUCTING SOLENOID**

Niobium Titanium coil carrying  $\sim 18,000\text{A}$





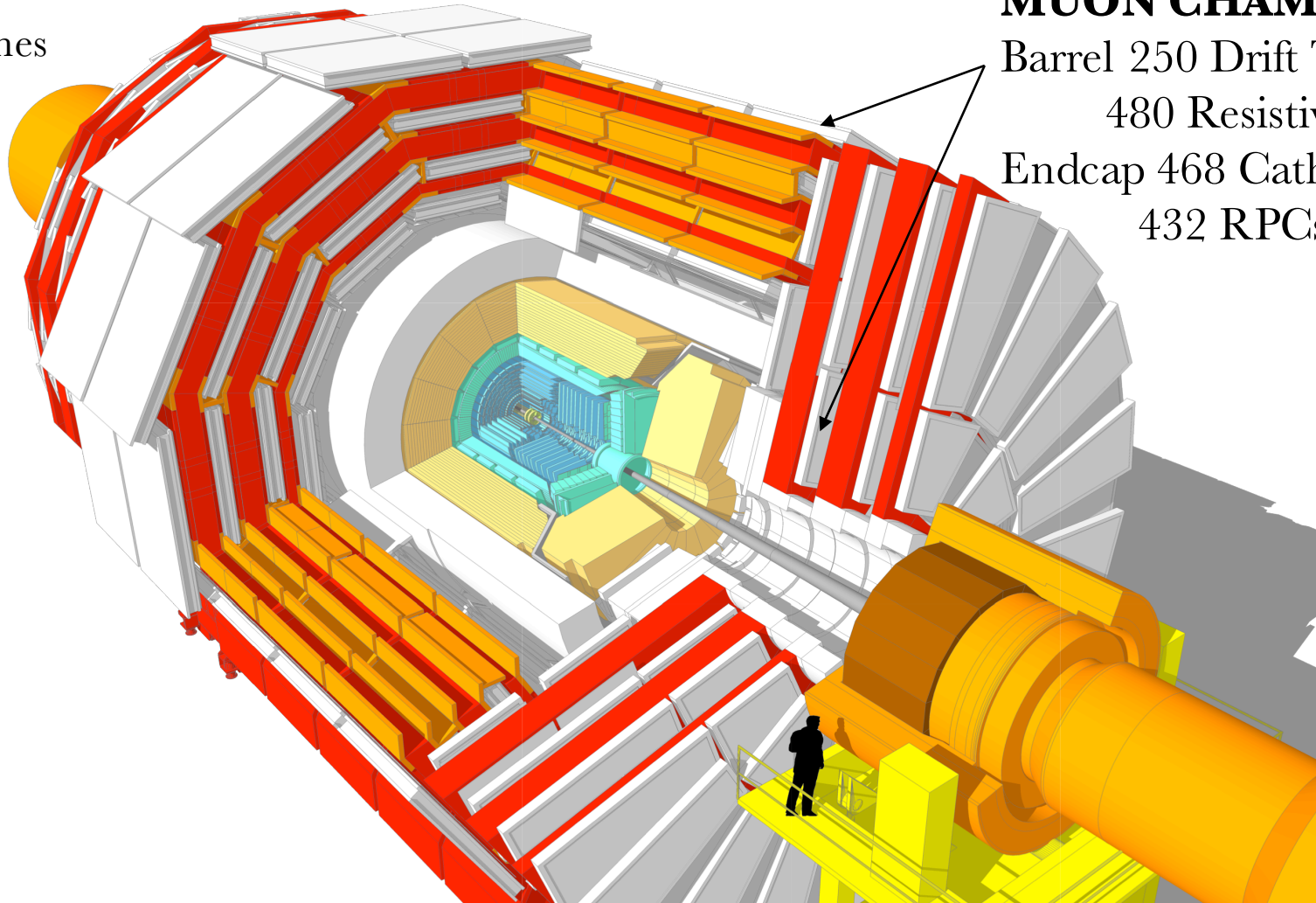
# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight 14,000 tonnes  
Overall diameter 15m  
Overall length 28.7 m  
Magnetic field 3.8T

## MUON CHAMBERS

Barrel 250 Drift Tube (DT),  
480 Resistive Plate Chambers (RPC)  
Endcap 468 Cathode strips (CSC),  
432 RPCs



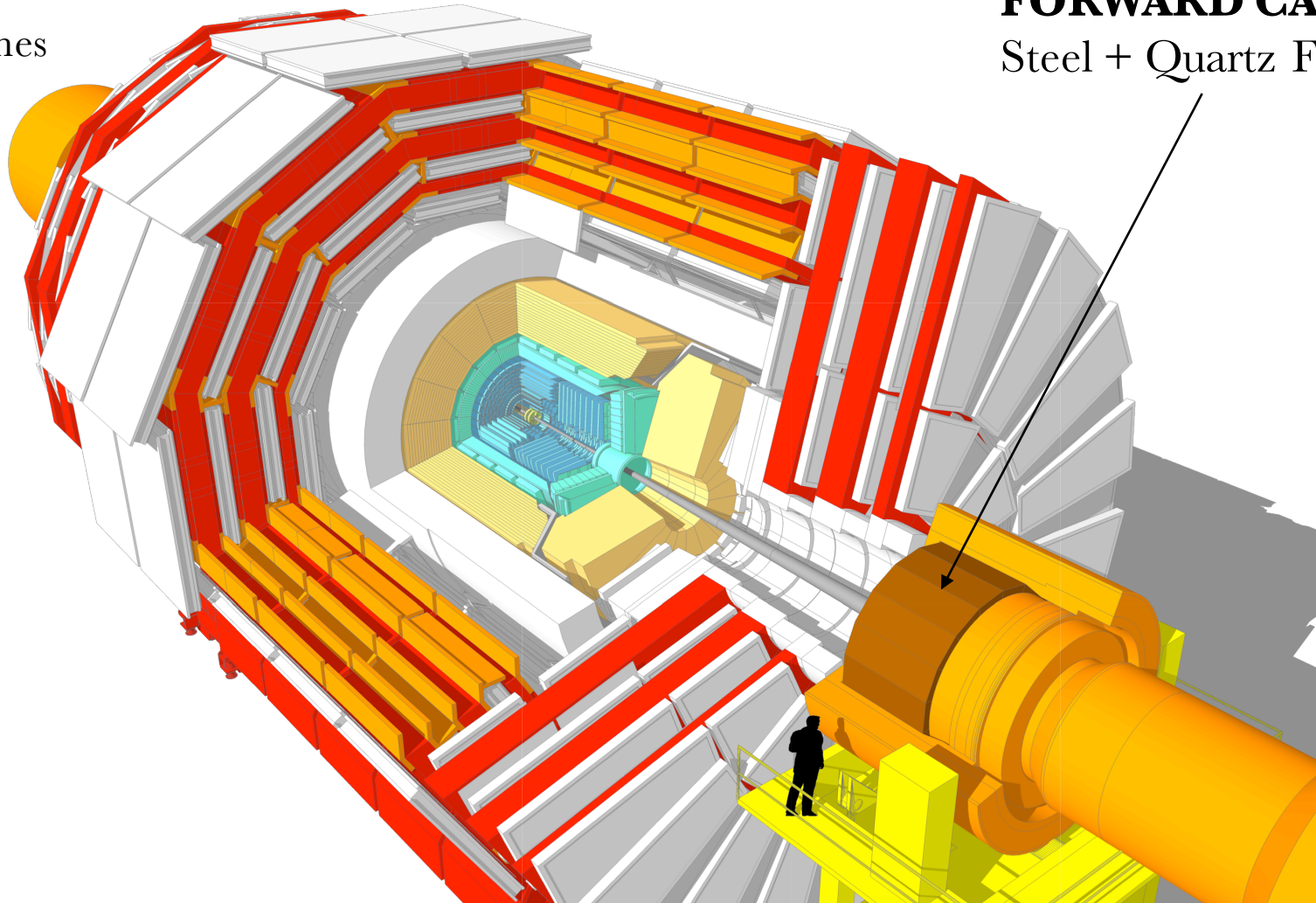
# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight 14,000 tonnes  
Overall diameter 15m  
Overall length 28.7 m  
Magnetic field 3.8T

## FORWARD CALORIMETER

Steel + Quartz Fiber ~2,000 Channels



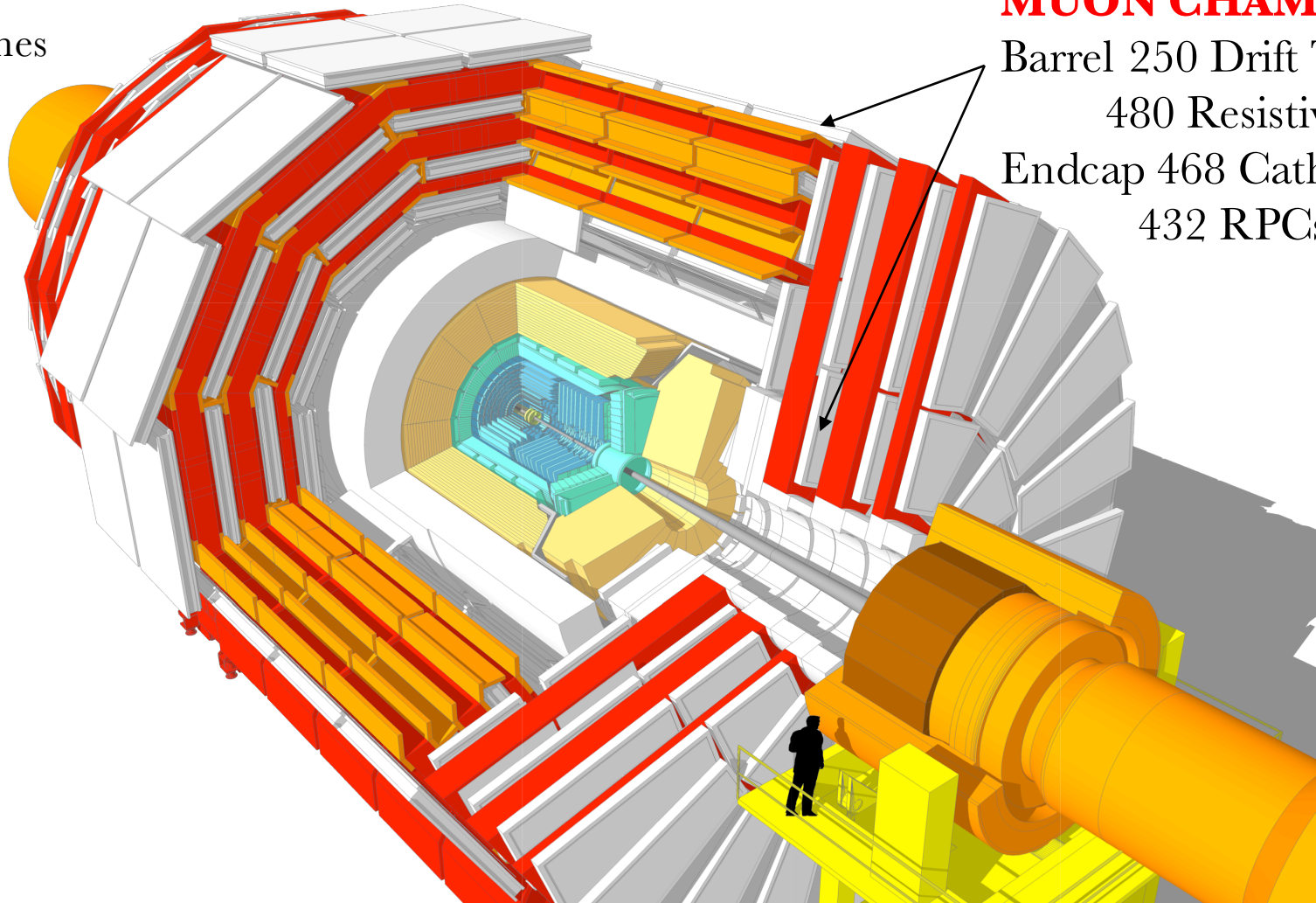
# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight 14,000 tonnes  
Overall diameter 15m  
Overall length 28.7 m  
Magnetic field 3.8T

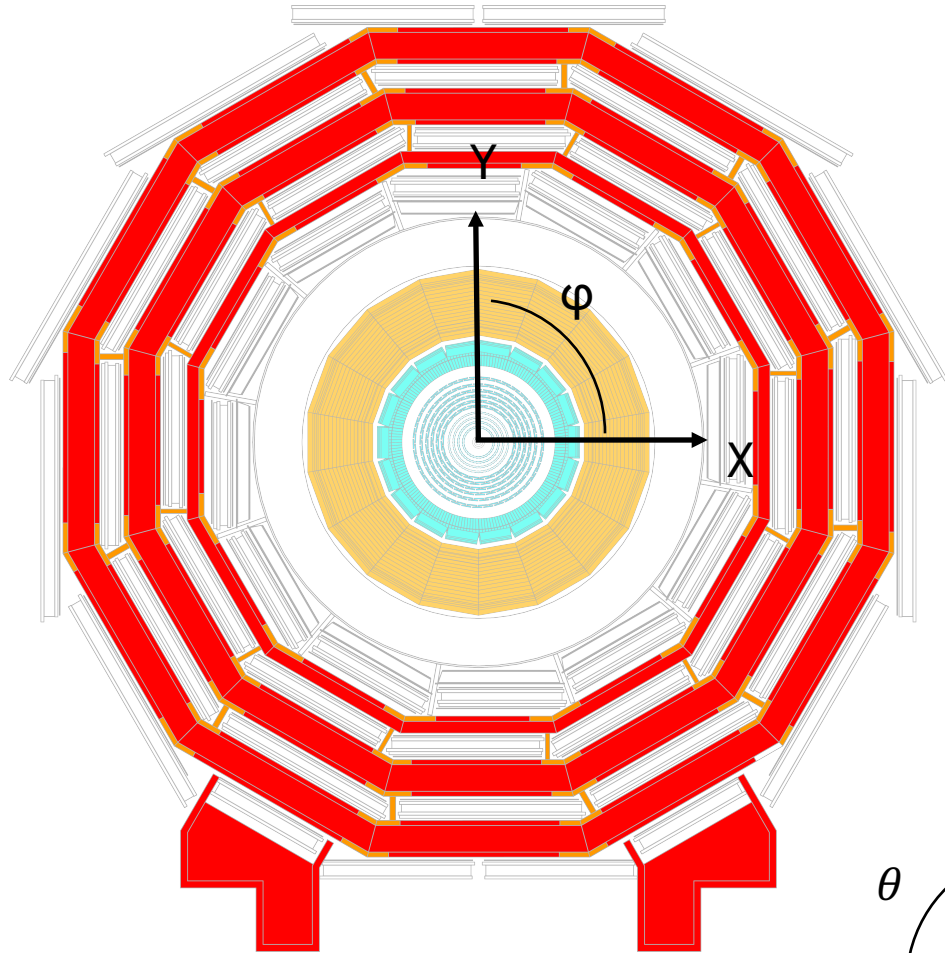
## MUON CHAMBERS

Barrel 250 Drift Tube (DT),  
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Endcap 468 Cathode strips (CSC),  
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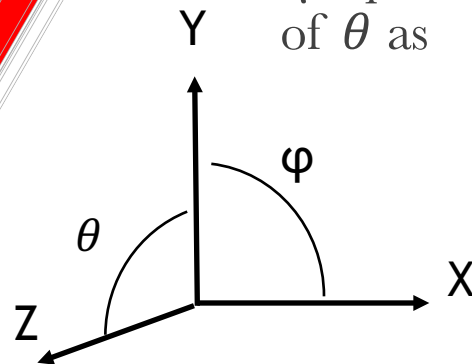


# Coordinate Systems

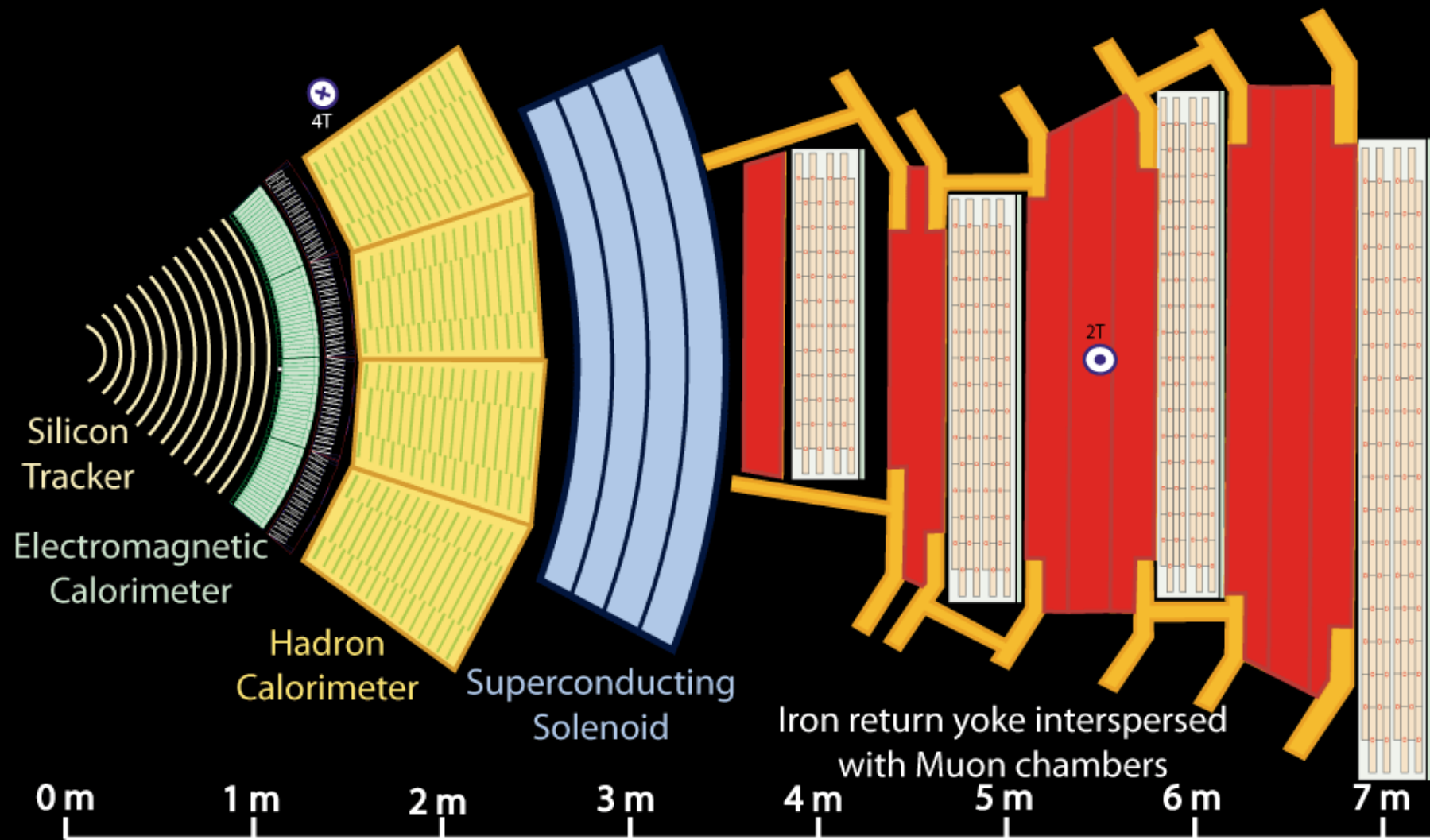


- X directed towards the center of LHC
- Y is in the upwards direction
- Z is along the beam line
- $\Phi$  is in the X-Y plane starting from X -axis
- $\theta$  is in the plane of Y-Z
- In CMS preferred coordinates are  $\Phi$ - $\eta$ , where  $\eta$  is pseudorapidity and defined with in terms of  $\theta$  as

$$\eta = -\ln \left[ \tan \left( \frac{\theta}{2} \right) \right]$$







Key:

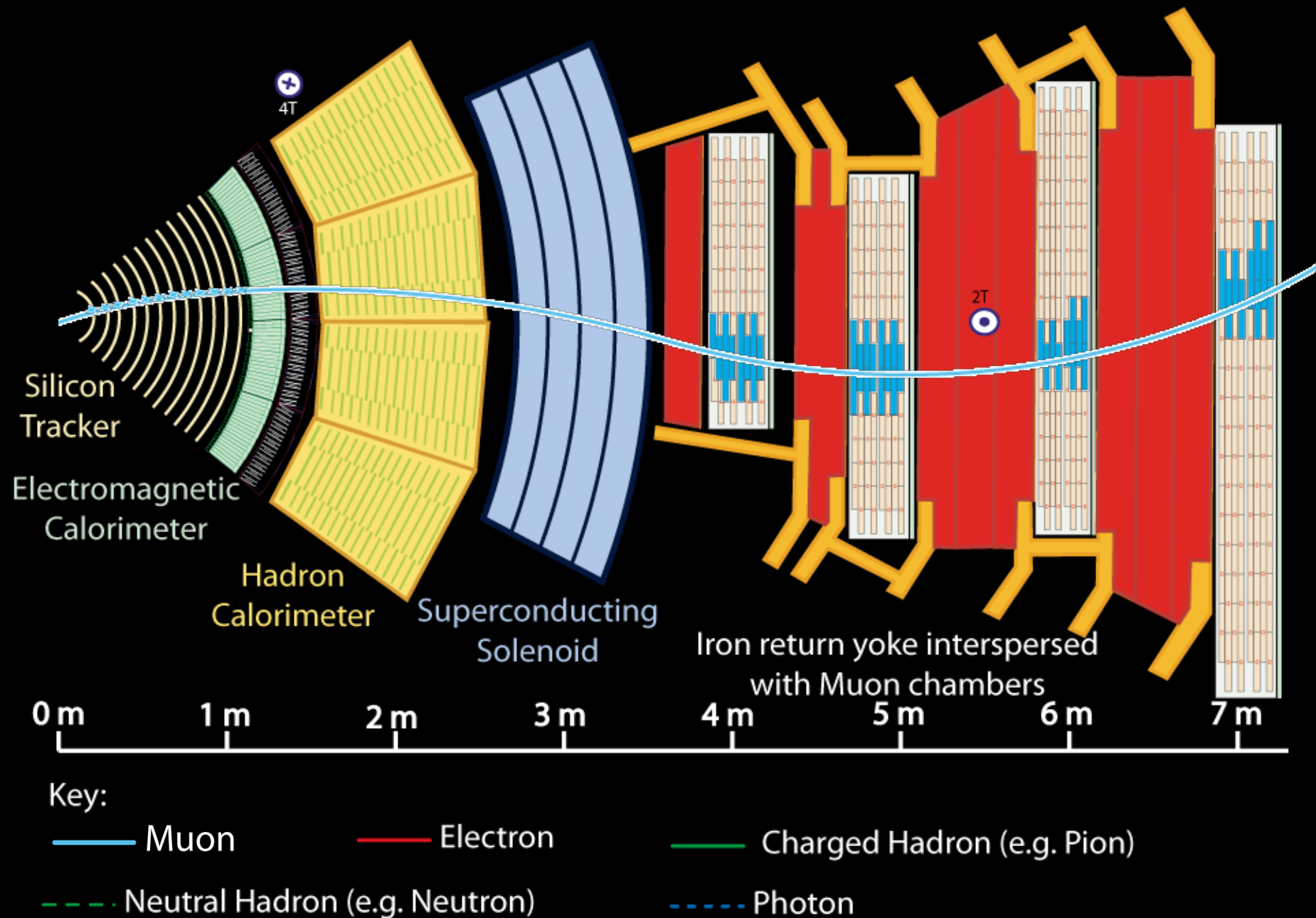
— Muon

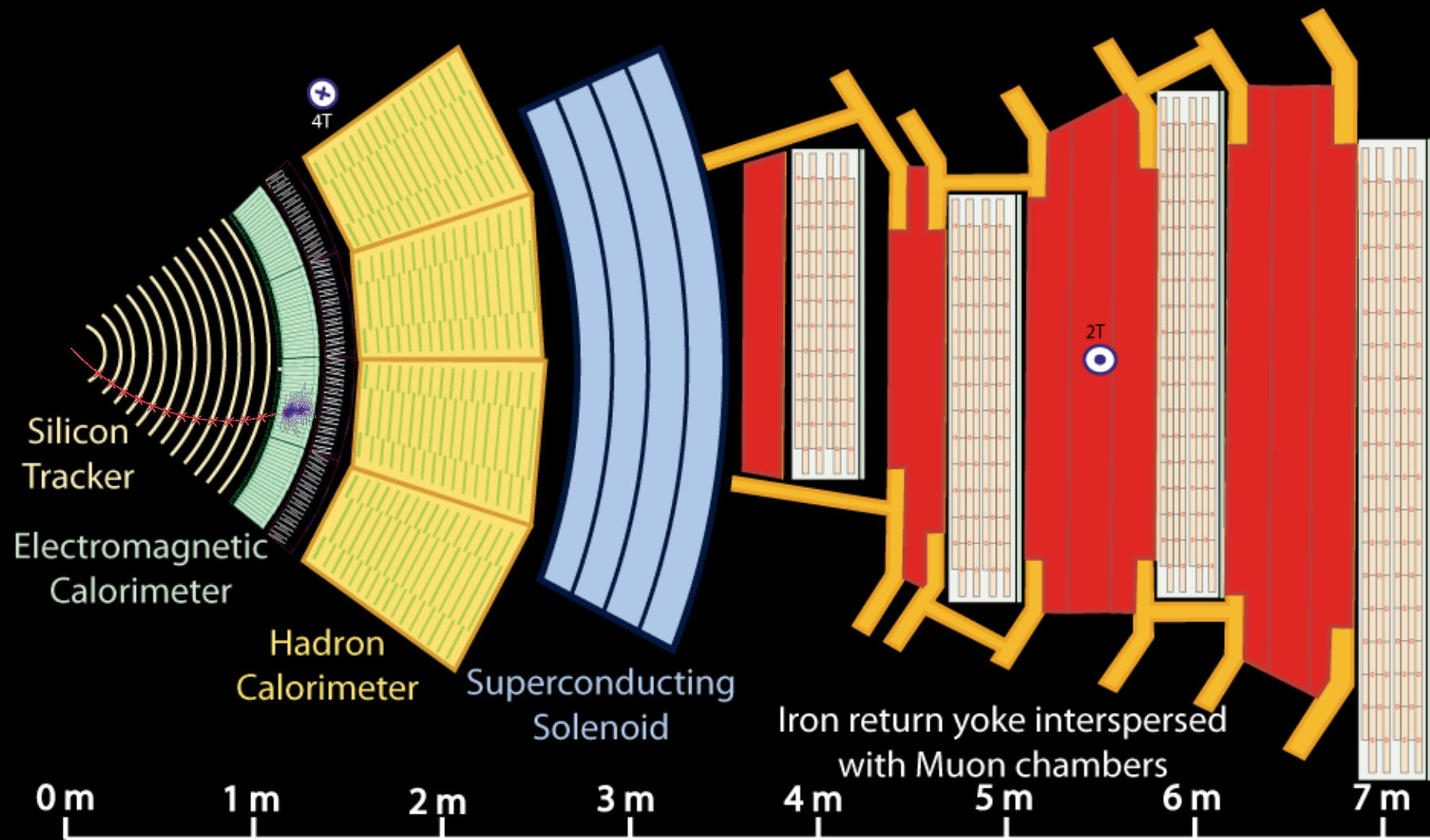
— Electron

— Charged Hadron (e.g. Pion)

- - - Neutral Hadron (e.g. Neutron)

- - - Photon





Key:

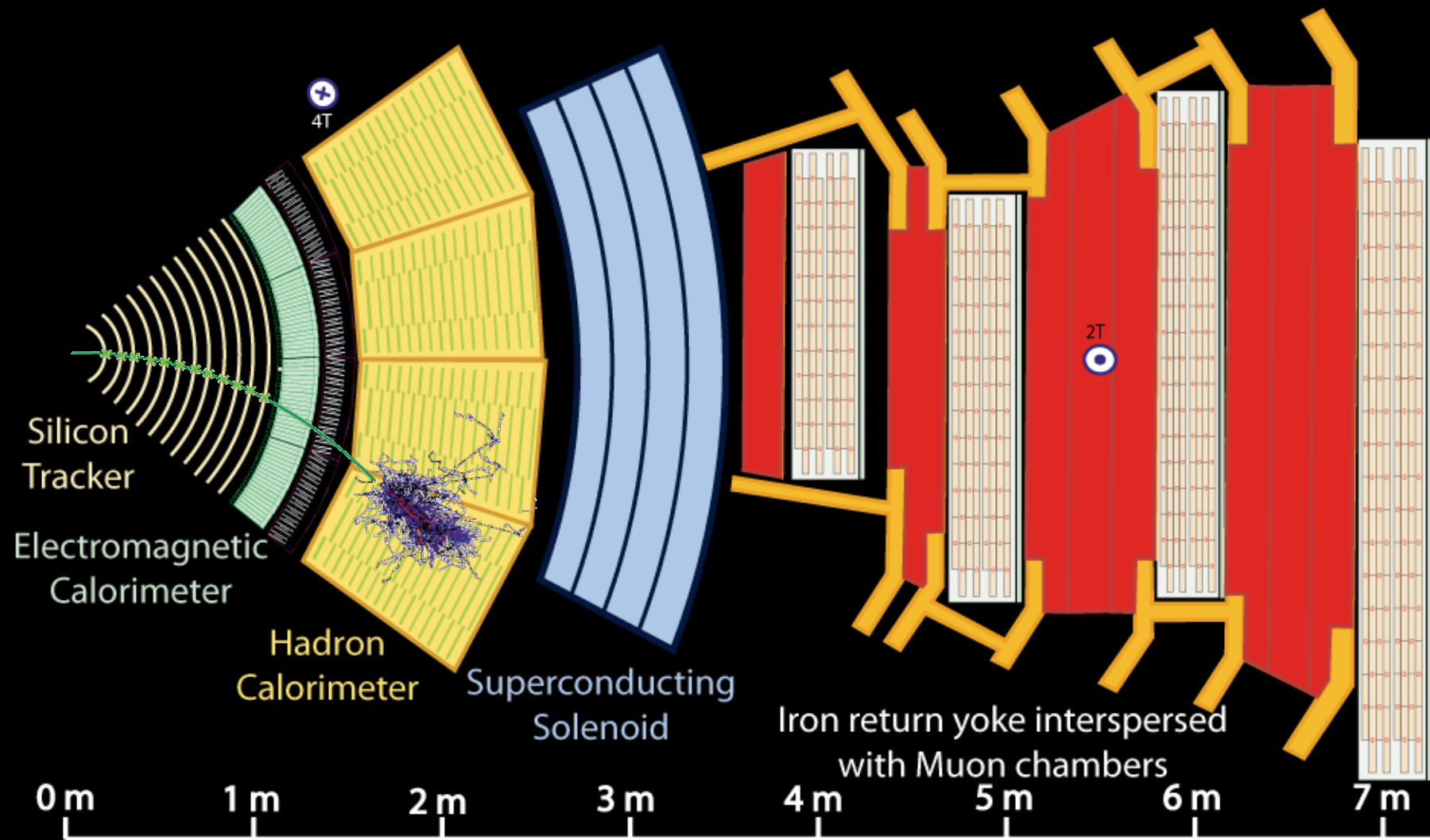
— Muon

— Electron

— Charged Hadron (e.g. Pion)

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Key:

— Muon

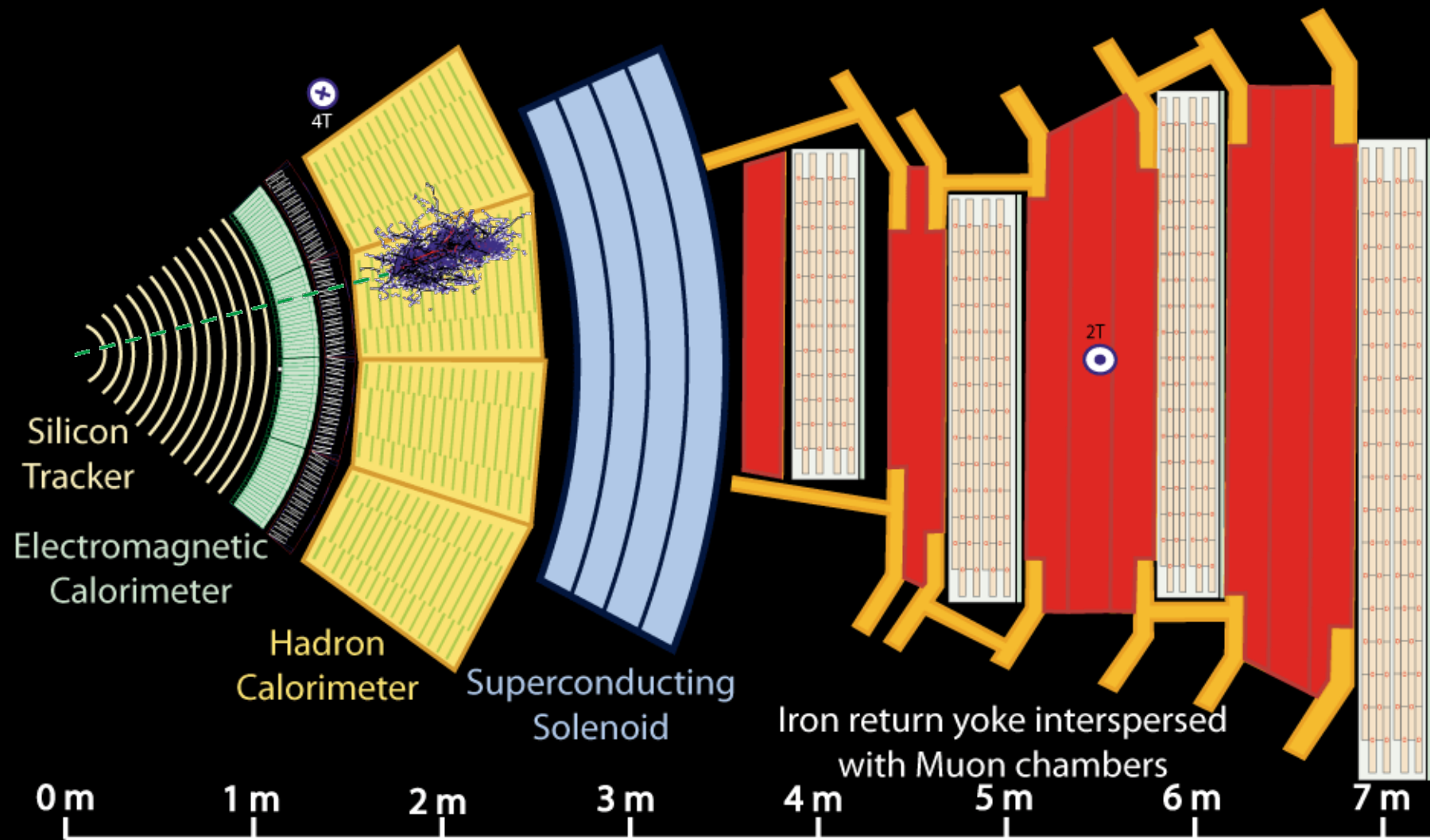
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Key:

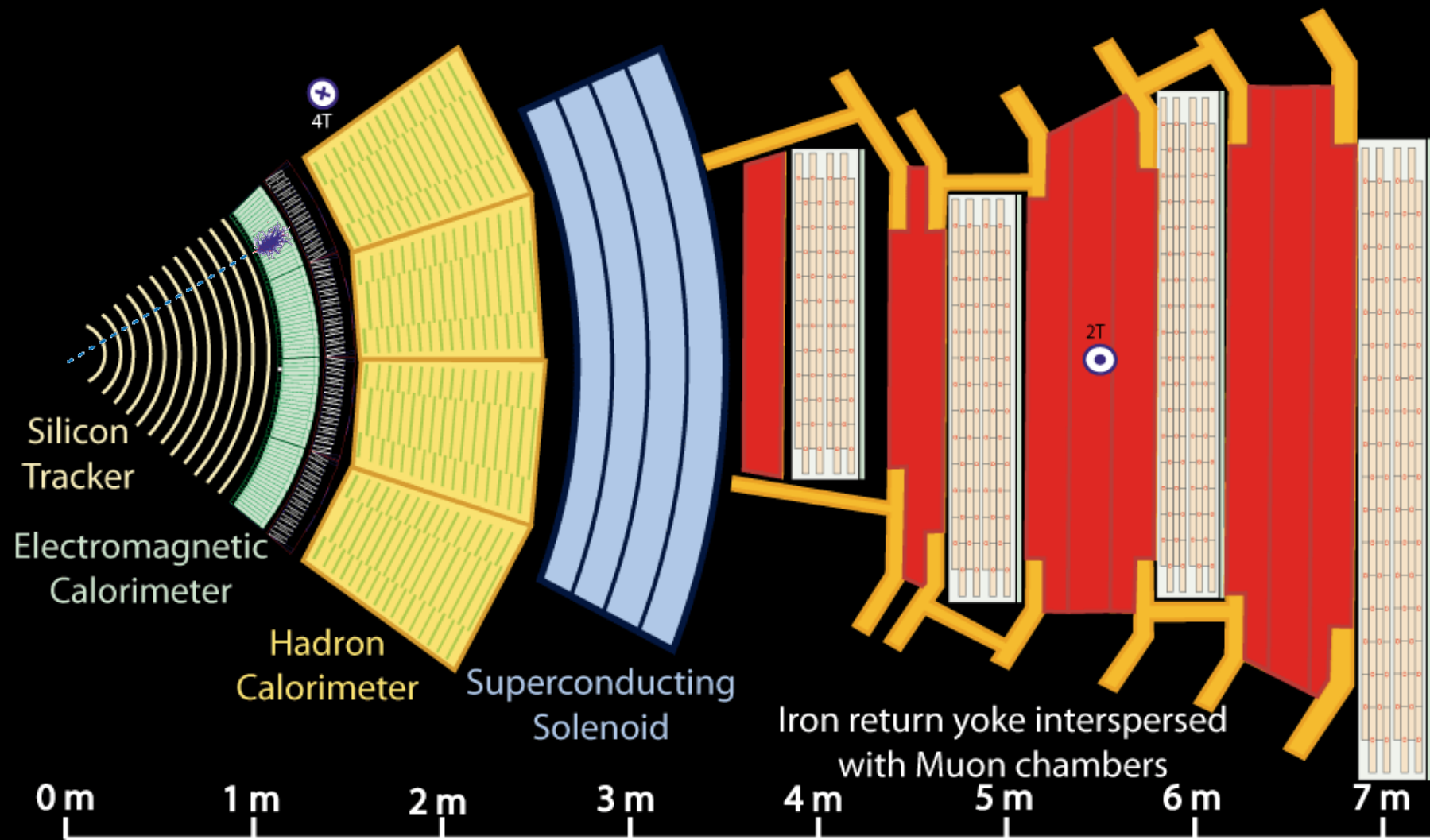
— Muon

— Electron

— Charged Hadron (e.g. Pion)

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- - - Photon



Key:

— Muon

— Electron

— Charged Hadron (e.g. Pion)

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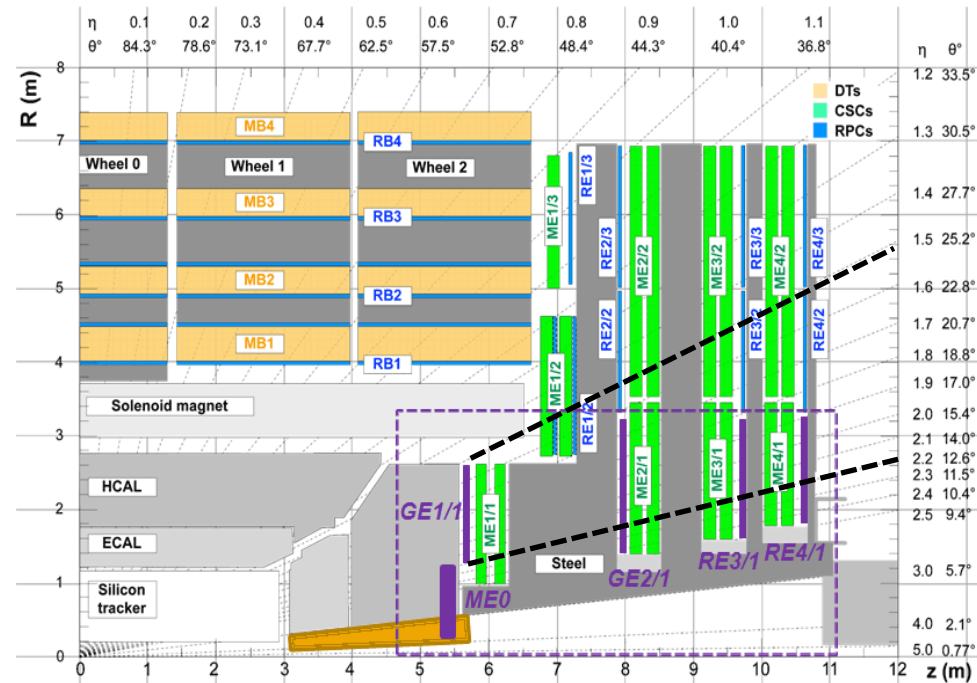
- - - Photon

# Part I

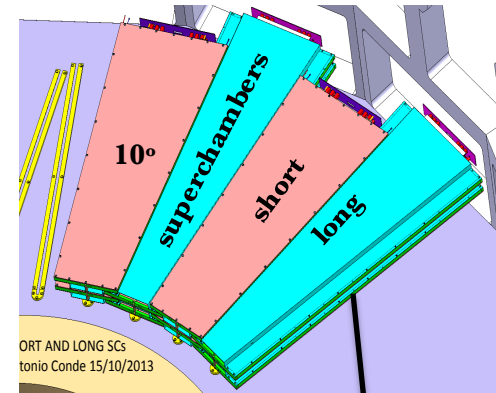
## CMS Hardware Upgrade

# GEMs for the Future CMS Muon Endcap Upgrade

## CMS Upgrade for LS2

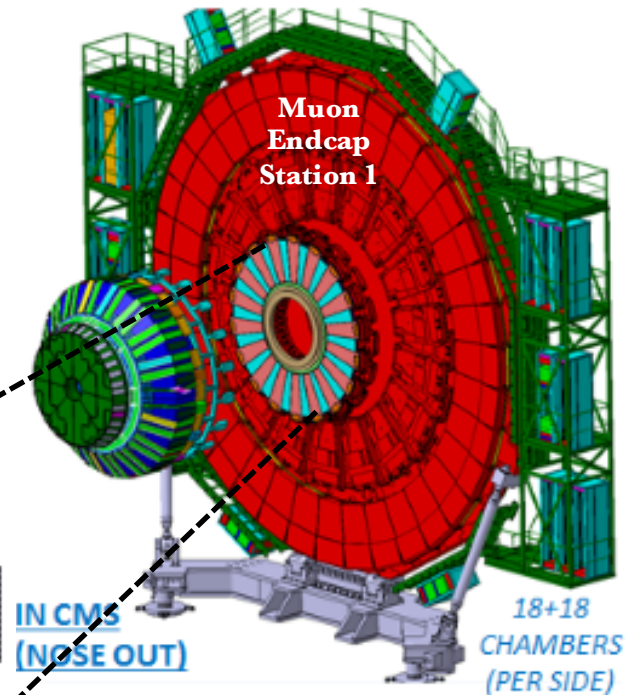
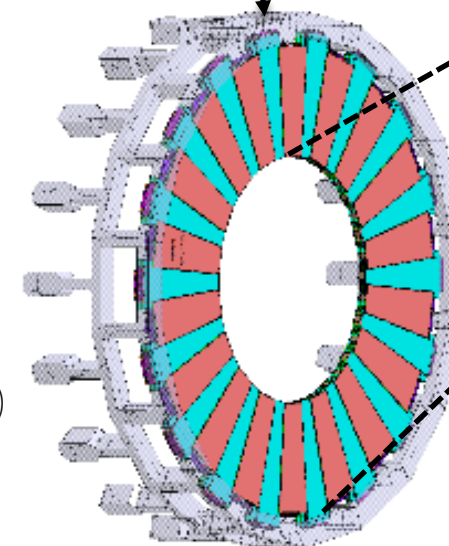


- Introducing **GE1/1** station in high- $\eta$  region  $1.5 < |\eta| < 2.2$
- **10<sup>0</sup> super-chambers** (trapezoidal triple-GEM detectors) with long ( $1.5 < |\eta| < 2.2$ ) and short ( $1.6 < |\eta| < 2.2$ ) version
- 36 super-chambers in each endcap



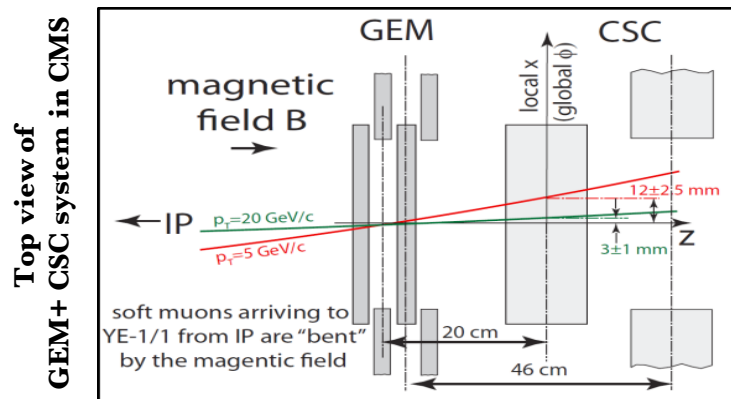
Super-chamber = 2 triple GEM detector (Short + Long)

GE1/1

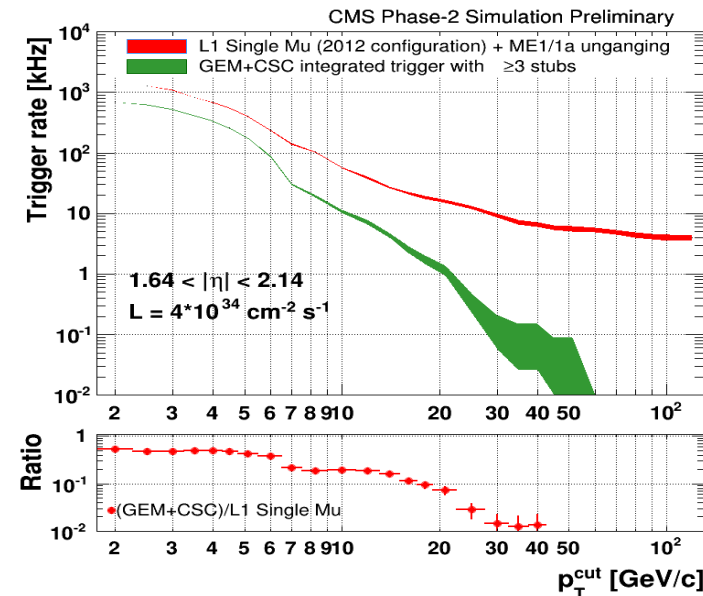
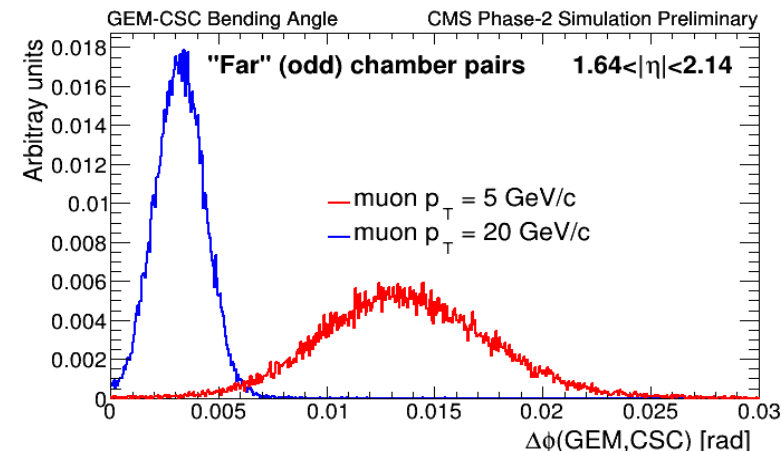




# Why GEMs?

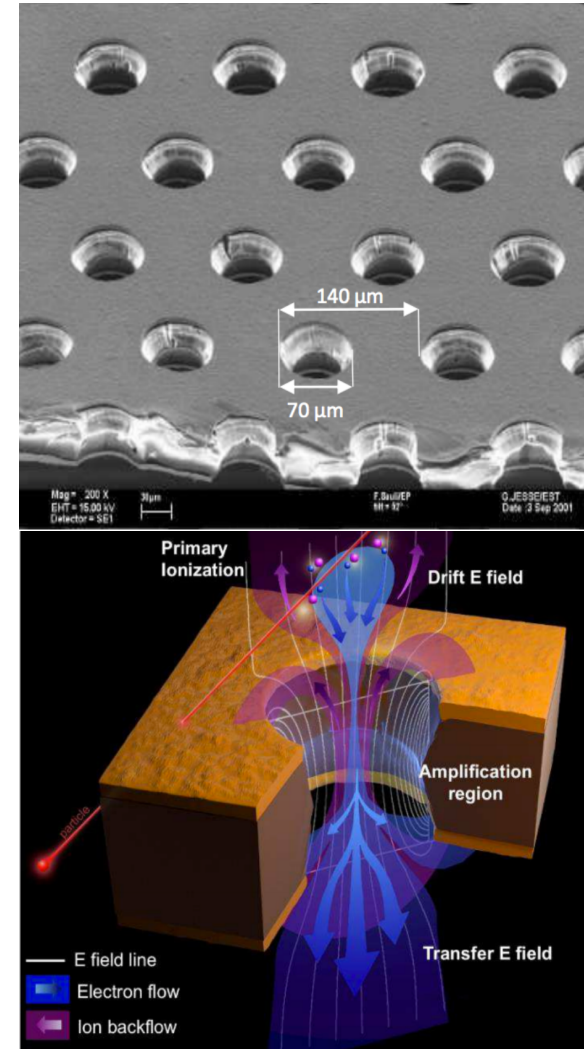
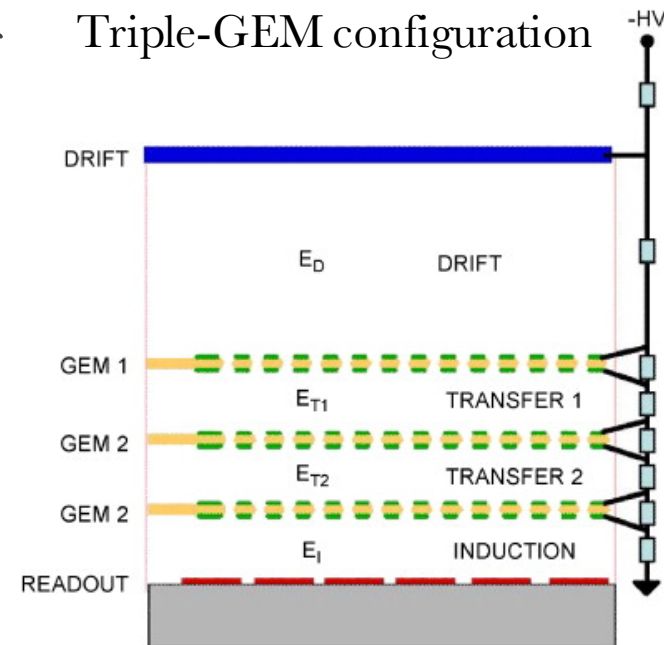


- High gain ( $\sim 10^4$ )
- Sustains a high rate ( $\sim \text{MHz}/\text{cm}^2$ )
- Precise tracking and time resolution
- High spatial resolution
- In High-Luminosity (HL) LHC, pile will increase
- CSC + GEM  $\Rightarrow$  accurate muon bending angle by reducing the multiple scattering
- Discriminates lower  $p_T$  muon from higher  $p_T$
- Lowers the global muon trigger rate

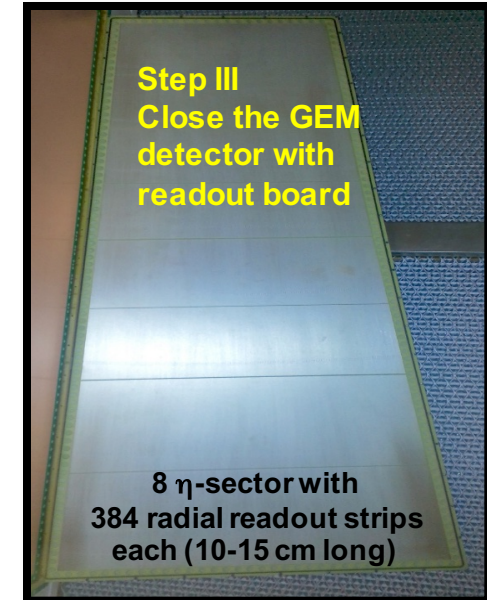
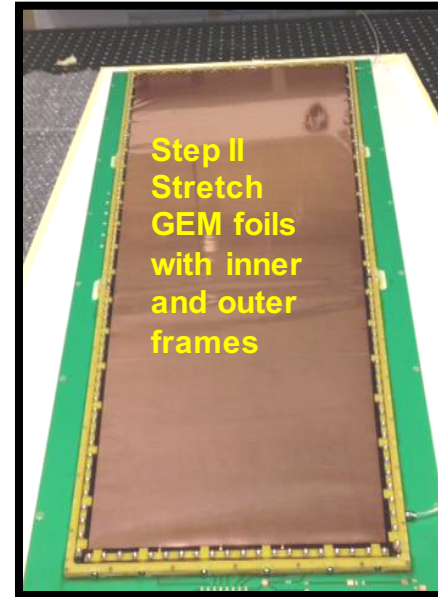
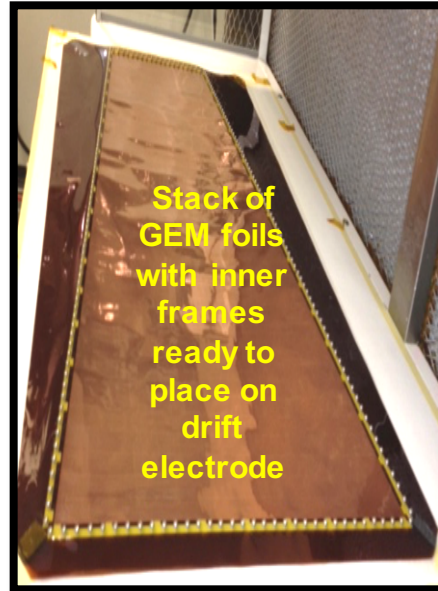
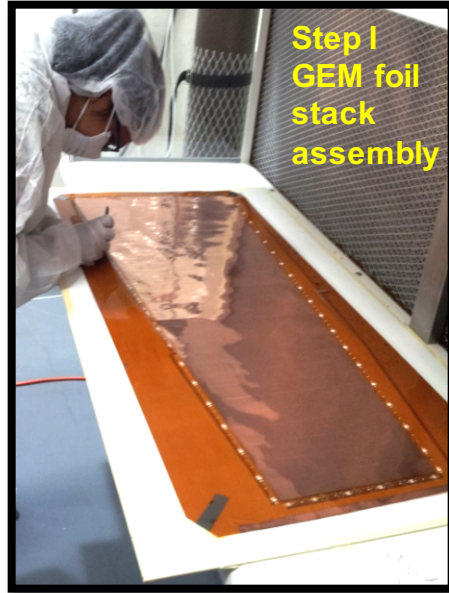


# GEM Detectors

- Micro Pattern Gas Detectors (MPGD)
- Gem foil is a kapton foil coated with copper on both sides that has an array of holes (typically 140  $\mu\text{m}$  pitch)
- Triple-GEM, most popular and reliable configuration
- Typical gas gain  $10^4$  with gas mixture Ar/ $\text{CO}_2$  in 70:30 proportional
- When applied high voltage across the foils, creates avalanche of electrons through holes
- Provides good detection efficiency and spatial resolution

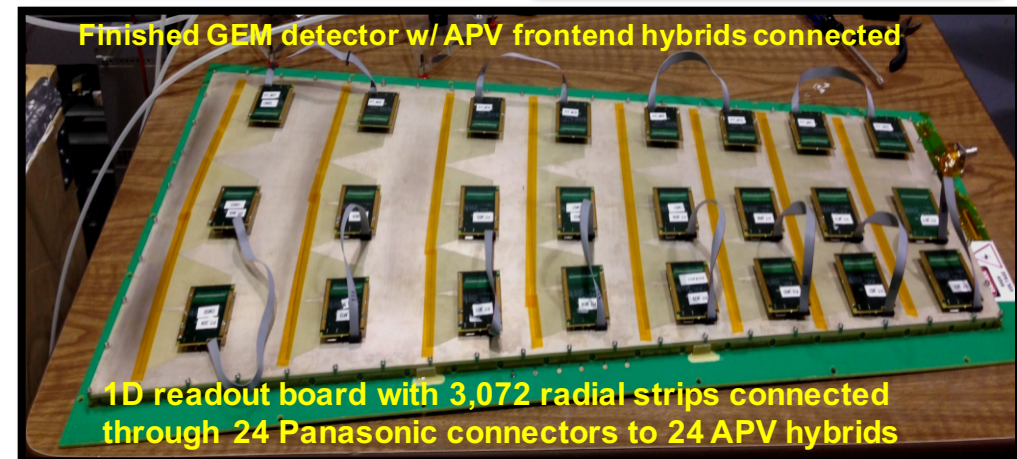


# Construction of a Large-Area GEM Detector GE1/1-III Prototype at Florida Tech



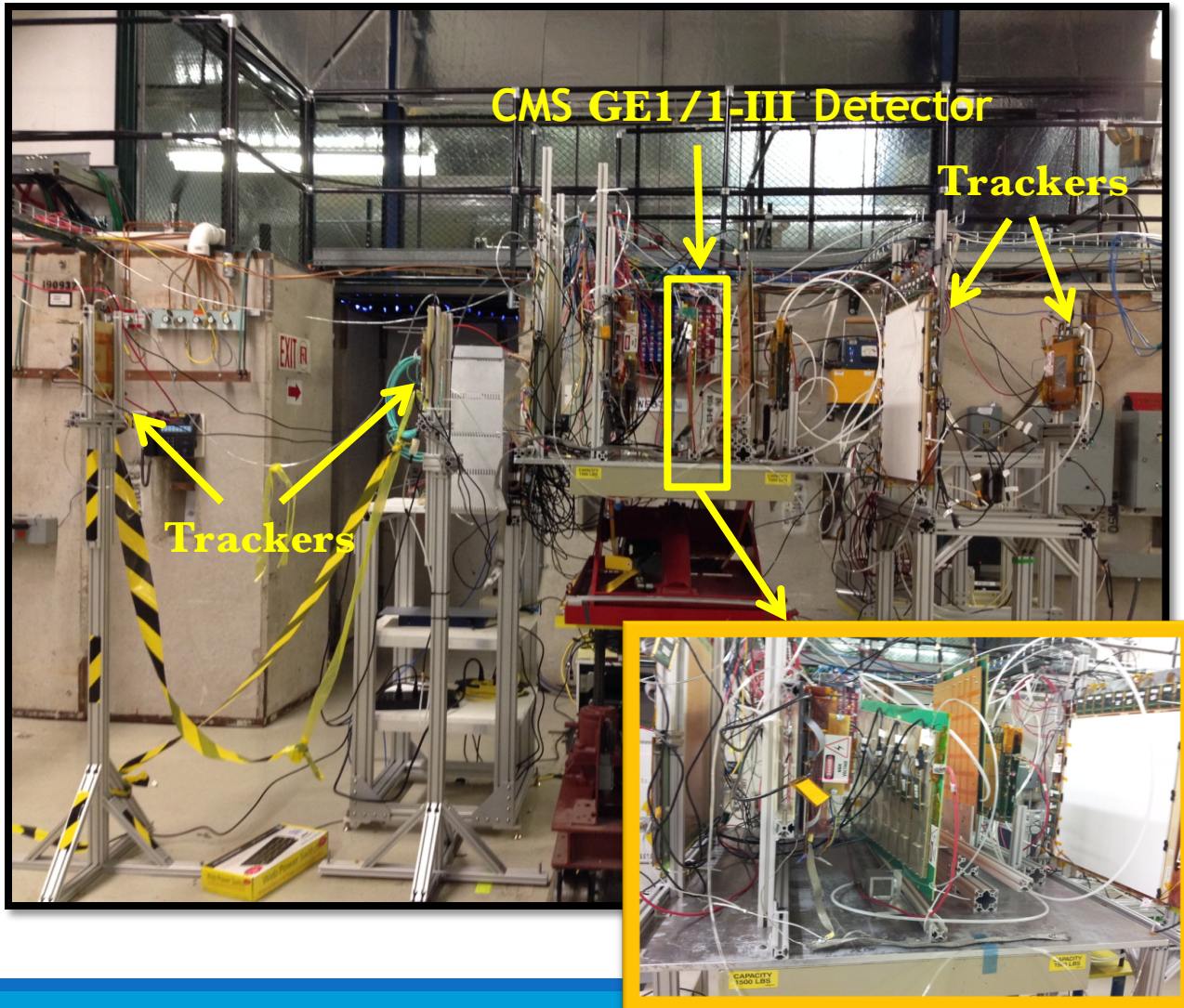
Total assembly time 3 Hrs 40 mins with 2 people

- Active area:  $\sim 99 \times (28-45) \text{ cm}^2$
- Internal gap configuration 3/1/2/1 mm
- GEM foils are produced by single-mask etching techniques at CERN
- Strip pitch =  $455 \mu\text{rad}$  (384 radial strip per  $\eta$ -sector)





# FNAL Test Beam Setup and Measurements

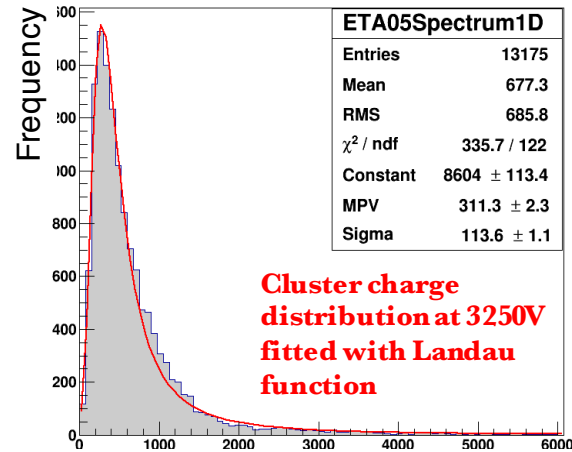


- Gas Mixture used in all detectors Ar/CO<sub>2</sub> 70:30
- Beam Energies: 32 GeV mixed hadrons and 120 GeV proton
- Four GEM trackers with 2D readout operated at 4200V
  - three 10 cm × 10 cm
  - one 50 cm × 50 cm (10 cm × 10 cm active area)
- DAQ with RD51 Scalable Readout System
- GE1/1-III detectors measurements
  - High voltage scan (2900 V – 3350 V)
  - Position scan at 3250 V operating voltage
    - Upper, Middle, Lower APV rows

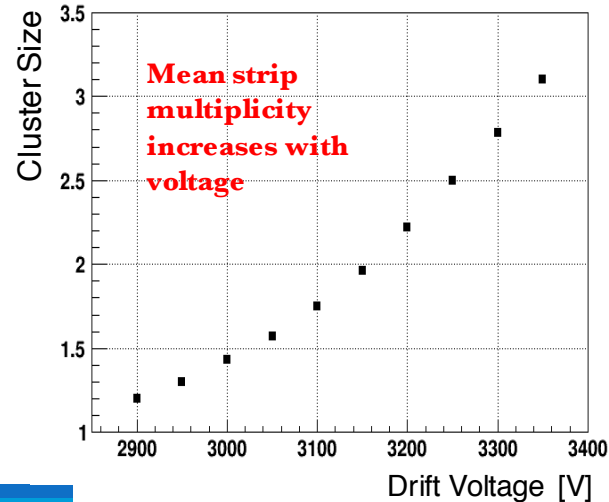


# Performance Characteristics from FNAL Beam Test Data

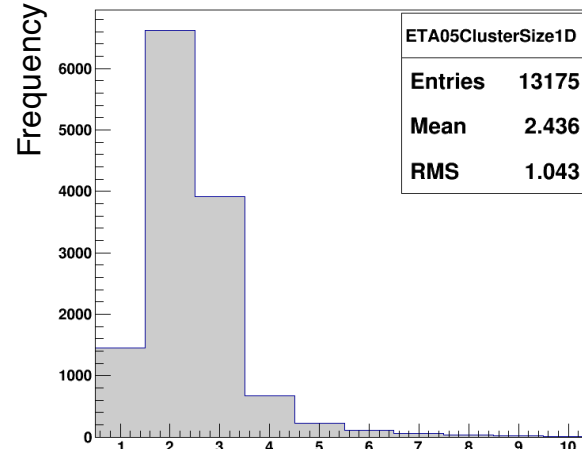
Cluster Charge Distribution



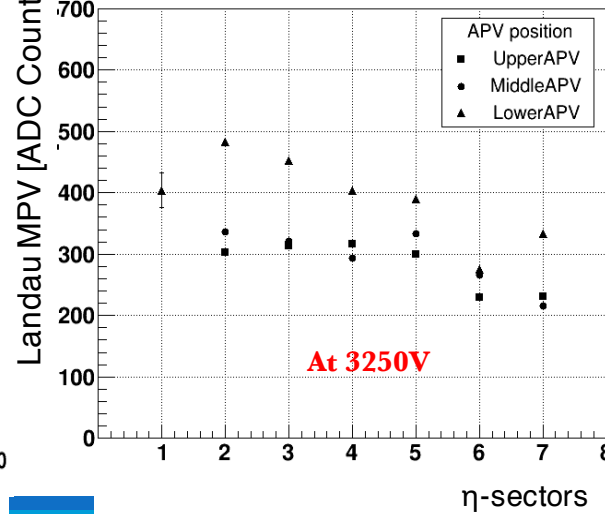
Total Cluster Charge [ADC Counts]  
Cluster Size vs. High Voltage



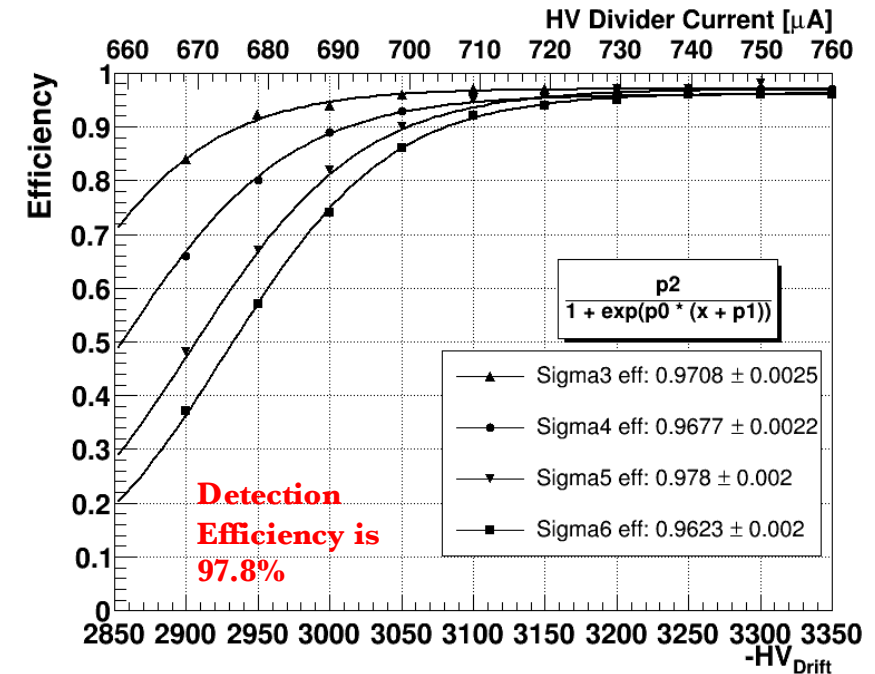
Cluster Size at 3250V



Number of Strips in Cluster  
Charge Uniformity



Detection Efficiency with  
Different Cuts on Pedestal Widths

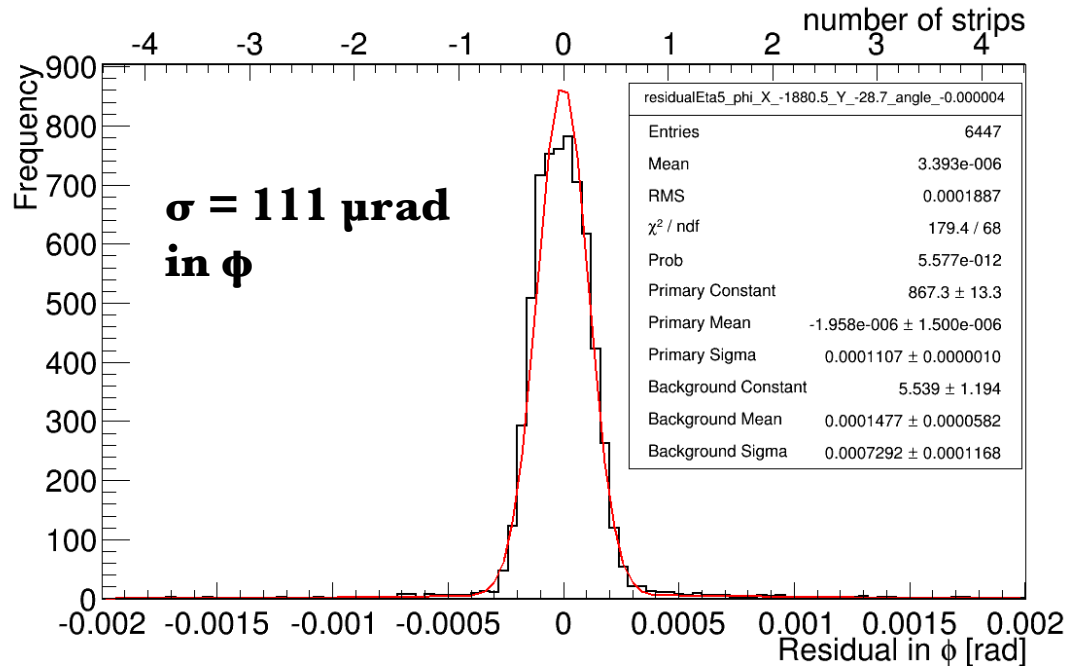


- Average strip multiplicity at operating voltage is 2.4 strips
- At 3250 V, most probable values for three APV positions are used to determine the charge uniformity across detector
- Detection efficiency 97.8% (measured) with 5-sigma cut on the pedestal width

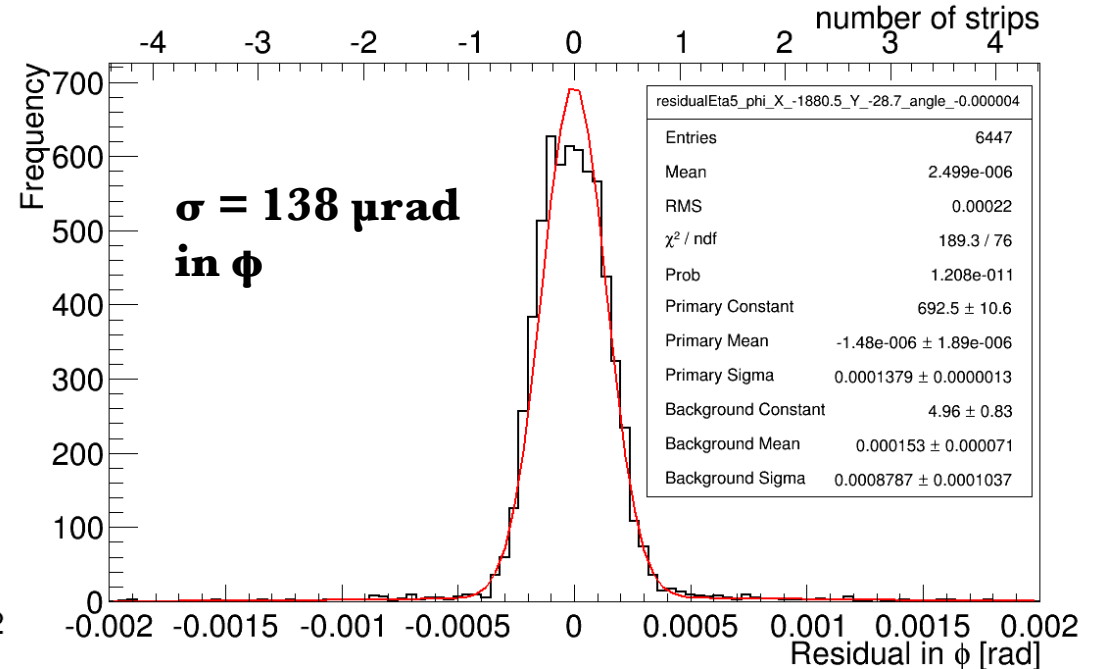
# Tracking Results

## Resolution of the GE1/1-III Detector in $\eta$ -sector 5

**Inclusive Residual**



**Exclusive Residual**



The resolution of the GE1/1-III detector is obtained from the geometric mean of inclusive and exclusive resolution

$$\sigma = \sqrt{\sigma_{inc} \times \sigma_{exc}} = \mathbf{124 \mu rad} \text{ (27\% of the strip pitch)}$$

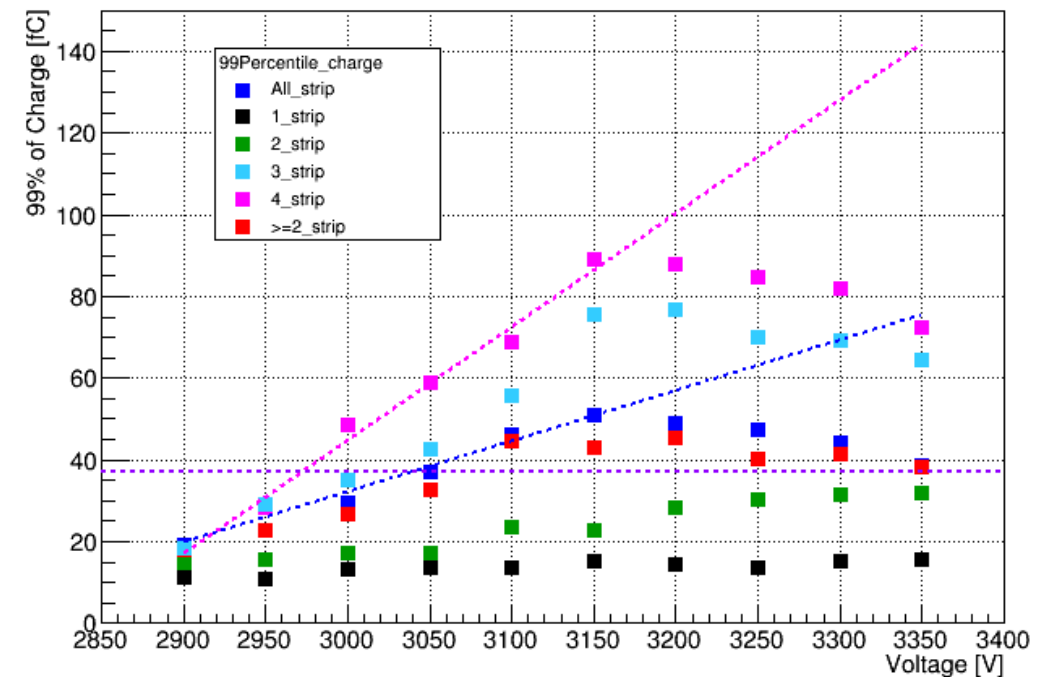
(corresponding to  $\sigma = 233 \mu\text{m}$  in azimuthal direction)

# Charge Measurements with Binary Hit Method

- VFAT3 front-end chip (provides binary hit output) is being designed to read the output from GE1/1
- Charge induced on the GE1/1 readout strip is used as an input for amplifier-shaper of the VFAT3 chip
- For retaining good quality of signal, it is important to match the dynamic range of the induced charge to the dynamic range of the chip input
- Dynamic charge range for the GE1/1 detector is measured using the pulse height sensitive APV-25 chip.
- Estimated the charge range for VFAT3 using the data collected by APV-25 chip

After ADC to fC conversion 99 percentile charge for various strip clusters calculated

99% of Charge vs. High Voltage



**99% (operating voltage) = ~115fC**

**99% (Max HV) = ~140fC**

**CMS-IN-2017-001 ; CERN-CMS-IN-2017-001**

# CMS Internal Note

*The content of this note is intended for CMS internal use and distribution only*

05 April 2017

## Measurement of the Charge Induced on the Readout Strips of a GE1/1 Detector Prototype for the CMS Muon Endcap GEM Upgrade

Vallary Bhopatkar, Marcus Hohlmann, Aiwu Zhang

### Abstract

Early in the second phase of the LHC program, Gas Electron Multiplier (GEM) technology will be implemented in the GE1/1 muon chambers for the region  $1.6 < |\eta| < 2.2$  of the CMS muon endcap. A VFAT3 front-end chip is being designed to read out the GE1/1 detector that will provide binary hit output. The charge that is induced on the GE1/1 readout strips by minimum-ionizing particles is an important parameter that informs the design of the amplifier-shaper input stage of the VFAT3 chip. We have measured this charge distribution directly with a GE1/1-III prototype chamber read out with the pulse-height-sensitive APV25 chip and exposed to a mixed-hadron beam at Fermilab. When operating 50 V above the start of the efficiency plateau in an Ar/CO<sub>2</sub> 70:30 gas mixture, i.e. with 3250 V applied to the drift electrode, the most probable value, mean value, and 99<sup>th</sup> percentile value of the Landau distribution of the charge induced on a single strip are found to be 4 fC, 11 fC, and 115 fC, respectively. Measurements with a more economical readout structure with 128 zigzag strips per  $\eta$ -sector instead of the 384 strips per  $\eta$ -sector in the GE1/1 are also analyzed. When equipping the same GE1/1 chamber with a readout board that features such zigzag strips and operating the chamber in the same way as before, the corresponding measured values for most probable and mean values are 7 fC and 16 fC, respectively.



# Emulated VFAT Binary Readout Results using APV Analog Readout

- VFAT threshold reconstructed using the following conversion factors

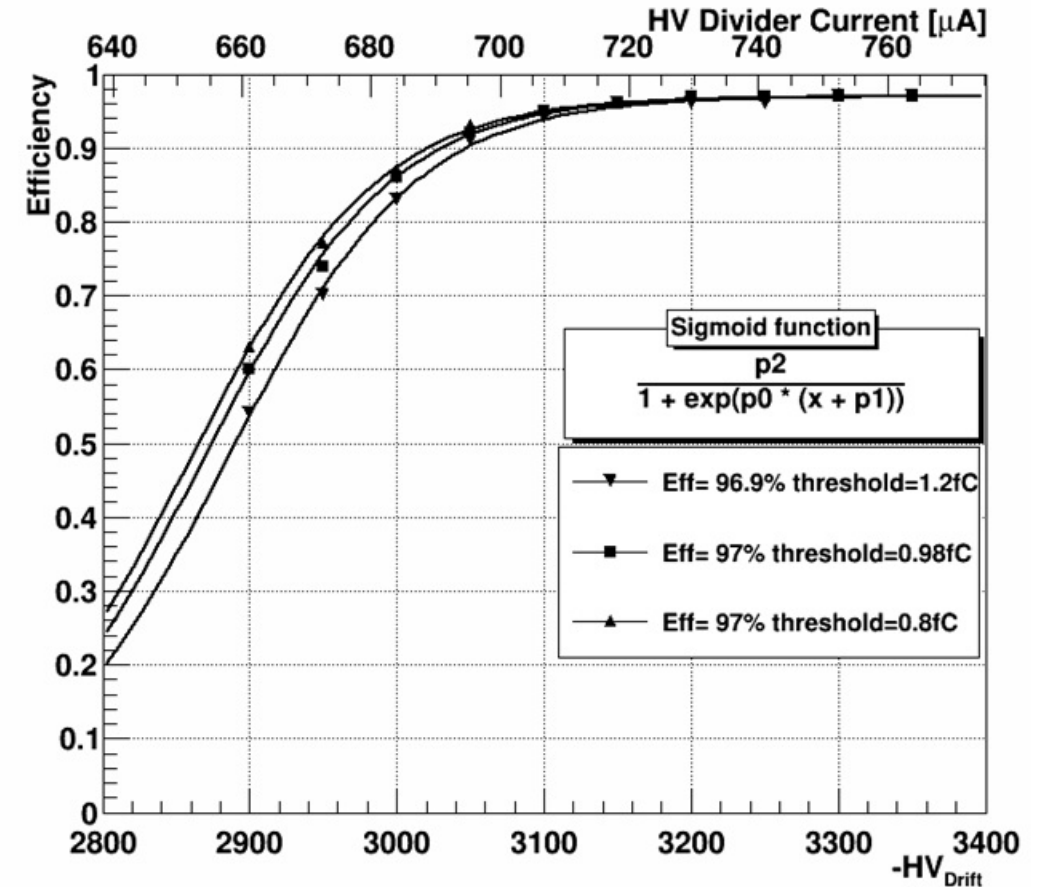
$$1\text{ADC count} = 0.03172\text{ fC}$$

$$1\text{VFAT unit} = 0.08\text{ fC}$$

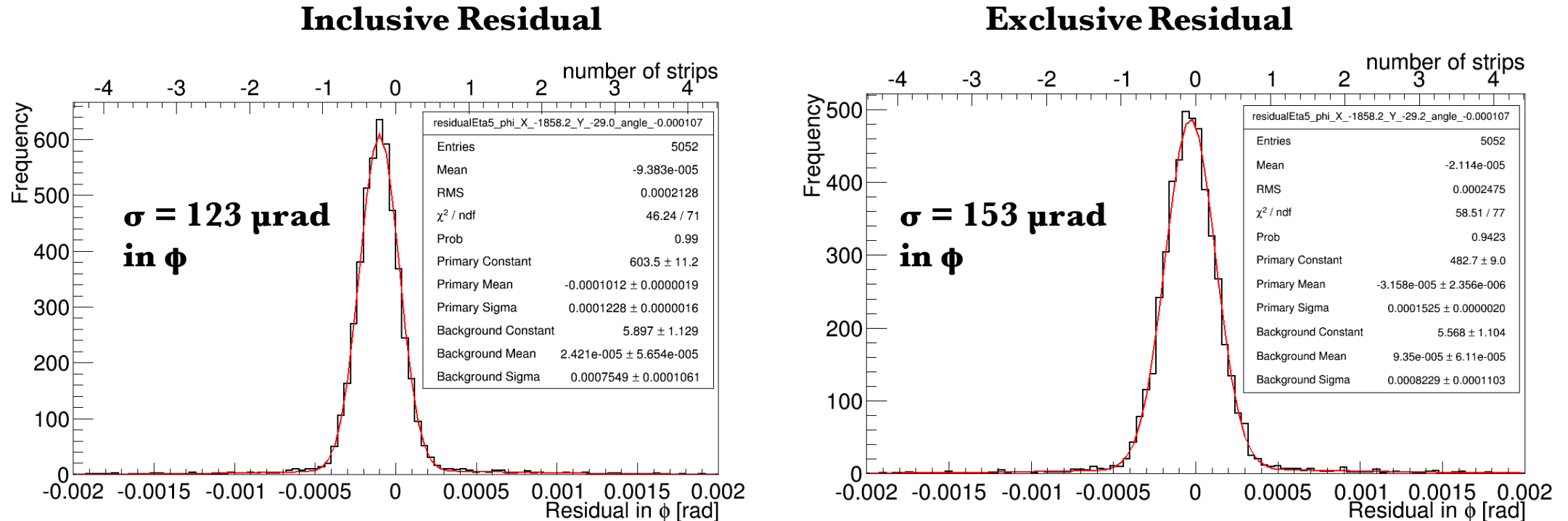
- Efficiency is plotted for three VFAT thresholds 10VFAT, 12VFAT, 15VFAT units

**Detection Efficiency is 97%**

**Detection efficiency using different VFAT thresholds**



# Resolution of the GE1/1-III Detector in $\eta$ -sector 5 with Emulated VFAT Binary Readout



$$\sigma = \sqrt{\sigma_{inc} \times \sigma_{exc}} = 137 \mu\text{rad}$$

(corresponding to  $\sigma = 233 \mu\text{m}$  in azimuthal direction)

Expected resolution from the strip pitch:  **$\text{pitch}/\sqrt{12} = 131 \mu\text{rad}$**



# Performance of a Large-Area GEM Detector Prototype for the Upgrade of the CMS Muon Endcap System

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**Abstract**—Gas Electron Multiplier (GEM) technology is being considered for the forward muon upgrade of the CMS experiment in Phase 2 of the CERN LHC. Its first implementation is planned for the GE1/1 system in the  $1.5 < |\eta| < 2.2$  region of the muon endcap mainly to control muon level-1 trigger rates after the second long LHC shutdown. A GE1/1 triple-GEM detector is read out by 3,072 radial strips with 455  $\mu\text{m}$  pitch arranged in eight  $\eta$ -sectors. We assembled a full-size GE1/1 prototype of 1m length at Florida Tech and tested it in 20-120 GeV hadron beams at Fermilab using Ar/CO<sub>2</sub> 70:30 and the RD51 scalable readout system. Four small GEM detectors with 2-D readout and an average measured azimuthal resolution of 36  $\mu\text{m}$  provided precise reference tracks. Construction of this largest GEM detector built-to-date is described. Strip cluster parameters, detection efficiency, and spatial resolution are studied with position and high voltage scans. The plateau detection efficiency is  $(97.1 \pm 0.2 \text{ (stat)})\%$ . The azimuthal resolution is found to be  $(123.5 \pm 1.6 \text{ (stat)}) \mu\text{m}$  when operating in the center of the efficiency plateau and using full pulse height information. The resolution can be slightly improved by  $\sim 10 \mu\text{m}$  when correcting for the bias due to discrete readout strips. The CMS upgrade design calls for readout electronics with binary hit output. When strip clusters are formed correspondingly without charge-

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## CMS TECHNICAL DESIGN REPORT FOR THE MUON ENDCAP GEM UPGRADE

This report describes both the technical design and the expected performance of the Phase-II upgrade, using Gas Electron Multiplier (GEM) detectors, of the first endcap station of the CMS muon system. The upgrade is targeted for the second long shutdown of the CERN LHC and is designed to improve the muon trigger and tracking performance at high luminosity. The GEM detectors will add redundancy to the muon system in the  $1.6 < |\eta| < 2.2$  pseudorapidity region, where the amount of detection layers is lowest while the background rates are highest and the bending of the muon trajectories due to the CMS magnetic field is small. GEM detectors have been identified as a suitable technology to operate in the high radiation environment present in that region. The first muon endcap station will be instrumented with a double layer of triple-GEM chambers in the  $1.6 < |\eta| < 2.2$  region. The detector front-end electronics uses the custom designed VFAT3 chip to provide both fast input for the level-1 muon trigger and full granularity information for offline muon reconstruction. This document describes the design of detectors, electronics, and services. The expected performance of the upgraded muon system is discussed in the context of several benchmark physics channels. The document also presents the plan - including the project schedule, cost, and organization - for the detector construction, testing, and integration into the CMS detector.

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### Contributors

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# Current Updates on the GEM Upgrade Project

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- The beam test at FNAL was successful as the performance of the GE1/1 detector meets the expectation for this upgrade
- These results are contributed towards the GEM Technical Design Report  
<https://cds.cern.ch/record/2021453/>
- With extensive R & D, GEM endcap has been approved and will be install in the forward muon endcap region in 2019
- Total 160 1-m long detectors are being constructed and commissioned at CERN and five external sites. Florida Tech is one of them
- Standard and stringent quality control protocols are created to ensure the successful production run at all commissioning sites
- At Florida Tech, we have implemented all quality control steps and successful built the first GE1/1 mass production module GE1/1-X-S-FIT001

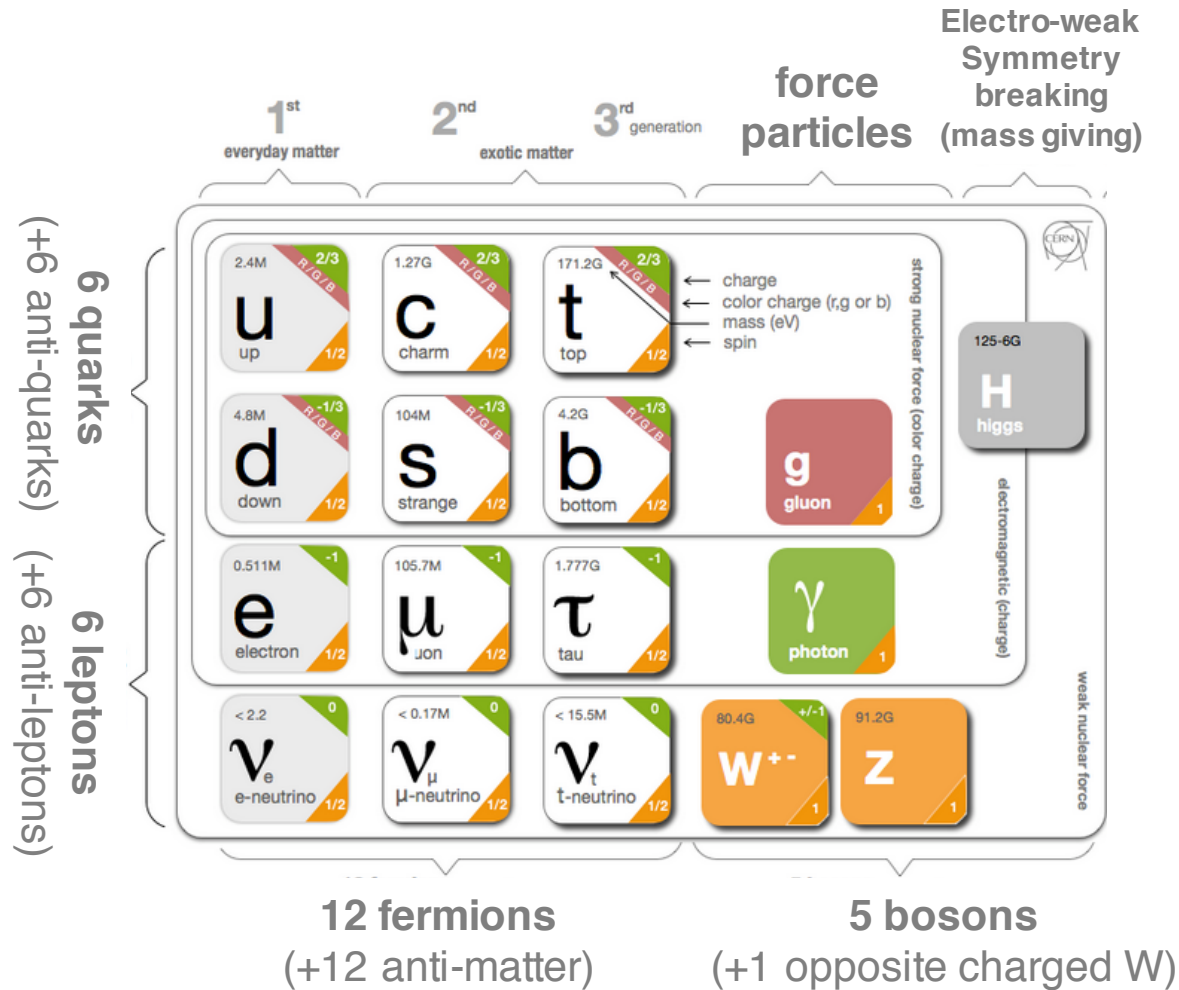


# Part II

## CMS Physics Analyses

Cross Section Measurement of Z Boson  
and  
Search for the SM Higgs Boson Decay in  
 $H \rightarrow \tau\tau \rightarrow \mu\mu$  Channel

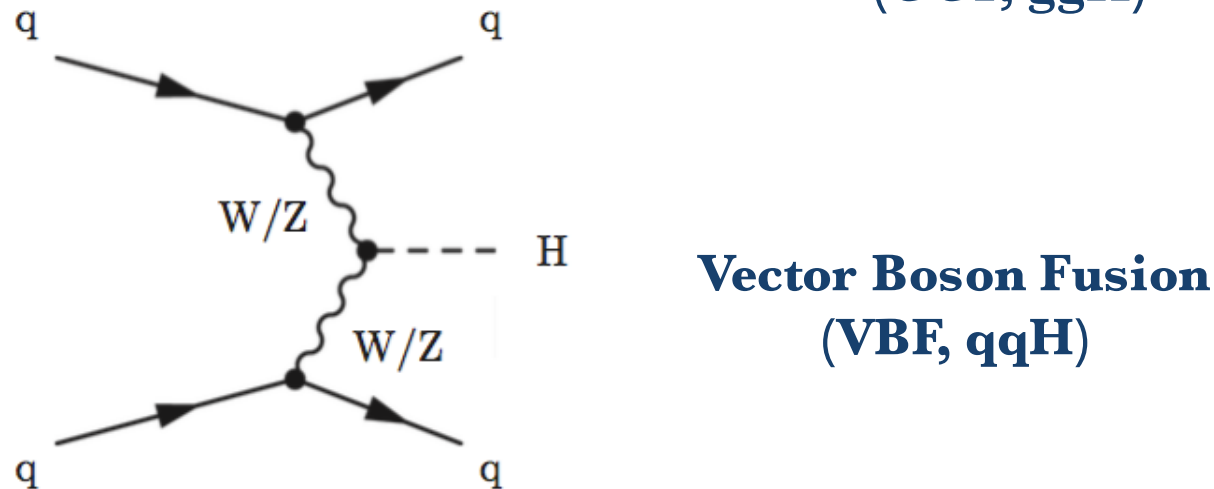
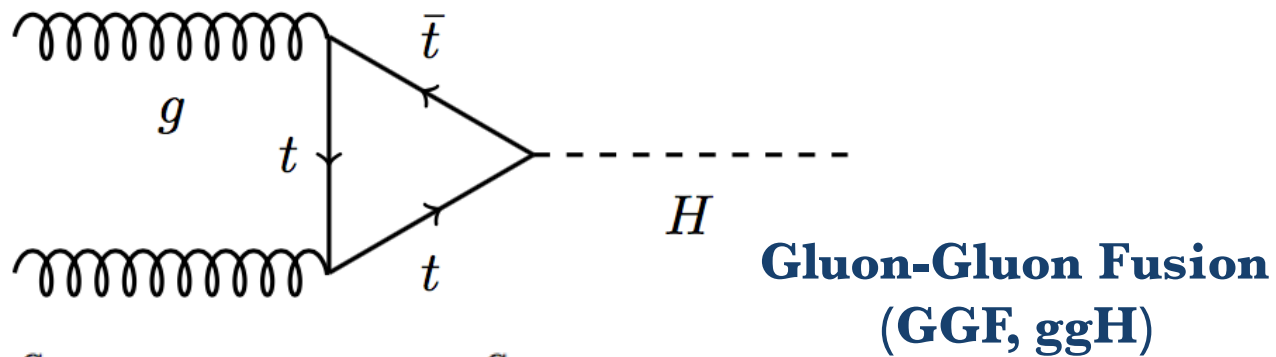
# Standard Model (SM) and Higgs Boson



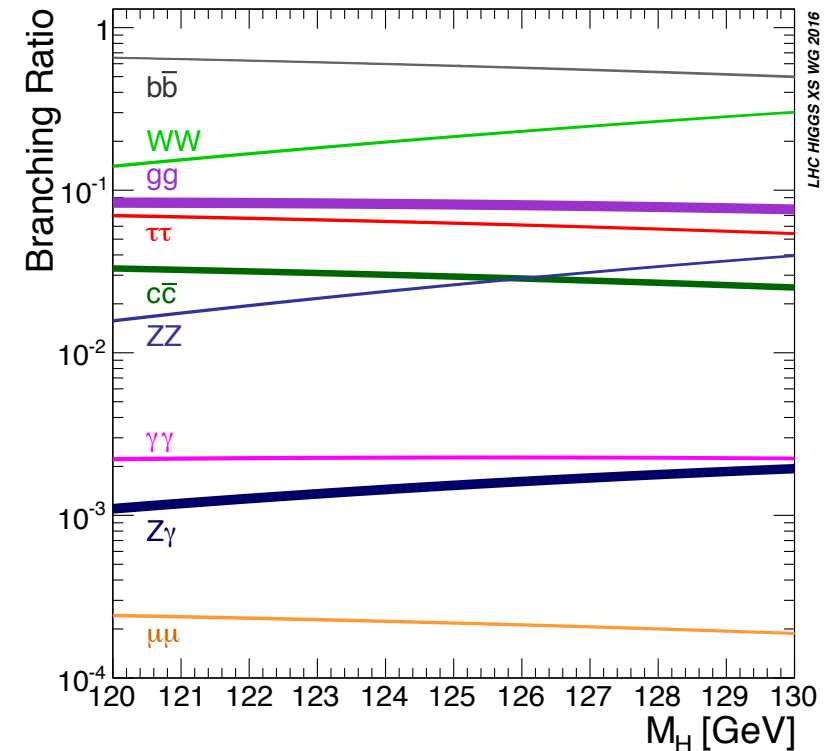
- Higgs Boson predicted by standard model  
Scalar Particle,  $m_H = 125 \text{ GeV}$ , Spin = 0
- In SM, particle acquire masses through their interaction with Higgs field
- W and Z Boson acquire masses through electroweak symmetry breaking in gauge theory
- All fermions gain the mass through Yukawa coupling

# Higgs: Production and Decay Modes

## Production Modes

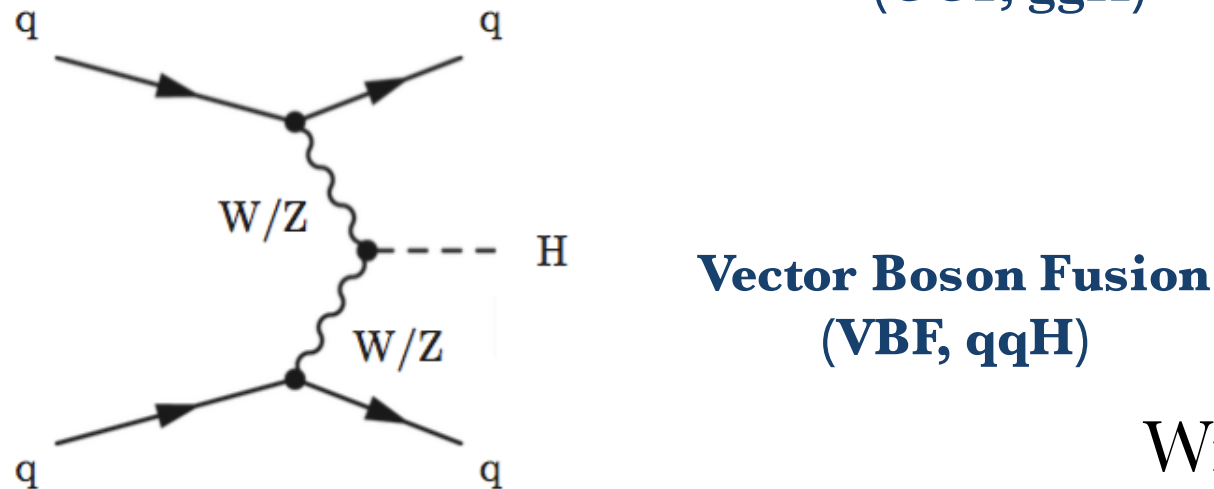
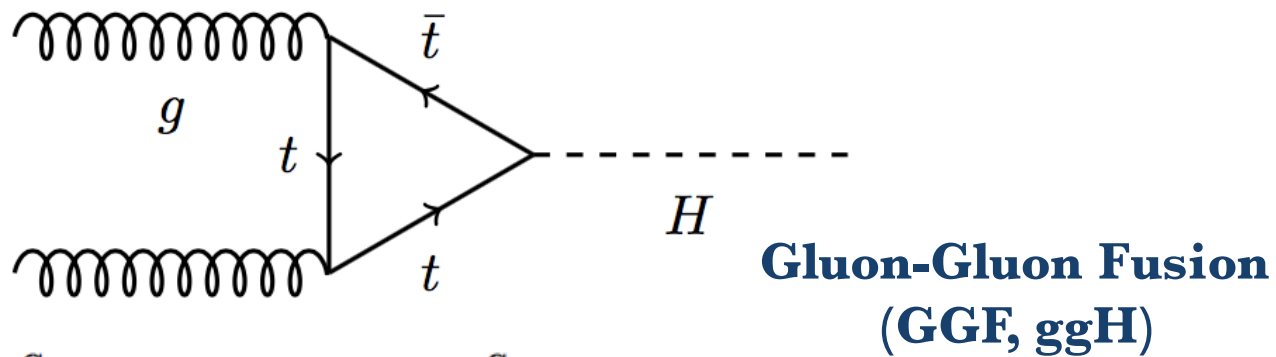


## Decay Modes

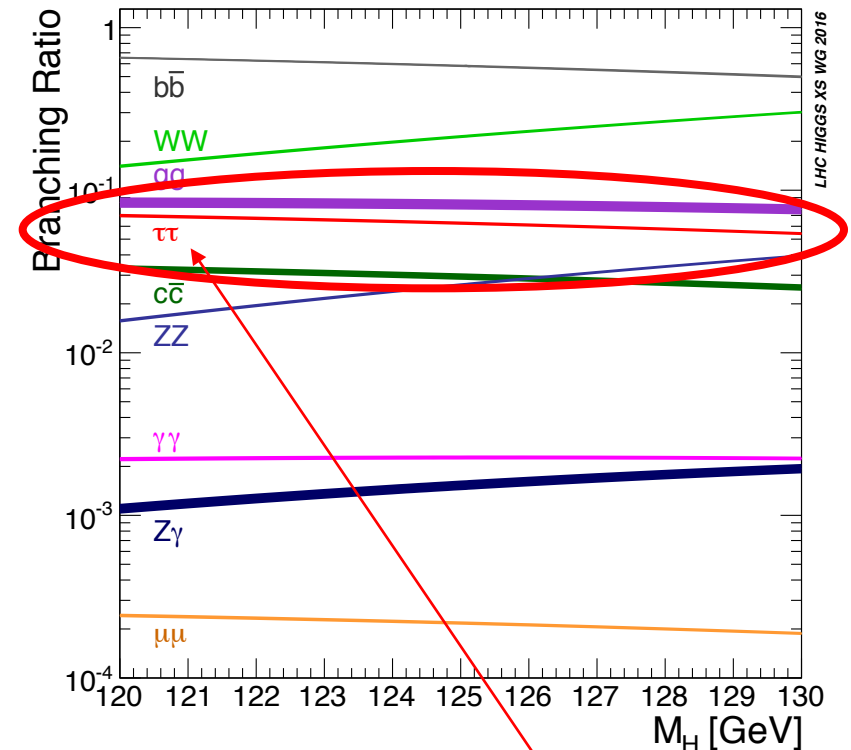


# Higgs: Production and Decay Modes

## Production Modes



## Decay Modes



Will be focusing on the  $\tau\tau$  decay mode



# Overview: $H \rightarrow \tau\tau$ Decay Mode

---

- $\tau$  leptons decay hadronically and leptonically
- Tau decay modes with BR

- Promising channel to study Yukawa couplings to leptons
  - Highest event yield among the leptonic channels
  - Lower background compared to  $b\bar{b}$  decays
- This dissertation focuses on the leptonic channel where a pair of tau leptons decays into a pair of muons

Decay Mode	BR in [%]
$\tau_{had}\tau_{had}$	42
$\tau_{\mu}\tau_{had}$	23
$\tau_e\tau_{had}$	23
$\tau_e\tau_{\mu}$	6
$\tau_e\tau_e$	3
$\tau_{\mu}\tau_{\mu}$	<b>3</b>

- **Challenges:**

Small BR +  $Z \rightarrow \mu\mu$  background

# Cross Section Measurement of $Z \rightarrow \tau\tau$ using CMS Run II 2015 Data

# Motivation

---

- $Z \rightarrow \tau\tau$  is a standard candle for tau physics and dominating background in  $H \rightarrow \tau\tau$  analysis
- Main focus is to validate the analysis techniques used in  $H \rightarrow \tau\tau$  analysis
- Also these both analyses have similar background model
- $Z / \gamma^* \rightarrow \mu\mu$  is dominating and challenging background for  $Z \rightarrow \tau\tau \rightarrow \mu\mu$  channel. Hence, its very crucial to reduce this background to increase the sensitivity of the signal
- Boosted Decision Tree (BDT), a multivariate method is used for the background reduction
- For final cross section measurements, di-tau mass variable is used to extract the signal by performing the likelihood method

# Cross Section Measurements

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## Overview

- $\sqrt{s} = 13 \text{ TeV}$ ,  $\int \mathcal{L} dt = 2.3 \text{ fb}^{-1}$ , 25ns bunch crossing
- Single Muon Dataset is used (2015 RunD) with single muon trigger HLT\_IsoMu18\_v2
- QCD multijet events derived using data
- Simulated MC samples:
  - Signal: Drell-Yan samples with forced  $Z \rightarrow \tau\tau \rightarrow \mu\mu$  events
  - Background, Drell-Yan  $Z / \gamma^* \rightarrow \mu\mu$
  - Electroweak background: diboson, single top and W+jets
  - $t\bar{t}$

## Base Selection

- Two muons with opposite charges
- $p_T > 10 \text{ GeV}$  and  $|\eta| < 2.4$  with leading muon  $p_T > 19 \text{ GeV}$
- Medium Muon Identification criteria with corrected relative isolation  $< 0.15$
- $m_{\text{vis}}$  (invariant mass of the dimuon system)  $< 80 \text{ GeV}$
- BDT (trained to separate  $Z \rightarrow \tau\tau \rightarrow \mu\mu$  from  $Z/\gamma^* \rightarrow \mu\mu$ )  $> 0.5$

# Signal Extraction Method

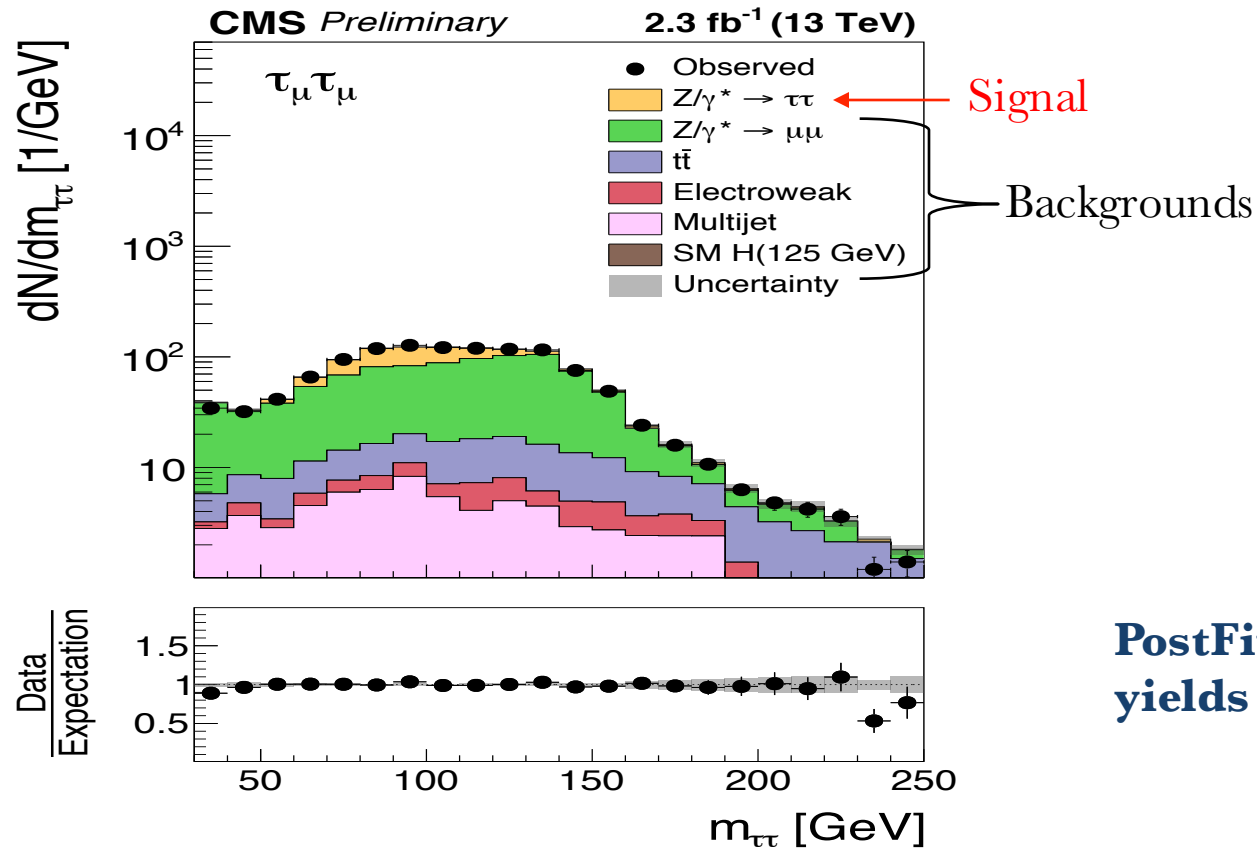
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- Di-tau mass ( $m_{\tau\tau}$ ) is reconstructed using **Secondary Vertex Fit** (SVFit)
  - It is a likelihood-based estimation of the parent boson mass
  - Inputs used for the calculations are: MET, MET uncertainties and four-vectors of the muon candidate
- Signal is extracted using 1-dimensional distribution of reconstructed SVFit mass  $m_{\tau\tau}$
- Maximum likelihood fit is performed to obtain event yield for cross section measurements



# Signal Extraction

## Post Fit inclusive SVFit Mass Plot



## Corrections applied to MC

- PileUp reweighting
- Lepton ID/isolation scale factors
- Trigger scale factors
- Top quark pT reweighting
- B-tag scale factors
- Z- recoil correction
- DY pT, mass reweighting

## PostFit event yields

Process	$\tau_\mu\tau_\mu$
$Z/\gamma^* \rightarrow \tau\tau$	$2067 \pm 34$
Multijet	$710 \pm 110$
$Z/\gamma^* \rightarrow \mu\mu$	$8010 \pm 170$
$t\bar{t}$	$1239 \pm 79$
Electroweak	$293 \pm 30$
SM H	$18 \pm 4$
Total expected background	$10270 \pm 120$
Total SM expectation	$12340 \pm 120$
Observed data	12327

# Cross Section Extraction

---

$$\sigma(\text{pp} \rightarrow \mathbf{Z}/\gamma^* + \text{jets}) \times B(\mathbf{Z}/\gamma^* \rightarrow \tau\tau) = \frac{1}{B_\tau} \cdot \frac{N_{sig}^{fig}(1-f_{out})}{A \cdot \epsilon \cdot \mathcal{L}}$$

- $N_{sig}^{fig}$  Number of signal events passing selection criteria post fit
- $\mathcal{L}$  Integrated luminosity
- $f_{out}$  Mass window correction for events outside  $60 < m_{\tau\tau}^{gen} < 120$  GeV
- $B_\tau$  Branching fraction
- $A$  Acceptance
- $\epsilon$  Efficiency

**$\tau_\mu\tau_\mu$  Channel**

**$1.967 \pm 0.121$  (stat.)  $\pm 0.092$  (syst.)  $\pm 0.037$  (lumi)nb**

# DRAFT CMS Paper

The content of this note is intended for CMS internal use and distribution only

2017/11/21  
Head Id: 435478  
Archive Id: 435480P  
Archive Date: 2017/11/21  
Archive Tag: trunk

Measurement of the  $Z/\gamma^* \rightarrow \tau\tau$  cross section in proton-proton collisions at  $\sqrt{s} = 13$  TeV and validation of analysis techniques relevant for studies of  $\tau$  lepton production

The CMS Collaboration

## Abstract

We present a measurement of the  $Z/\gamma^* \rightarrow \tau\tau$  cross section in proton-proton collisions at  $\sqrt{s} = 13$  TeV, using data recorded by the CMS experiment at the LHC, corresponding to an integrated luminosity of  $2.3 \text{ fb}^{-1}$ . The product of the cross section and branching fraction is measured to be  $\sigma(pp \rightarrow Z/\gamma^* + X) \times B(Z/\gamma^* \rightarrow \tau\tau) = 1848 \pm 12 \text{ (stat.)} \pm 67 \text{ (syst.+lumi.) pb}$ , in agreement with the standard model expectation, computed at next-to-next-to-leading order accuracy in perturbative quantum chromodynamics. The measurement is used to validate new analysis techniques, relevant for future analyses of  $\tau$  lepton production. As a byproduct of the measurement, the reconstruction efficiency and energy scale for  $\tau$  decays to hadron+ $\nu_\tau$  final states are determined with respective relative uncertainties of 2.2% and 0.9%.

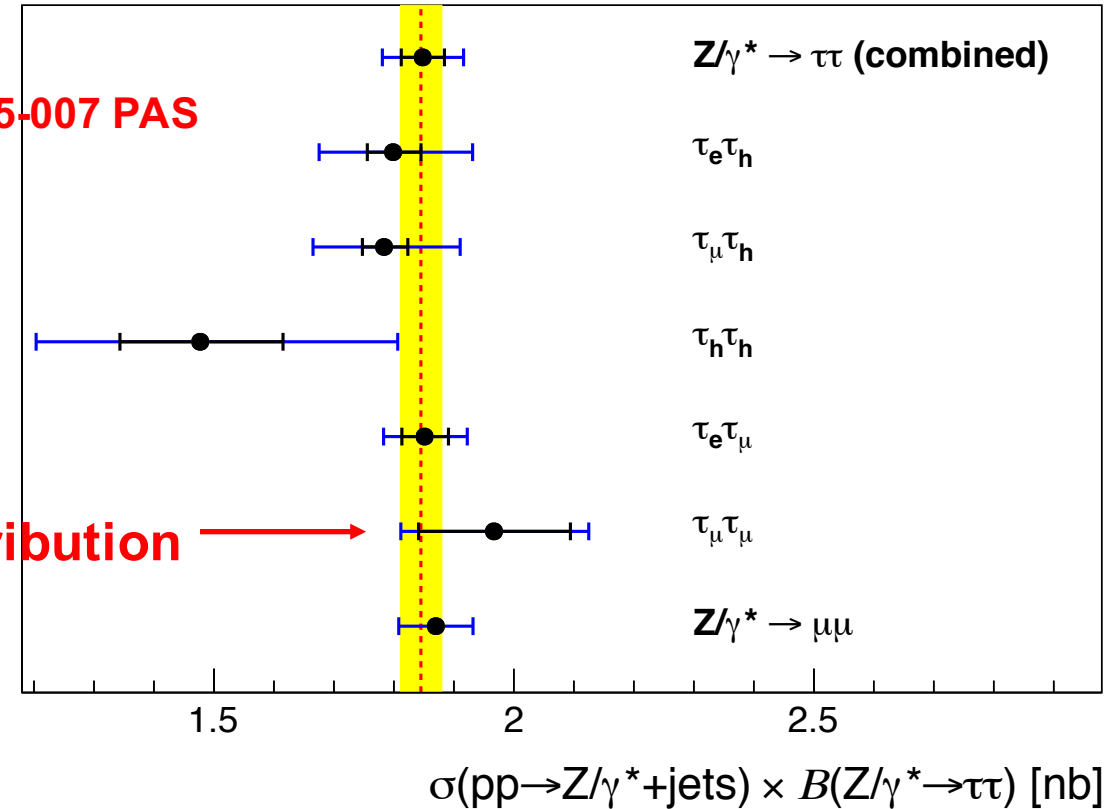
- **Final paper reading in Collaboration is scheduled on December 12**
- **After reading will be submitted to EPJC**

**CMS Preliminary**

**2.3 fb<sup>-1</sup> (13 TeV)**

**CMS:HIG 15-007 PAS**

**My Contribution** →



**Combined:**  $1.848 \pm 0.012 \text{ (Stat.)} \pm 0.057 \text{ (syst.)} \pm 0.034 \text{ (lumi.) nb}$

**NNLO theory prediction:**  $1.845^{+0.012}_{-0.006} \text{ (scale)} \pm 0.033 \text{ (PDF) nb}$

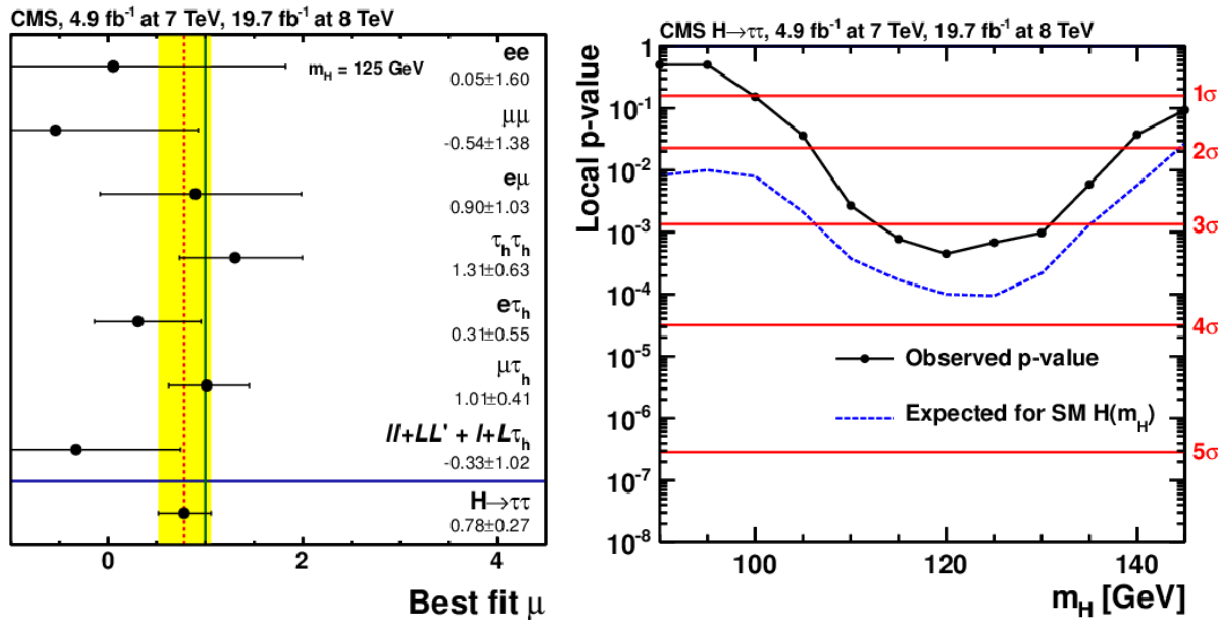
$pp \rightarrow Z/\gamma^* \rightarrow ee/\mu\mu$ :  $1.870 \pm 0.002 \text{ (stat.)} \pm 0.035 \text{ (syst.)} \pm 0.051 \text{ (lumi.) nb}$

**Combined value shows good agreement with NNLO theory prediction and  $Z/\gamma^* \rightarrow ee/\mu\mu$  measurement (based on the same data set)**

Search for SM Higgs Boson decay in  
 $H \rightarrow \tau\tau \rightarrow \mu\mu$   
using CMS Run II 2016 Data

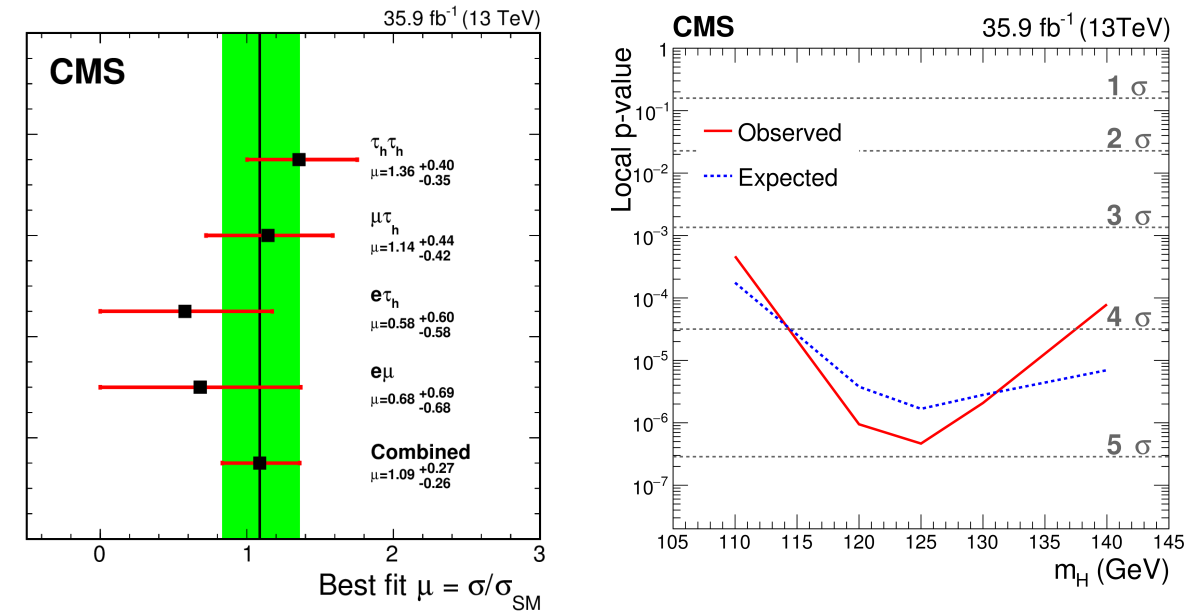
# Run I and Run II Overview

## Run I (Results with all six decay modes)



- CMS obtained evidence at 3.2 $\sigma$  with signal strength  $\hat{\mu} = 0.78 \pm 0.27$  [JHEP 05 (2014) 104]
- CMS + ATLAS combined results shows evidence at 5.5 $\sigma$  [JHEP 08 (2016) 045]

## Run II (Results with $\tau_h\tau_h, \tau_\mu\tau_h, \tau_e\tau_h, \tau_e\tau_\mu$ )



- CMS observed evidence at 4.7 $\sigma$ , with signal strength relative to SM  $\hat{\mu} = 1.09^{+0.27}_{-0.26}$
- Submitted to Phys. Lett. B (CMS-HIG-16-043; CERN-EP-2017-181)



SM  $H \rightarrow \tau\tau \rightarrow \mu\mu$  Analysis  
With  
Run II 2016 Data

# Event Selection

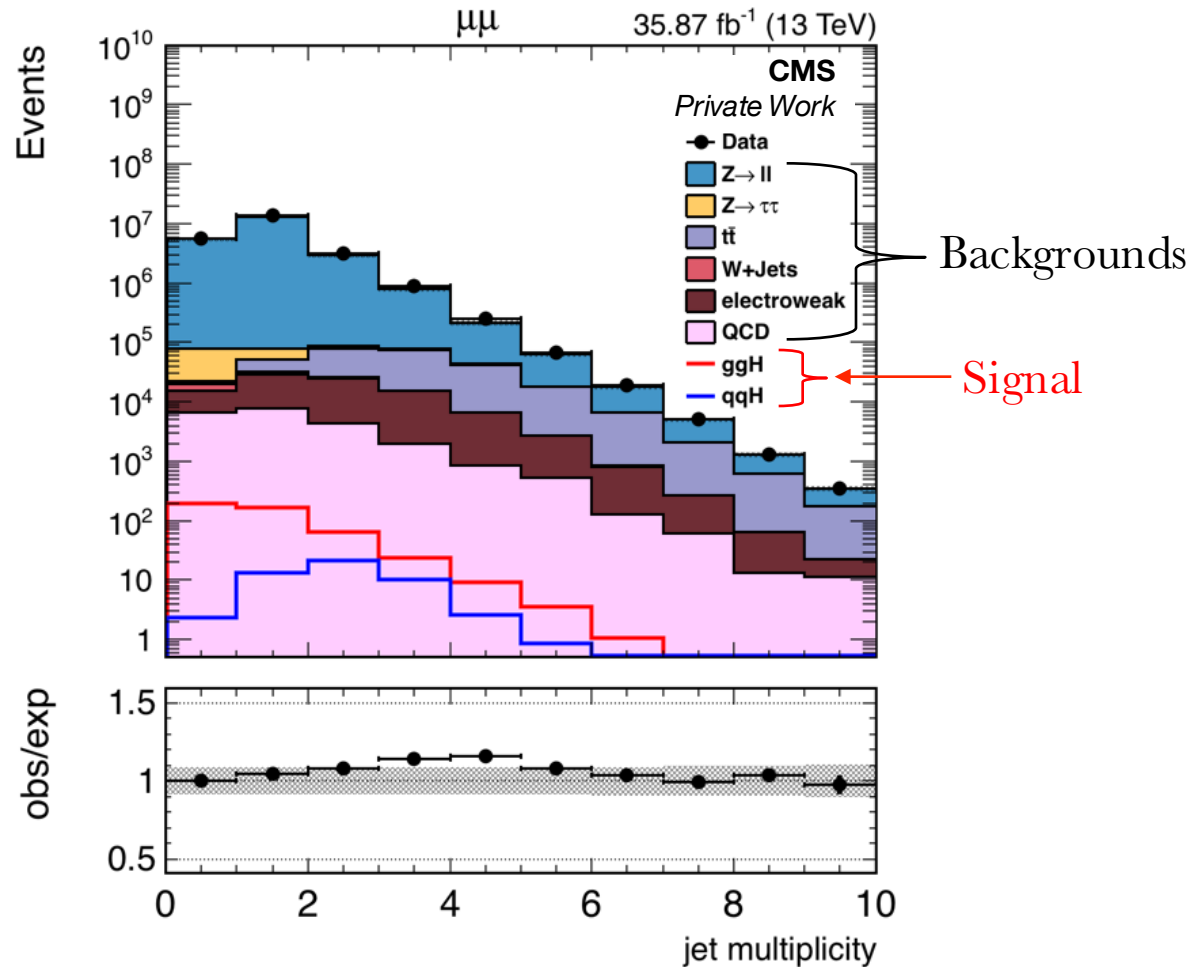
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- Run II full 2016 dataset RunB-H
- $\sqrt{s} = 13 \text{ TeV}$ ,  $\int \mathcal{L} dt = 35.9 \text{ fb}^{-1}$
- Bunch crossing 25ns
- Single Muon Data used with HLT single muon trigger *HLT\_IsoMu24\_V*
- $d_{xy} < 0.045 \text{ cm}$
- $d_Z < 0.2 \text{ cm}$
- $\Delta R(\mu^+, \mu^-) \geq 0.5$
- $\Delta\beta \text{ relIso} < 0.15$

## Channel Specific Selection

- Two muons with opposite charges
- $p_T > 10 \text{ GeV}$  and  $|\eta| < 2.4$
- Leading muon  $p_T > 25 \text{ GeV}$  and match HLT muon objects with  $\Delta R < 0.5$
- Must satisfy medium Particle Flow (PF) muon identification criteria for simulated events and for data from Run G-H
- Special 2016 (ICHEP) medium PF criteria for data from Run B-F
- Visible mass  $m_{\text{vis}} > 20 \text{ GeV}$

# Event Categorization



Three event categories based on the jet multiplicity are considered for signal extraction:

- **0-jet** Targets the gluon-gluon fusion production (ggH) (0 jet multiplicity)
- **Boosted** Targeting ggH events with the Higgs recoiling against an additional jet and qqH events that fail the di-jet cut (jet multiplicity is 1 or  $\geq 2$  with di-jet mass  $m_{jj} < 300$  GeV)
- **VBF** Targets the vector boson fusion (qqH) via di-jet cut (jet multiplicity is exactly 2 with di-jet mass  $m_{jj} > 300$  GeV)

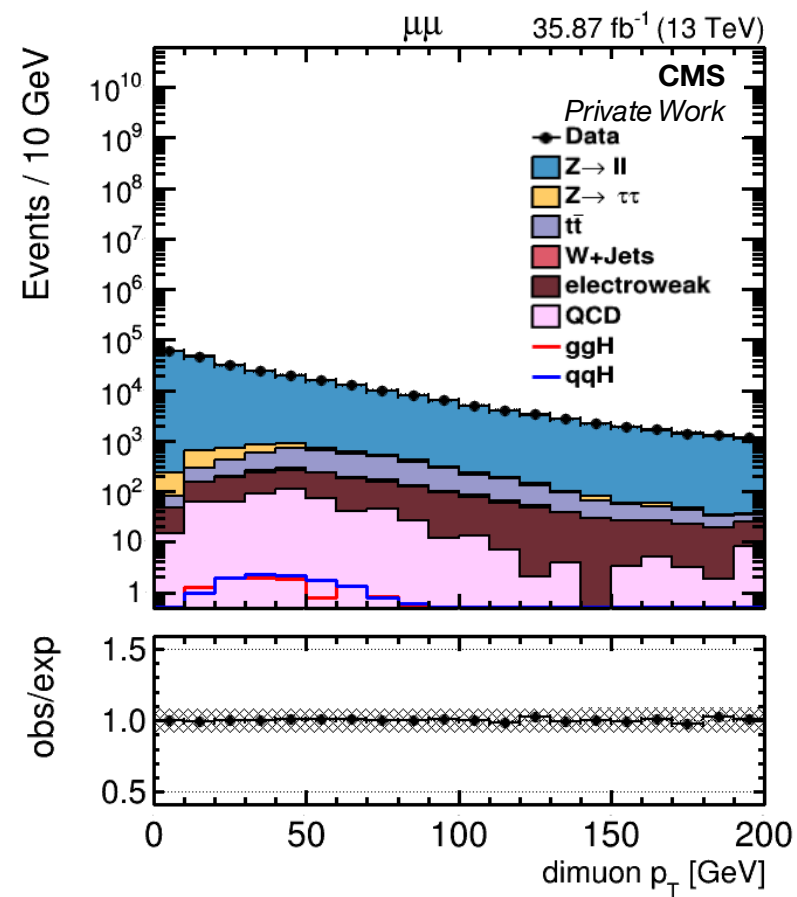
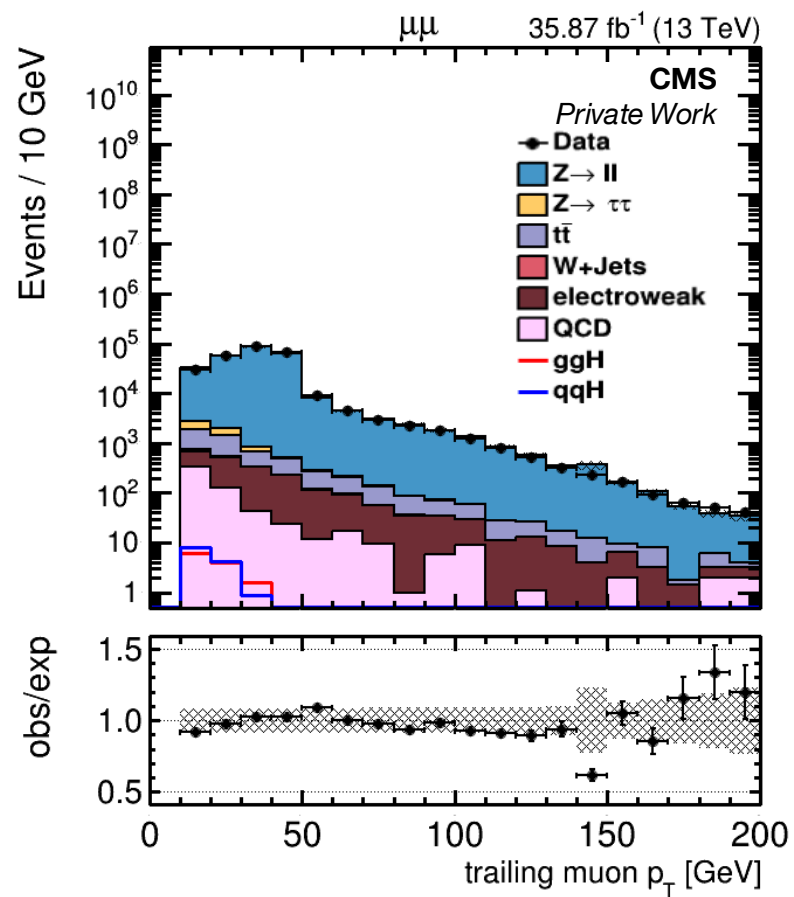
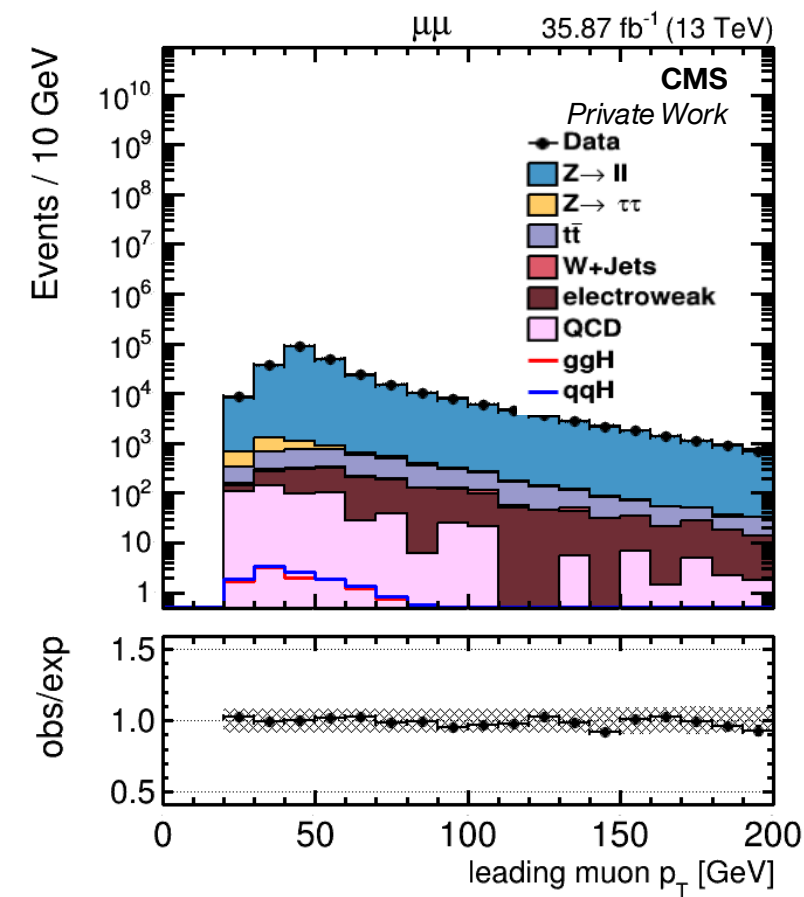
# Data/MC Corrections and Event Weights

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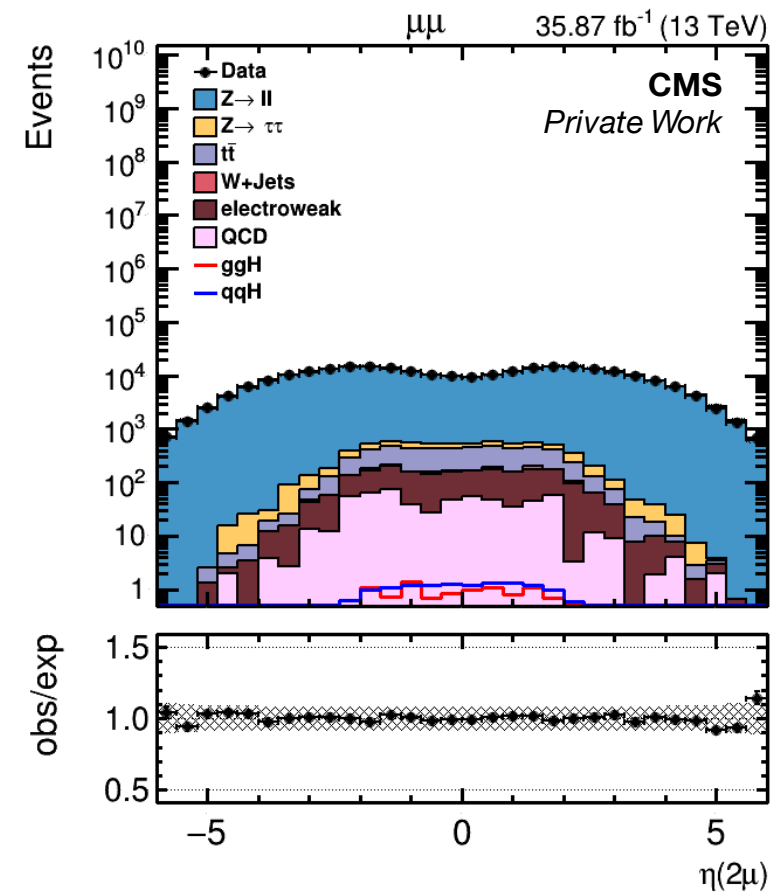
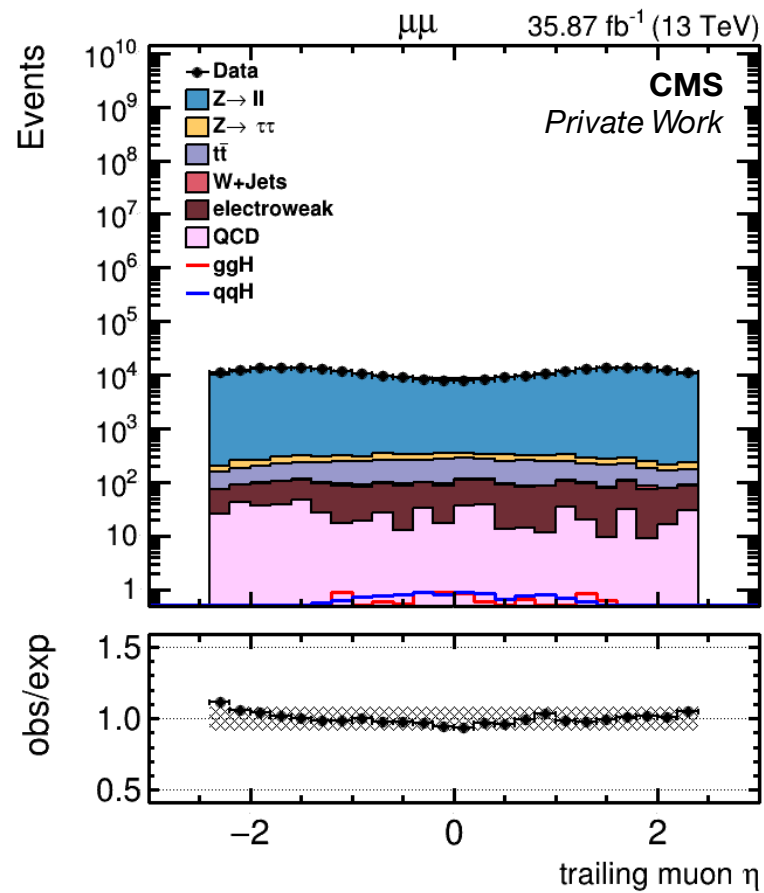
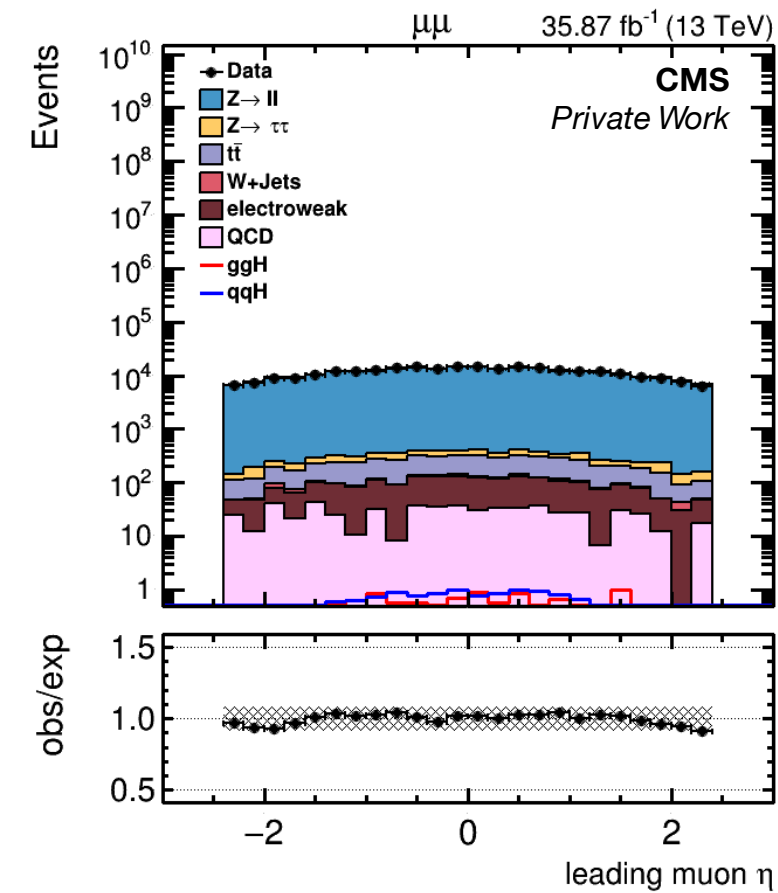
- **Generator event weights** applied to all MC samples on the event-by-event basis.
- **Pile-Up (PU)** reweighting applied to all MC samples (minimum bias xsec 69.2 mb).
- **Lepton ID/Iso scale factors** obtained using “Tag and Probe” method using  $Z \rightarrow \mu\mu$  MC samples and applied to all simulated events.
- **Trigger Efficiency** applied to all MC events.
- **DY reweighting** evaluated separately for each jet category in bins of dimuon  $p_T$ ,  $\eta$ , and mass and applied only to the DY events with mass  $> 50$  GeV.
- **Recoil corrections** applied to DY, W+jets, and Higgs MC samples
- **Top  $p_T$  reweighting** applied to  $t\bar{t}$  events.



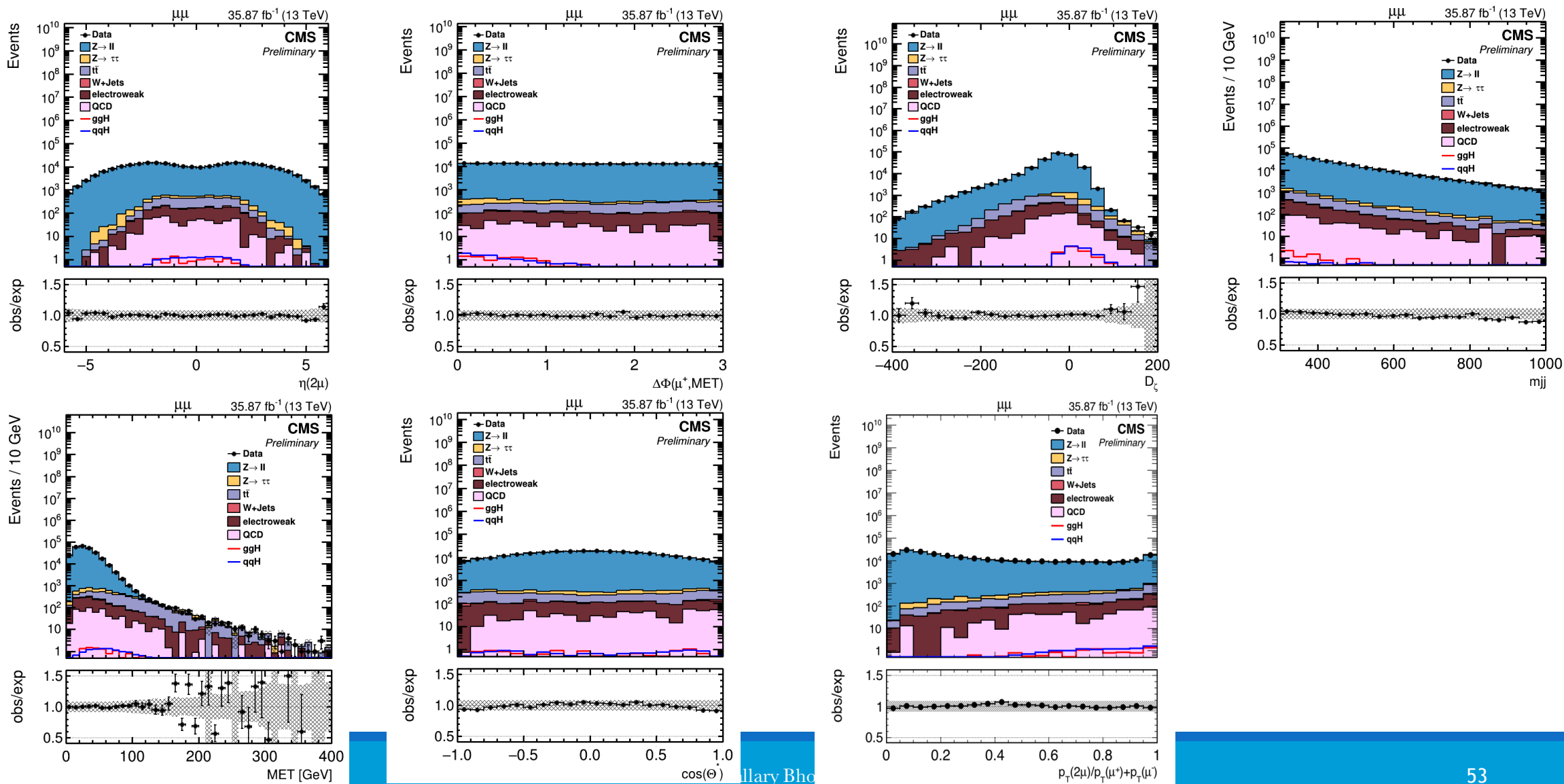
# Kinematic Plots for VBF Category



# Kinematic Plots for VBF Category



# Discriminating Variable Plots for VBF Category

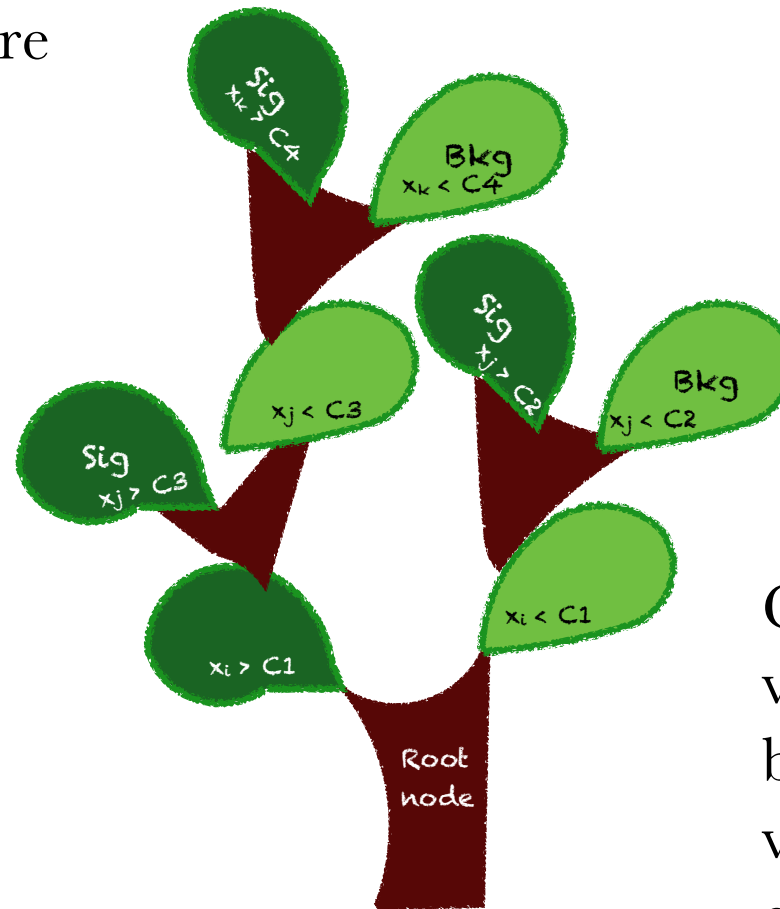


# Boosted Decision Trees

---

Ending nodes are called leaves

For each branch will repeat the step and form further two branches



Tree is formed through this process

Go through all input variable and find the best variable and value to plot the event



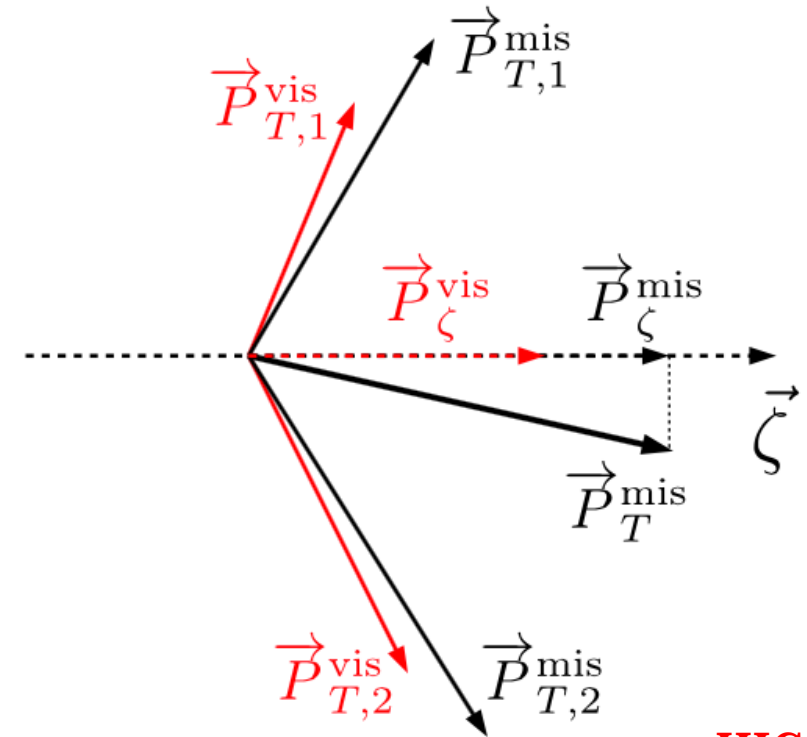
# Boosted Decision Trees (BDT)

---

- BDTs are trained and evaluated independently for each jet category using Higgs signal samples ggH ( 0-jets and boosted category), qqH (VBF category) and  $Z \rightarrow \mu\mu$  and  $Z \rightarrow \tau\tau$  background samples.
- The variables used in the BDT training are:
  - $\eta_{\text{dimuon}}$  The pseudo-rapidity of the dimuon system.
  - $p_T(2\mu) / [p_T(\mu^+) + p_T(\mu^-)]$  The ratio of the transverse momentum of the dimuon system to the scalar sum of the transverse momenta of the positive and the negative muon.
  - $E_T^{\text{miss}}$  The missing transverse energy of the system.
  - $\Delta\Phi(\mu^+, p_T^{\text{miss}})$  The azimuthal angle between the positive muon transverse momentum and the missing transverse momentum.
  - $\cos(\theta^*)$  The polar angle of the  $\mu^+$  in the rest frame of the dimuon system

# BDT continued ..

- $\mathbf{D}_\zeta$  is defined as the difference of the projection of the visible transverse momentum of the  $\tau$  decay products plus missing transverse momentum and visible transverse momentum of the  $\tau$  decay products on the  $\zeta$  axis which is the center line between visible momenta.
- $\mathbf{p}_T^{\text{tot}}$  (only for boosted category) Total transverse momentum of the system.
- $\mathbf{m}_{jj}$  (only for VBF category) Di-jet mass

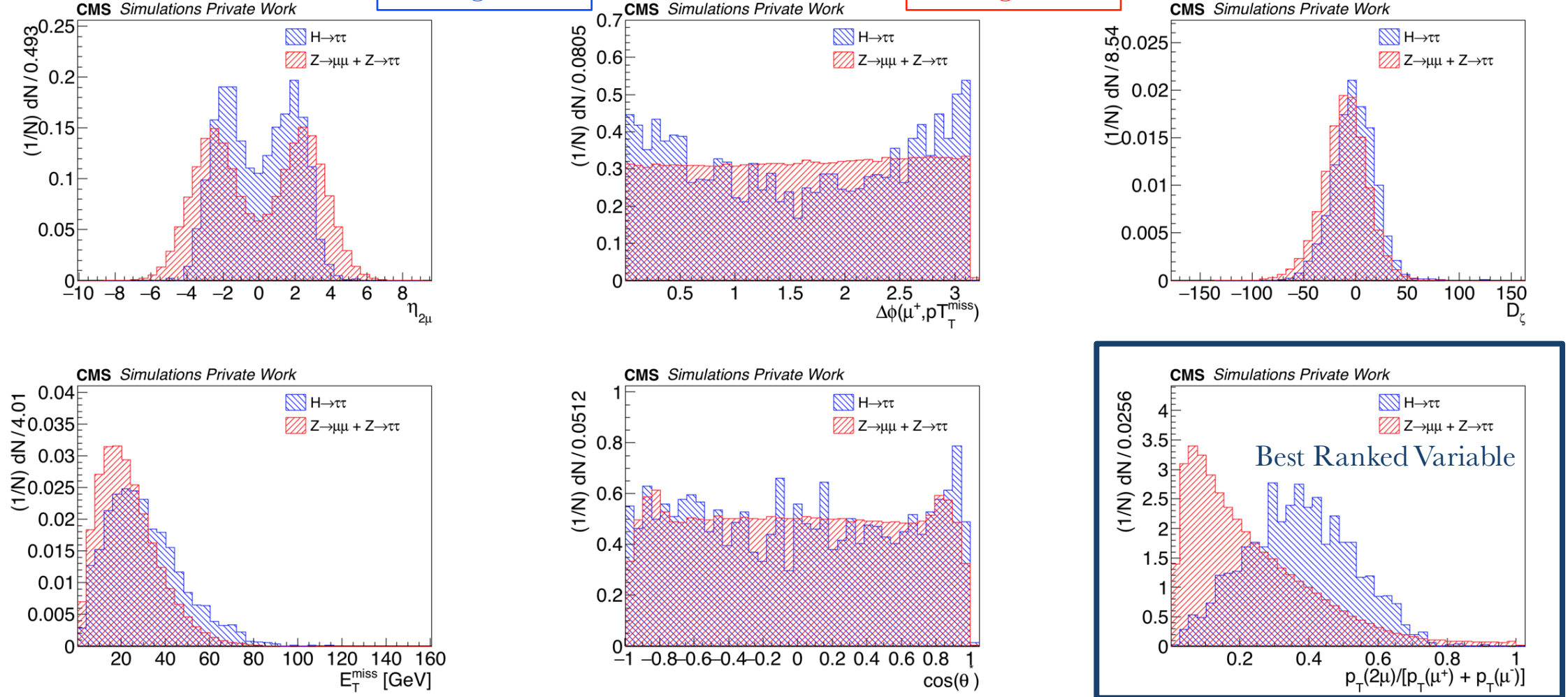


HIG-16-043

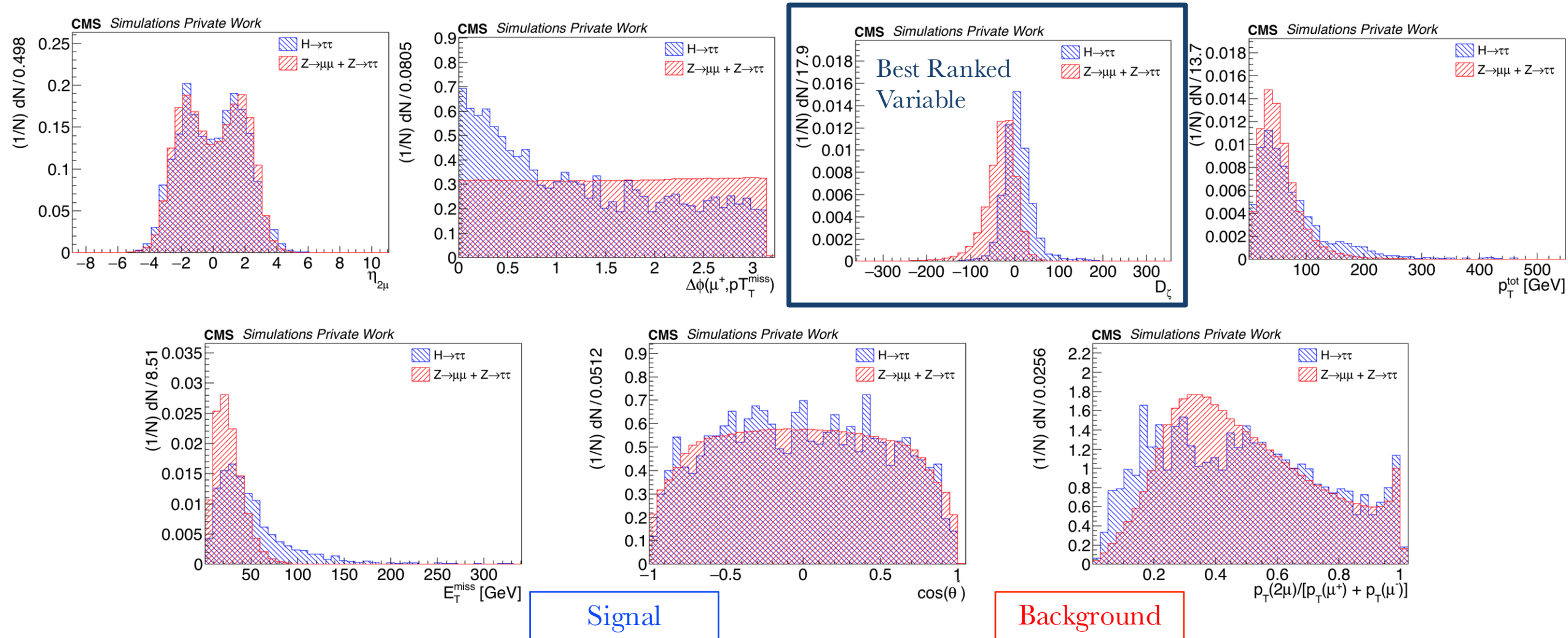
# BDT Input Variables for 0-jet Category

Signal

Background

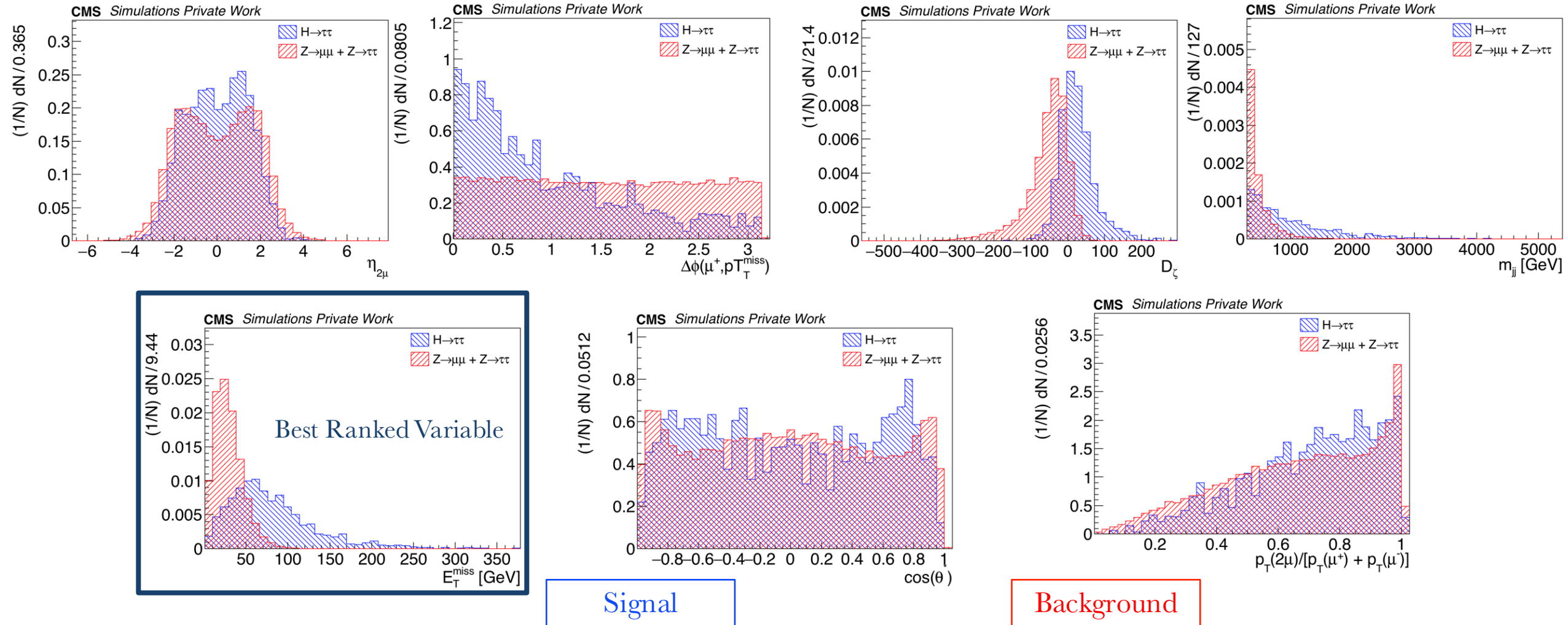


# BDT Input Variables for Boosted Category





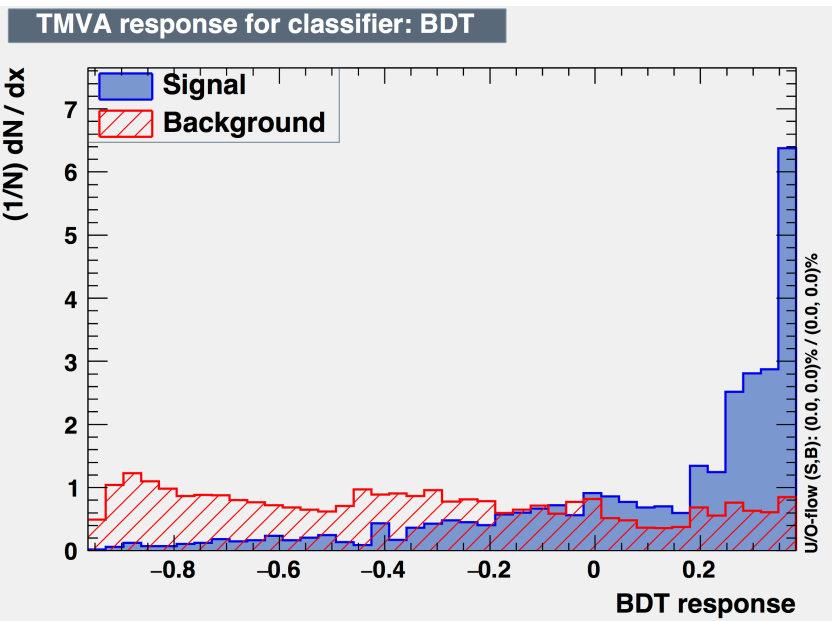
# BDT Input Variables for VBF Category



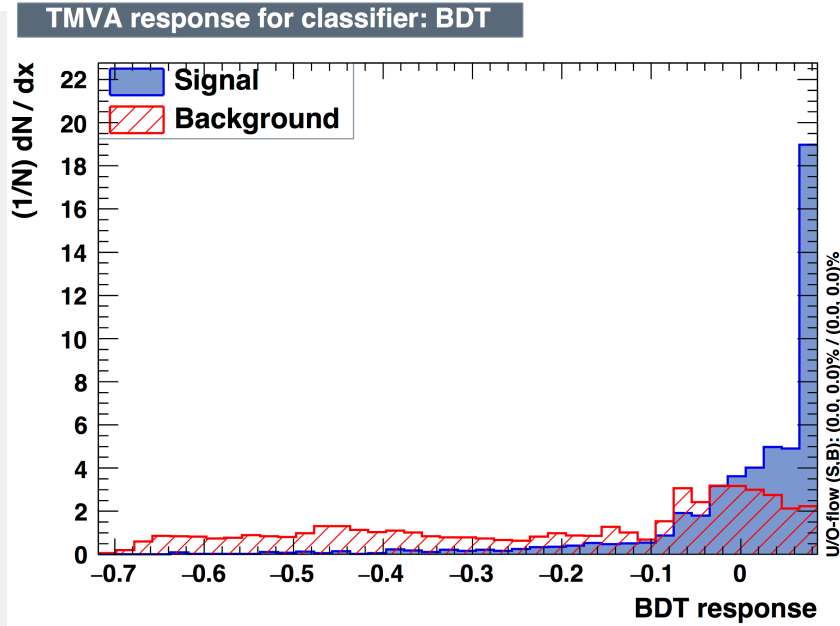


# BDT Response for Each Category (TMVA)

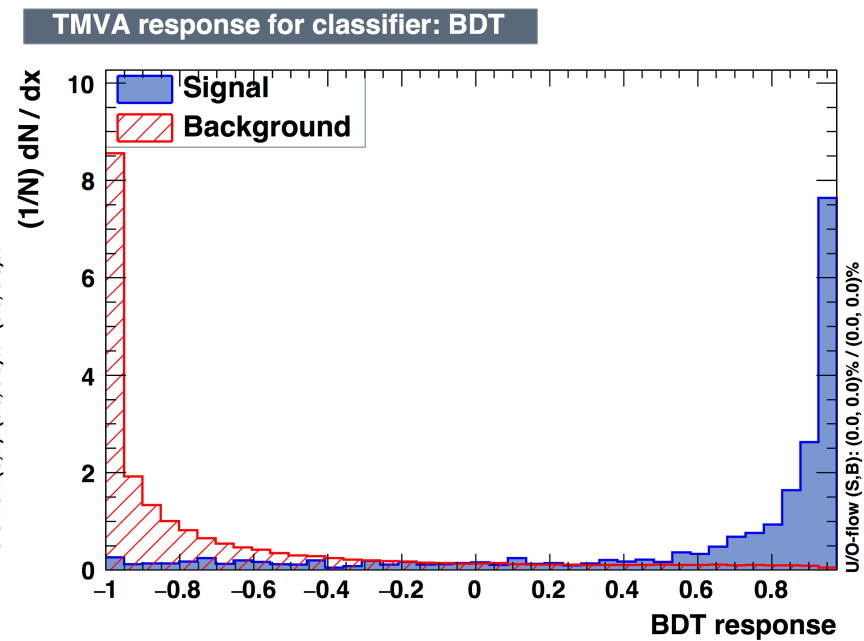
**0-Jet**



**boosted**



**VBF**

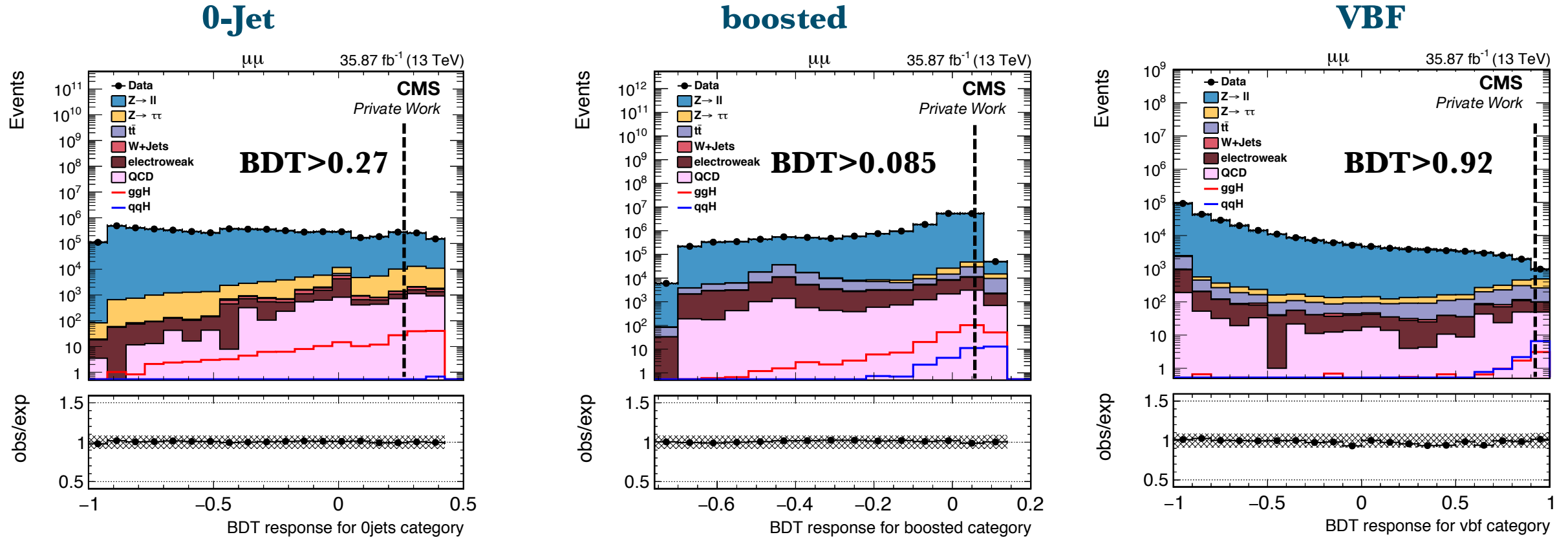


# Background Estimation

---

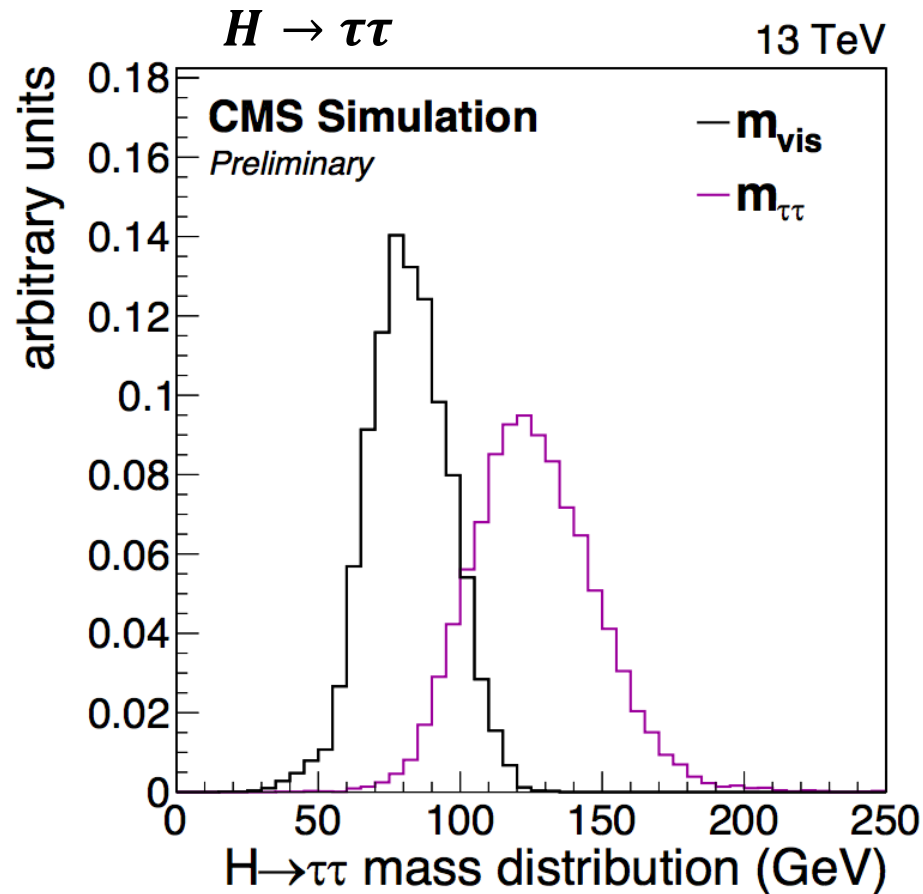
- The dominating background for this channel is **DY** and this background is estimated using the simulated MC events after applying all required corrections.
- The **QCD multijet** background is estimated from the same-sign (SS) data events, and then normalized by applying the extrapolation factor derived from the ratio of the opposite-sign events to same-sign events .
- **Diboson, Single top, and W+jets** together are referred to as the “**Electroweak**” background. It is estimated directly from MC after applying all the necessary corrections and reweighting.
- **$t\bar{t}$**  background is estimated from the MC events after applying the top  $p_T$  reweighting
- Events yield for respective backgrounds are derived from the prefit plots.

# BDT Response for Each Category



- BDT cuts are optimized by maximizing the sensitivity for signal and minimizing the upper limit on  $\mu = \sigma/\sigma_{SM}$
- For SVFit calculation a loose cut on the BDT is applied

# Signal Extraction Method



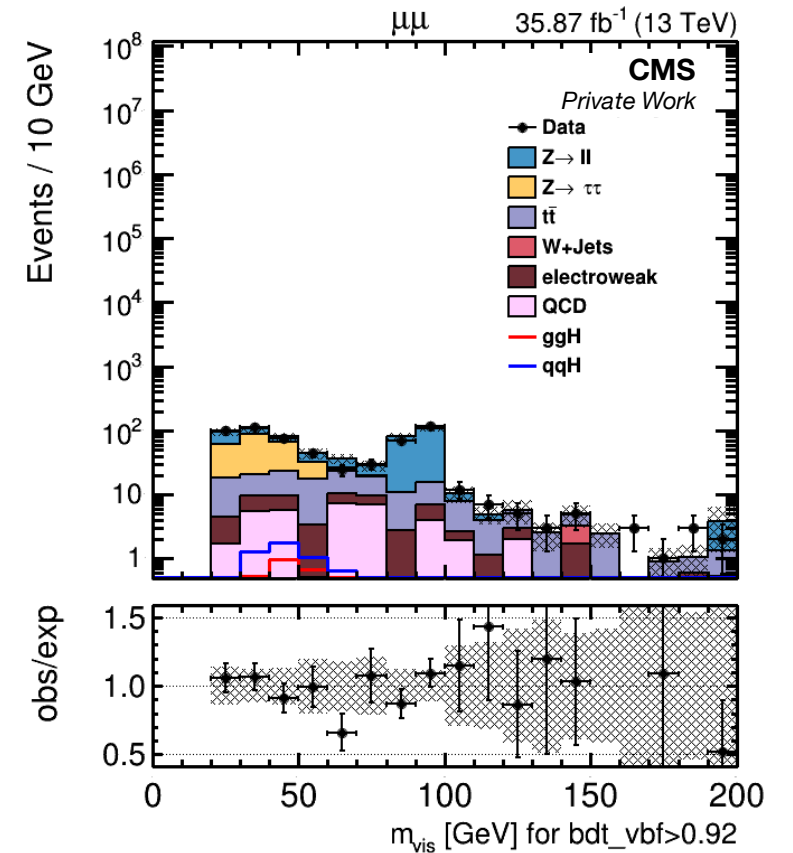
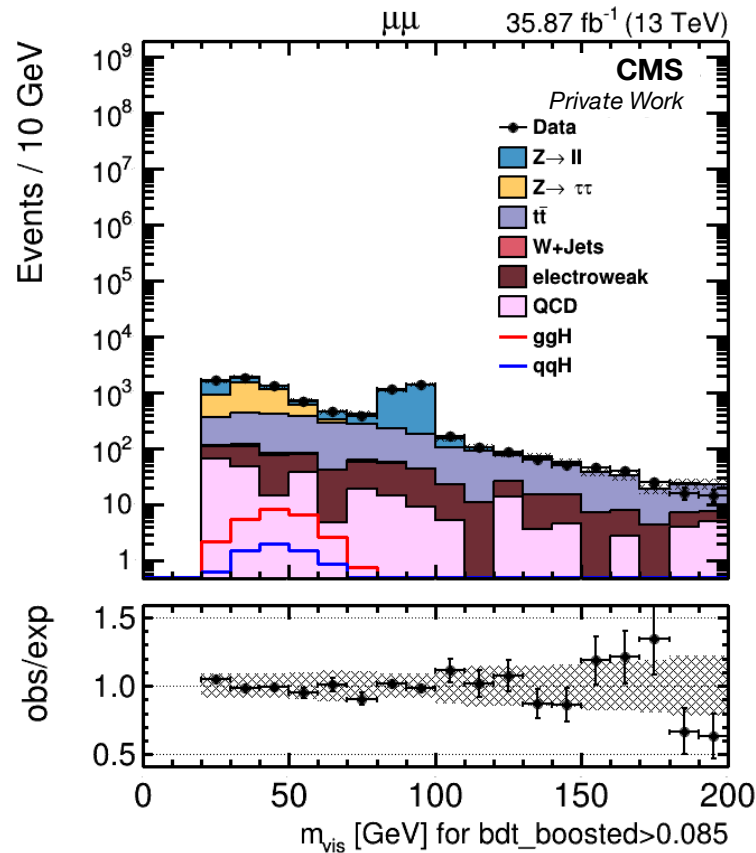
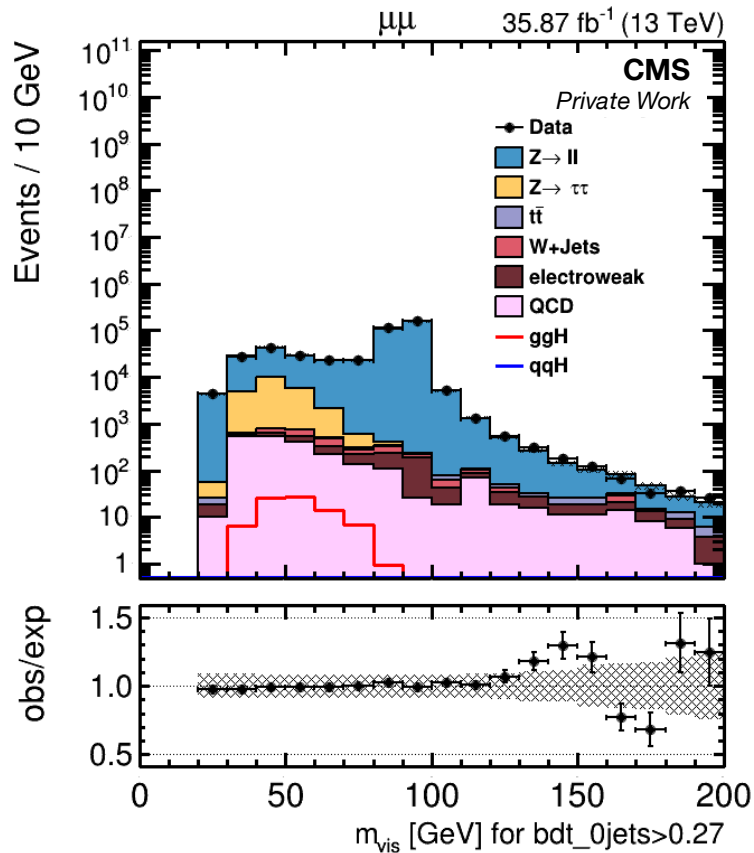
- Di-tau mass ( $m_{\tau\tau}$ ) is reconstructed using **Secondary Vertex Fit** (SVFit)
  - It is a likelihood-based estimation of the parent boson mass
  - Inputs used for the calculations are: MET, MET uncertainties and four-vectors of the muon candidate
- Signal is extracted using 2-dimensional distribution in the plane of reconstructed SVFit mass  $m_{\tau\tau}$  and visible mass  $m_{\mu\mu}$

# Mass Distributions – Visible mass ( $m_{\mu\mu}$ )

**0-Jet**

**boosted**

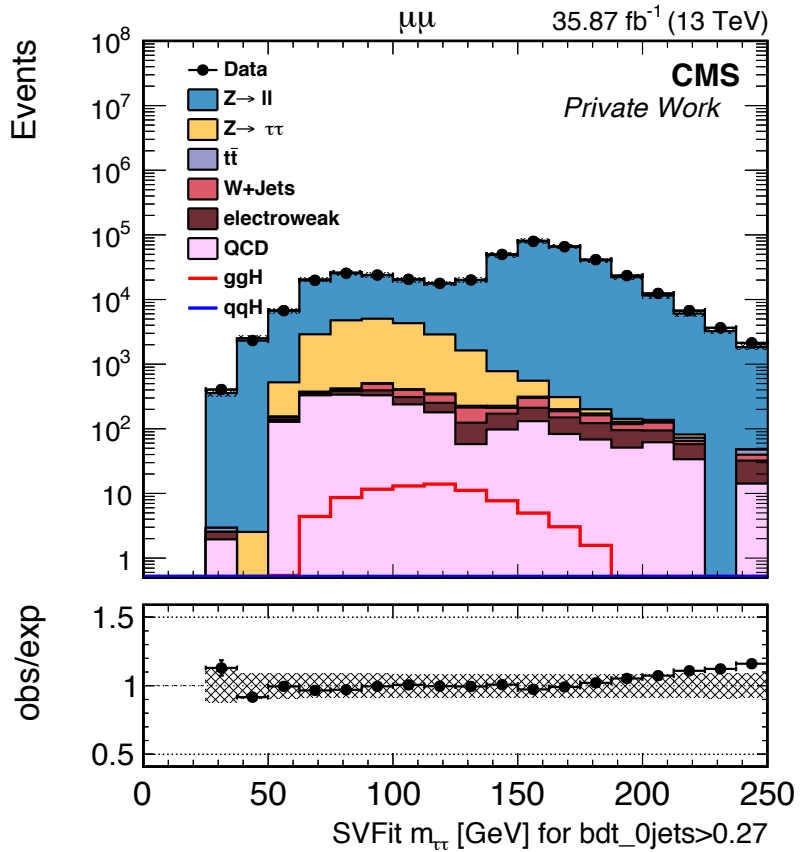
**VBF**



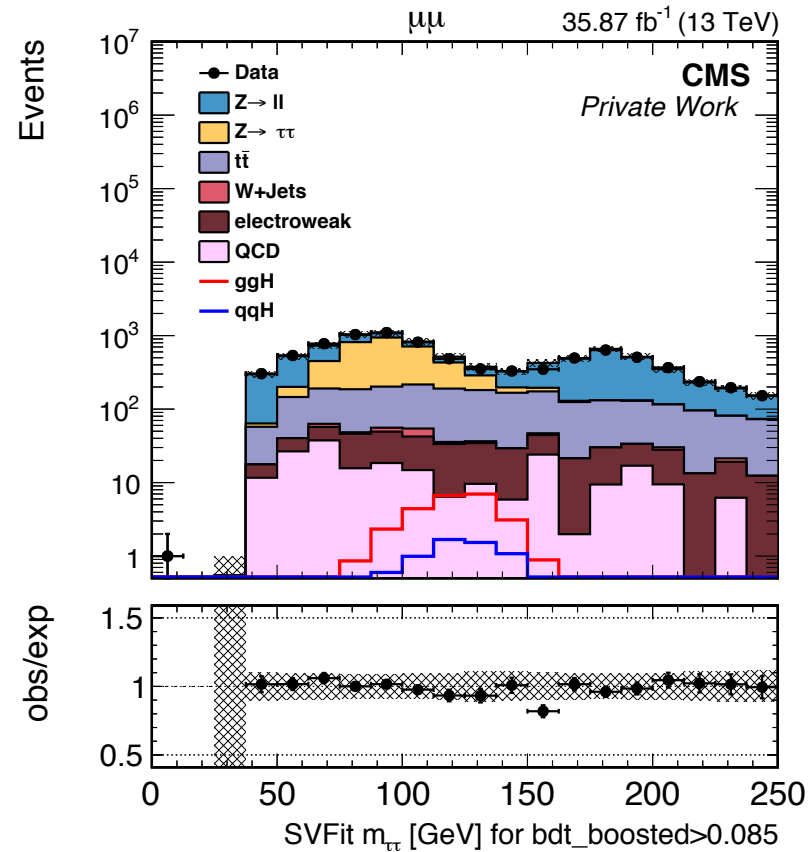


# Mass Distributions - SVFit mass ( $m_{\tau\tau}$ )

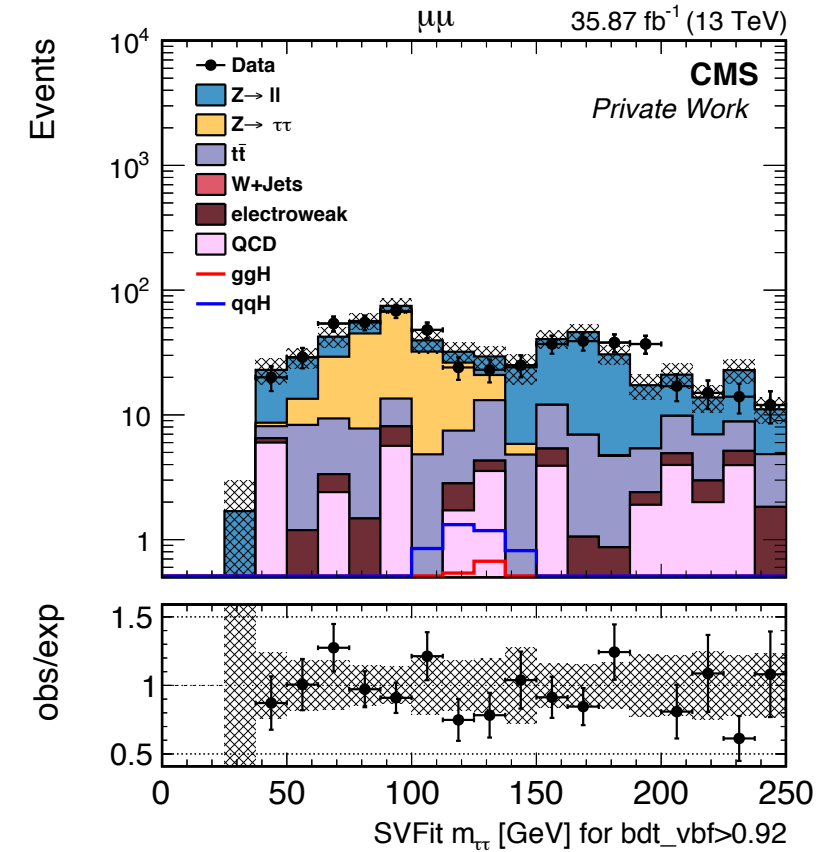
**0-Jet**



**boosted**

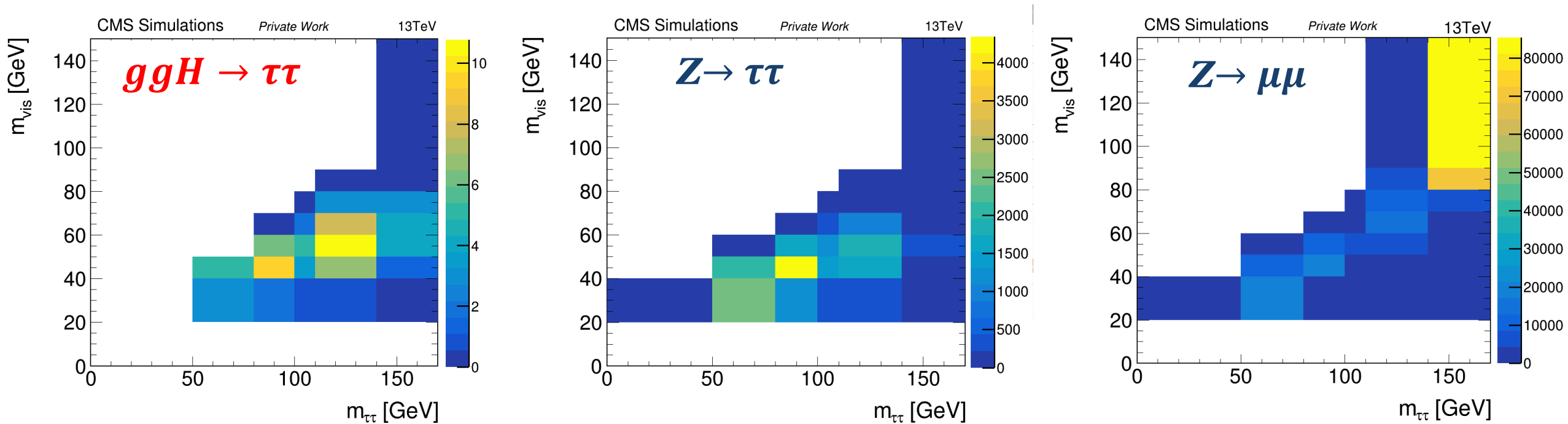


**VBF**



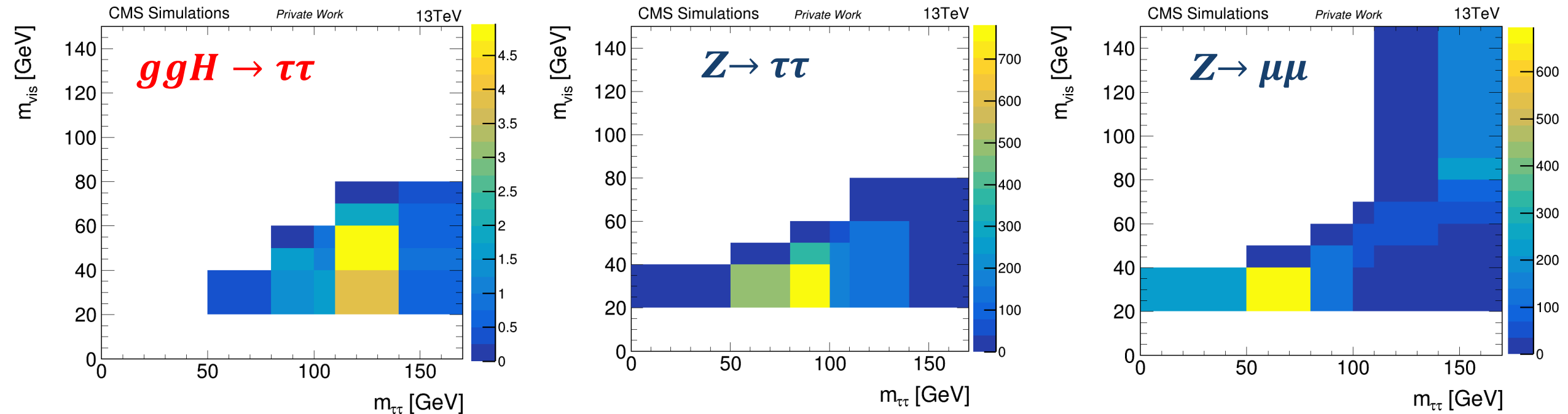
# 2-D Mass distribution (0-jet)

After applying all selections



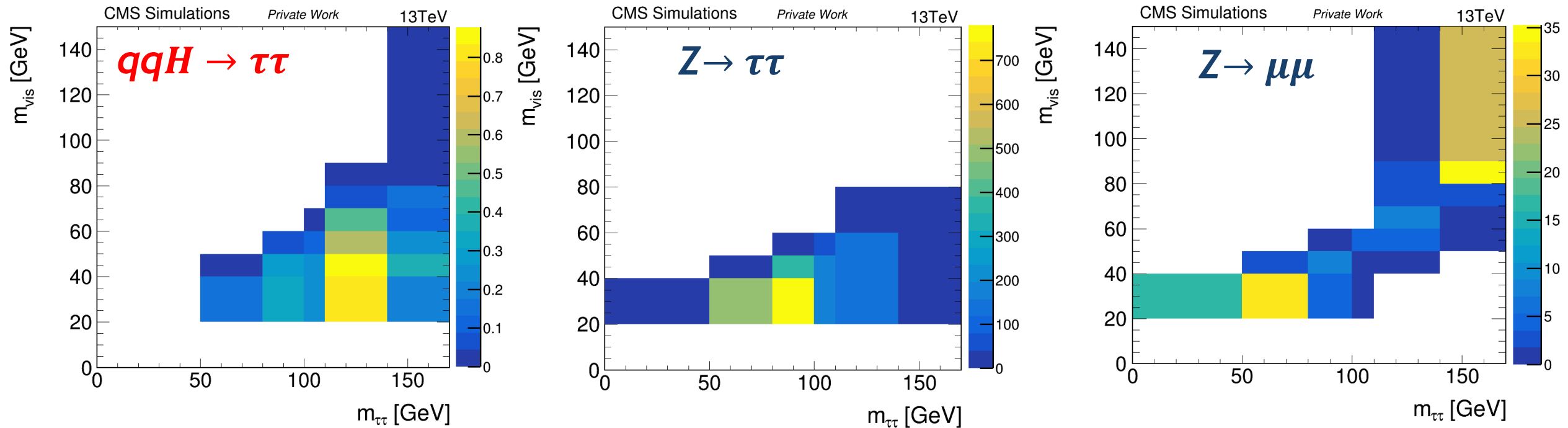
# 2-D Mass distribution (boosted)

After applying all selections



# 2-D Mass distribution (VBF)

After applying all selections



# Uncertainty Model

---

## Normalization Uncertainties

- Luminosity 2.6%
- Identification/Isolation Efficiencies 2% for each muon
- Background (Systematic uncertainties on the sideband)
  - QCD multijets 20%
  - $t\bar{t}$  7%
  - Electroweak 15%

## Shape Uncertainties

- Muon momentum scale 1% (conservative)
- Top  $p_T$  reweighting
- DY reweighting
- b-tag efficiency
- Jet Energy Scale (JES)
- Missing Transverse Energy response

These uncertainties are used as nuisance parameters in likelihood function. The expected and observed limits are extracted by maximizing the likelihood function for each bin of the unrolled mass.



# Likelihood Function

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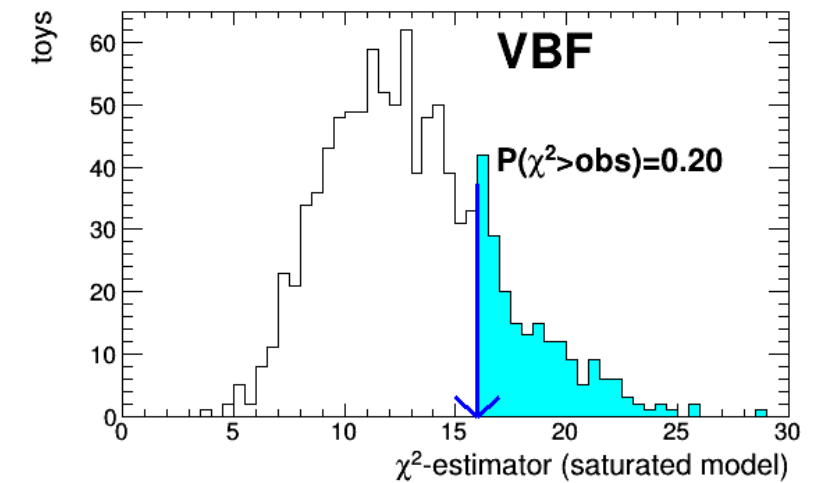
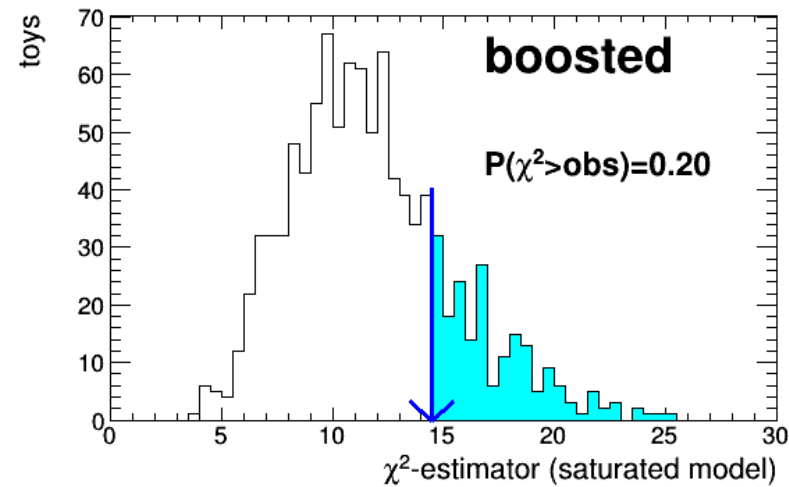
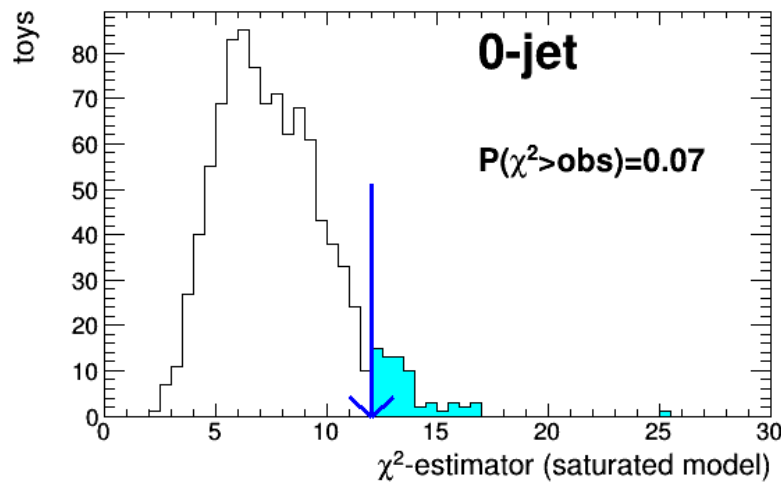
$$\mathcal{L}(\vec{n}|\vec{b}) = \prod_{i=0}^N \frac{e^{-b_i} b_i^{n_i}}{n_i!} \prod_{j=0}^L p(\bar{\theta}_j|\theta_j)$$

- $n$  is observed number of events,  $b$  is expected number of events
- $N$  is total number of bins
- $p(\bar{\theta}_j|\theta_j)$  is PDF for nuisance parameter  $\theta$ , where  $\bar{\theta}_j$  is consider the default value of the parameter and reflects the degree of belief on what the real value of parameter  $\theta$

# Goodness of Fit

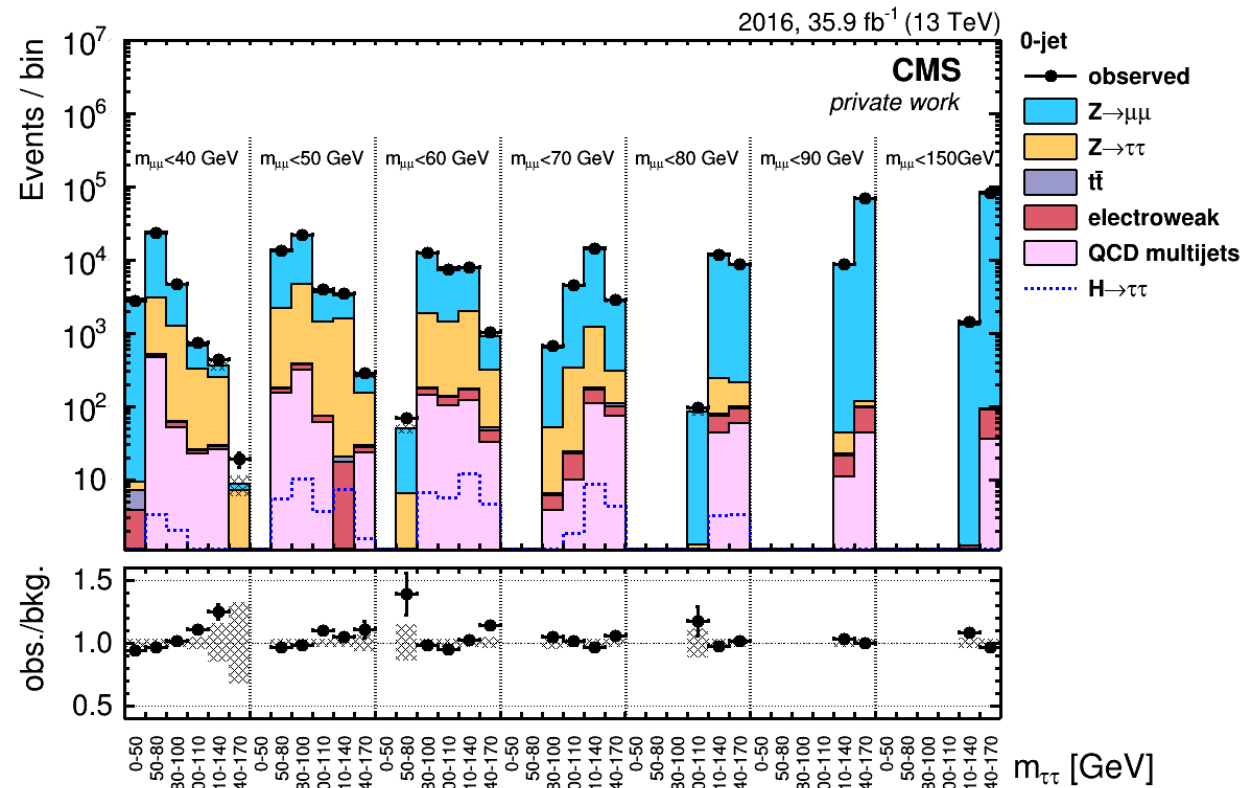
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Using 1000 toy runs

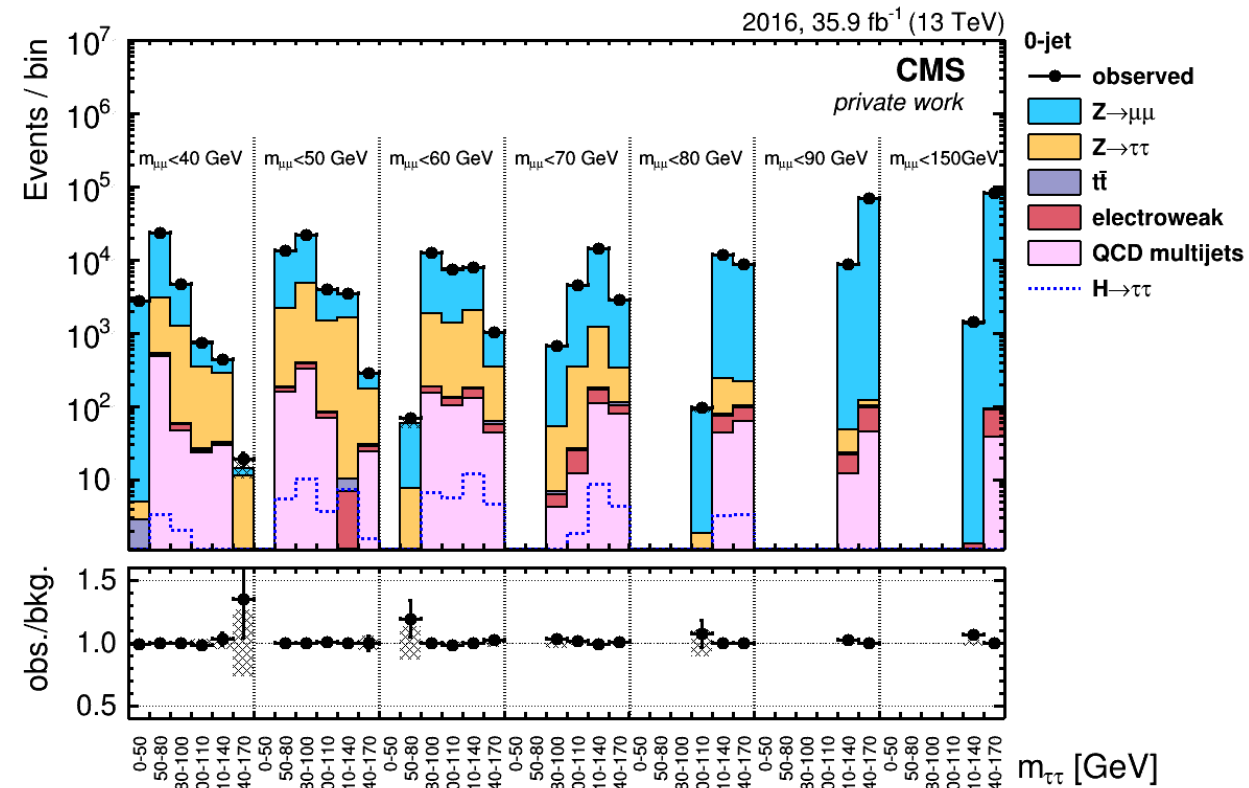


# Prefit and Postfit Plots for 0-jet Category

## Prefit

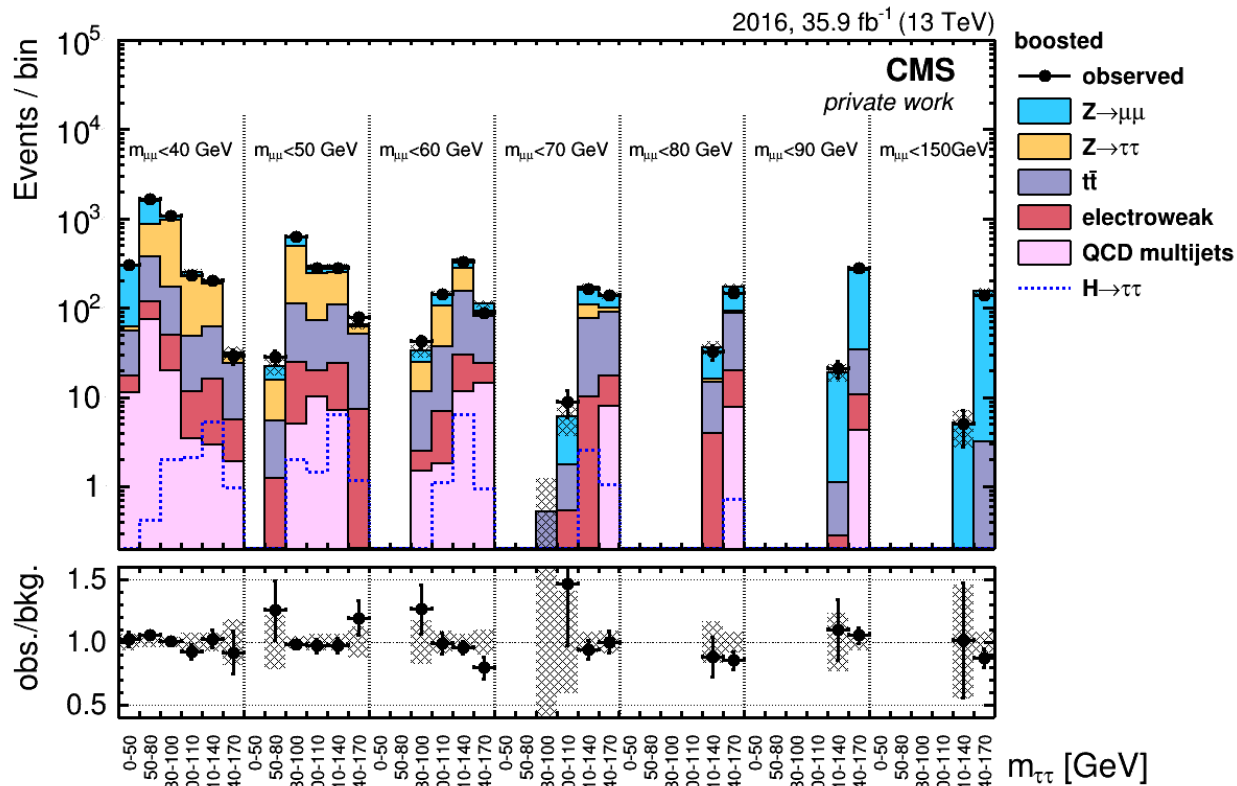


## Postfit

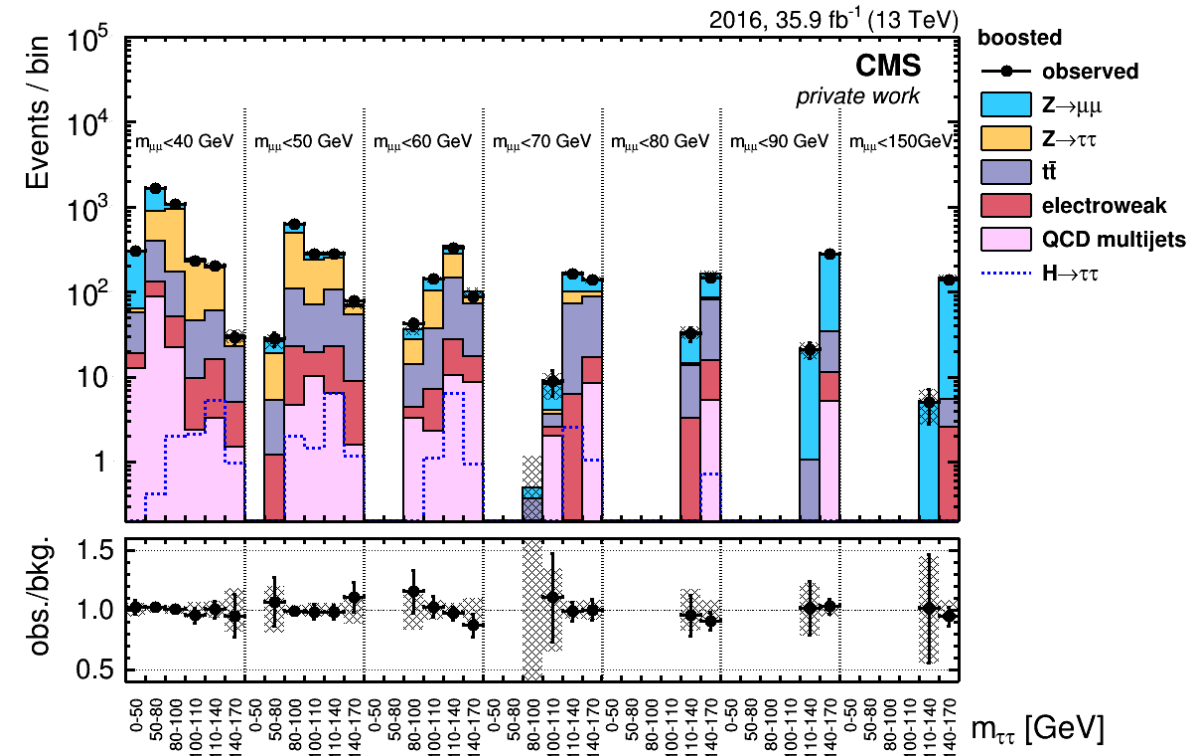


# Prefit and Postfit Plots for Boosted Category

## Prefit

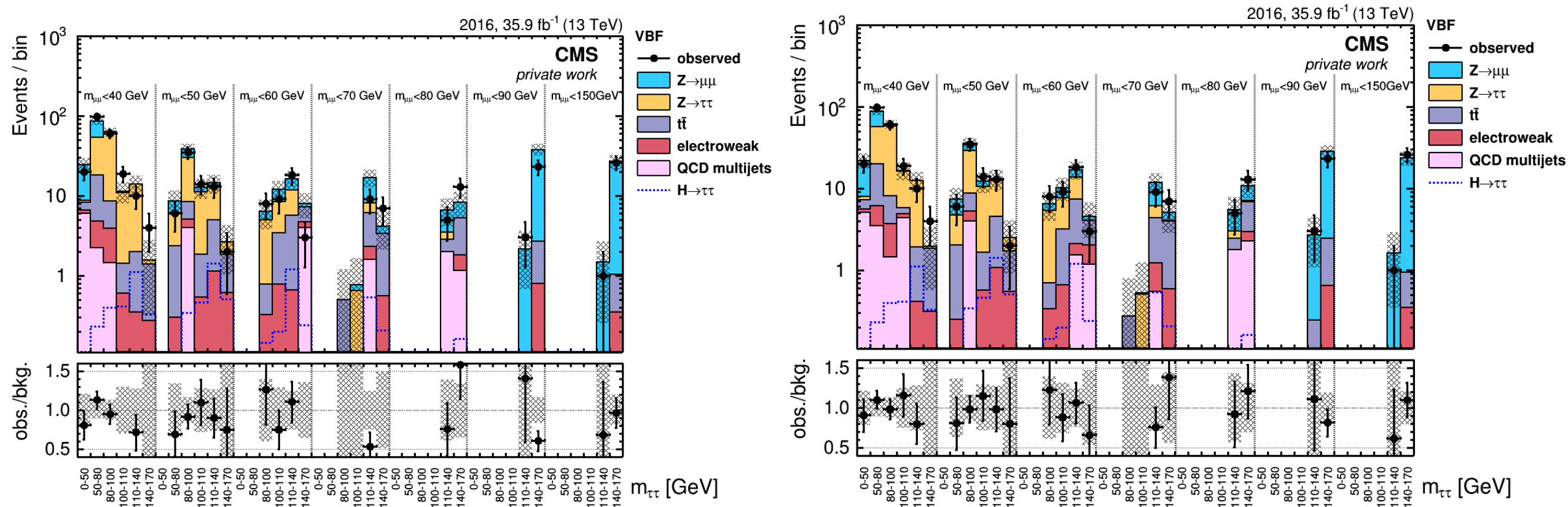


## Postfit



# Prefit and Postfit Plots for VBF Category

## VBF





# Postfit Plots

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- The event yield in the  $H \rightarrow \tau\tau$  signal and respective background events in this channel in the data corresponding to the integrated luminosity  $35.9 \text{ fb}^{-1}$

## Prefit

Process	0-jet	boosted	VBF
$Z \rightarrow \tau\tau$	$20\,502 \pm 156$	$2\,585 \pm 37$	$171 \pm 12$
$Z \rightarrow \mu\mu$	$288\,856 \pm 928$	$2\,027 \pm 37$	$155 \pm 11$
Multijet	$1\,900 \pm 150$	$182 \pm 36$	$22 \pm 10$
$t\bar{t}$	$111 \pm 7$	$1\,266 \pm 23$	$60 \pm 5$
Electroweak	$560 \pm 7$	$240 \pm 7$	$16 \pm 2$
Total Expected Background	$31\,2495 \pm 561$	$6\,336 \pm 80$	$425 \pm 21$
Signal $H \rightarrow \tau\tau$	$86 \pm 3$	$28 \pm 2$	$6 \pm 0$
Data	308 013	6 342	405

## Postfit

Process	0-jet	boosted	VBF
$Z \rightarrow \tau\tau$	$20\,851 \pm 144$	$2\,585 \pm 51$	$167 \pm 13$
$Z \rightarrow \mu\mu$	$283\,967 \pm 533$	$2\,044 \pm 45$	$134 \pm 12$
Multijet	$1\,973 \pm 45$	$194 \pm 14$	$26 \pm 5$
$t\bar{t}$	$110 \pm 11$	$1\,248 \pm 35$	$59 \pm 8$
Electroweak	$550 \pm 23$	$229 \pm 15$	$16 \pm 4$
Total Background	$308\,032 \pm 555$	$6\,332 \pm 80$	$402 \pm 20$
Signal $H \rightarrow \tau\tau$	$86 \pm 3$	$28 \pm 2$	$6 \pm 0$
Data	308 013	6 342	405

# Fitted Signal Strength and Limits

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Signal Strength  
relative to SM

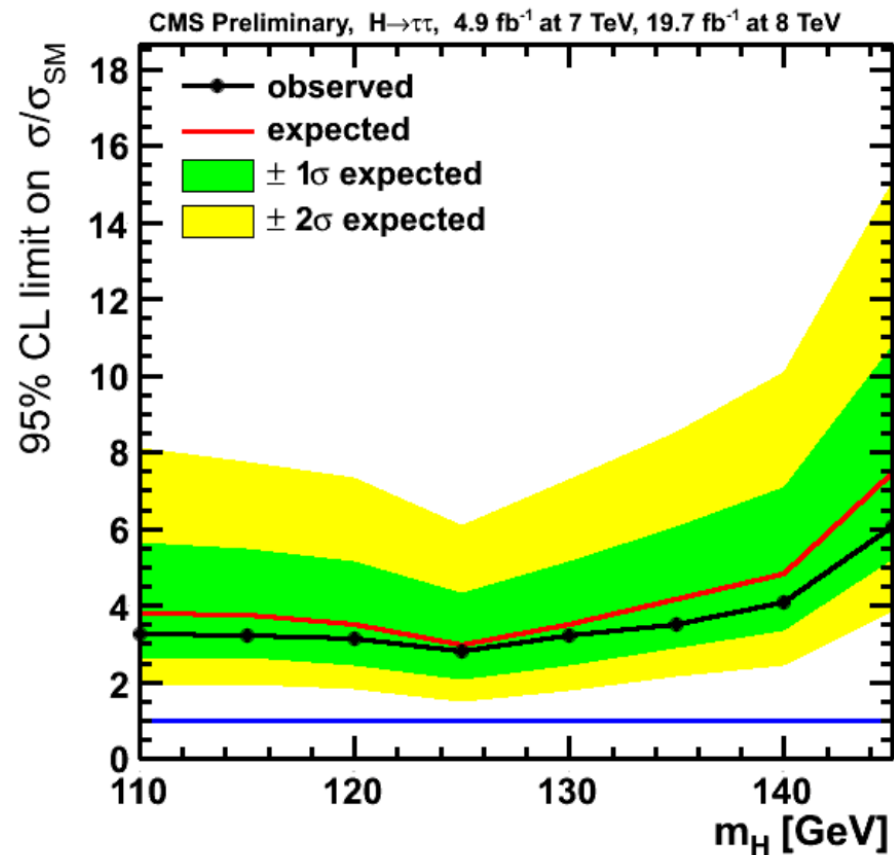
Category	Best Fit	Post fit deviation from SM
0-jet	$12.1 \pm 13.0$	$0.9\sigma \uparrow$
boosted	$-2.3 \pm 2.8$	$0.8\sigma \downarrow$
VBF	$0.4 \pm 1.9$	$0.2\sigma \uparrow$
Combination	$-1.0 \pm 1.7$	$0.6\sigma \downarrow$

Upper 95% CL limits on the signal strength in mumu channel

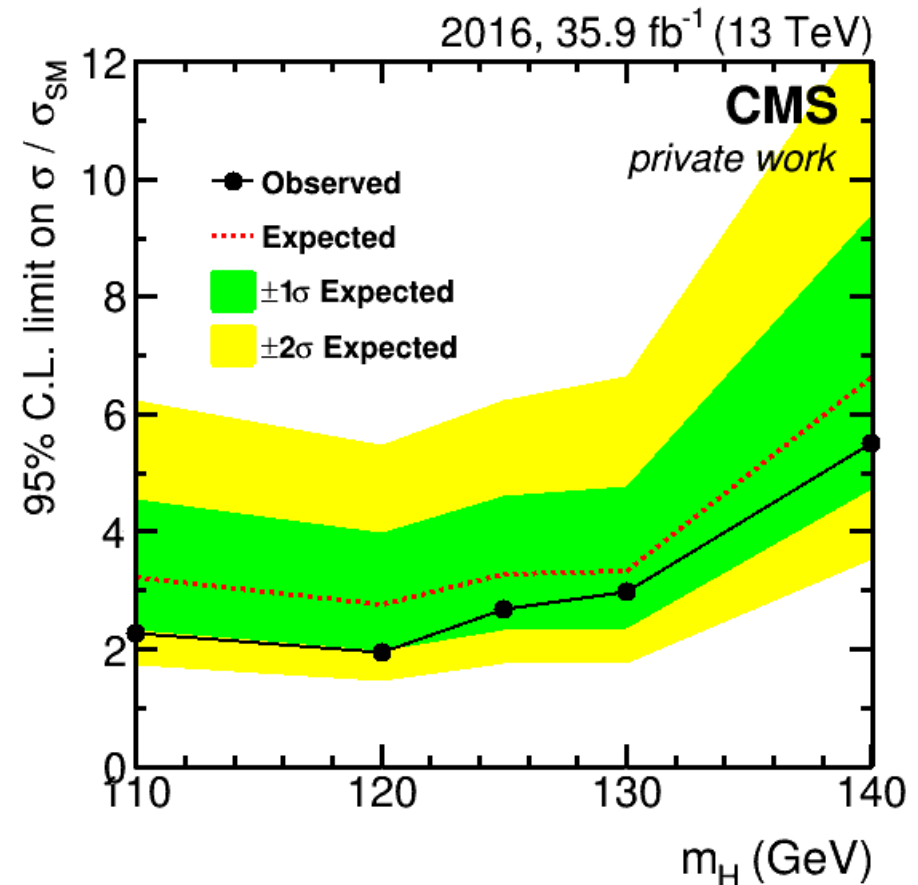
Category	$-2\sigma$	$-1\sigma$	exp.	$+1\sigma$	$+2\sigma$	<b>obs.</b>
0-jet	12.7	17.0	23.8	33.6	45.8	<b>34.9</b>
boosted	2.8	3.8	5.3	7.4	10.0	<b>4.0</b>
VBF	2.1	2.8	4.0	5.7	8.0	<b>4.2</b>
Combination	1.7	2.3	3.2	4.6	6.2	<b>2.7</b>

# Limit Plots

## Run I ( $\tau_\mu\tau_\mu$ Channel)



## Run II ( $\tau_\mu\tau_\mu$ Channel)



# Summary

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- Successfully implemented Single BDT method to suppress the DY dominating background in each event category.
- The signal strength in  $H \rightarrow \tau\tau \rightarrow \mu\mu$  channel relative to SM is

$$\hat{\mu} = \sigma/\sigma_{SM} = -1.00 \pm 1.7 \text{ (Run II)}$$

- The expected and observed 95% CL limits on signal strength in the combination category are  
**3.2 (Exp.) and 2.7(Obs.)**

**Thanks!!!!**

# Publications

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- Direct Contributions:

- **CMS Collaboration, “Validation of analysis techniques relevant for studies of  $\tau$  lepton production and application to measurement of the  $Z/\gamma^* \rightarrow \tau\tau$  cross section in pp collisions at  $\sqrt{S} = 13$  TeV” CMS PAS HIG-15-007 (submitting soon to EPJC)**
- **Bhopatkar, V., et al. “Measurement of the charge induced on the readout strips of a GE1/1 detector prototype for the CMS muon endcap GEM upgrade”, CMS IN-2017/001, <http://cms.cern.ch/iCMS/user/noteinfo?cmsnoteid=CMS%20IN-2017/001>**
- **CMS Collaboration, “CMS Technical Design Report for the Muon Endcap GEM Upgrade,” CERN-LHCC-2015-012/CMS-TDR-013**
- Zhang, A., et al. “Performance of a Large-Area GEM detector Read Out with Radial Zigzag Strips”, Nuclear Instruments and Methods in Physics A, 811(2016) pp. 30-41 <http://dx.doi.org/10.1016/j.nima.2015.11.157>
- **Bhopatkar, V., on behalf of CMS GEM collaboration, “Performance of a Large-Area GEM Detector Prototype for the Upgrade of the CMS Muon Endcap System”, Proc. 2014 IEEE Nucl. Sci. Symposium, Seattle, WA IEEE, 8-15 Nov. 2014, <http://dx.doi.org/10.1109/NSSMIC.2014.7431249>**

- Indirect Contribution

- Zhang, A., et al. “R&D on GEM Detectors for Forward Tracking at a Future Electron-Ion Collider”, Proc. 2015 IEEE Nuclear Sci. Symposium, San Diego, CA, 31 Oct-7 Nov. 2015, <http://dx.doi.org/10.1109/NSSMIC.2015.7581965>
- All other CMS publications



# Conferences

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## Oral Presentations:

- *APS DPF meeting 2017, Fermilab, Batavia, IL, USA, “Search for SM Higgs Boson in the  $H \rightarrow \tau\tau \rightarrow \mu\mu$  decay mode with the CMS experiment at 13 TeV”*
- *IEEE Nuclear Science Symposium and Medical Imaging Conference (NSS/MIC) 2014 IEEE, Seattle, WA, USA 8-15 Nov 2014, “Performance of a large-area GEM detector prototype for the upgrade of the CMS muon endcap system”*
- *April Meeting of the American Physical Society APS2014, Savannah, GA, USA 5-8 Apr 2014, “Beam test of a large-area GEM detector prototype for the upgrade of the CMS muon endcap system”*
- *78<sup>th</sup> Annual Meeting of Florida Academy of Sciences (FAS) at Indian River State College, FL, FAS2014, “Construction and beam test of a full-size GE1/1 gas electron multiplier (GEM) prototype detector for the CMS muon detector upgrade” (Outstanding Graduate Student Oral Presentation)*
- *77<sup>th</sup> Annual Meeting of Florida Academy of Sciences at Barry University, Miami, FL USA FAS2013, “Gain Measurements of the triple gas electron multiplier (GEM) detector with zigzag readout strips” (Outstanding Graduate Student Oral Presentation)*

# Conferences

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## Poster Presentation:

- *38<sup>th</sup> International Conference on High Energy Physics 3-10 August 2016, Chicago, IL, USA* “Search for a neutral MSSM Higgs Boson decaying into a Pair of Tau Leptons at 13 TeV with the CMS Experiment” (received ICHEP 2016 travel grant award)
- *CMS Week Dec 2014, Miami, FL, USA*, “Performance of a GE1/1-III Prototype GEM Detector for the Upgrade of the CMS Muon Endcap System”
- *CMS Upgrade Week CMSUP2014, Karlsruhe, DE*, “Beam Test of a large-area GEM detector prototype for the upgrade of the CMS muon endcap system”

# Acknowledgement

---

My sincere thanks to:

Dr. Marcus Hohlmann (Thesis and research advisor at Florida Tech)

Dissertation committee member:

Dr. Francisco Yumiceva

Dr. Ming Zhang

Dr. Debasis Mitra

Dr. Alexei Raspereza ( co-research advisor at DESY)

Dr. Elisabetta Gallo (co-research advisor at DESY)

Florida Tech GEM + HEP group

DESY HTT group

CMS HTT group

# Backup Slides

# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight : 14,000 tonnes  
Overall diameter : 15.0 m  
Overall length : 28.7 m  
Magnetic field : 3.8 T

STEEL RETURN YOKE  
12,500 tonnes

SILICON TRACKERS  
Pixel ( $100 \times 150 \mu\text{m}$ )  $\sim 16\text{m}^2 \sim 66\text{M}$  channels  
Microstrips ( $80 \times 180 \mu\text{m}$ )  $\sim 200\text{m}^2 \sim 9.6\text{M}$  channels

SUPERCONDUCTING SOLENOID  
Niobium titanium coil carrying  $\sim 18,000\text{A}$

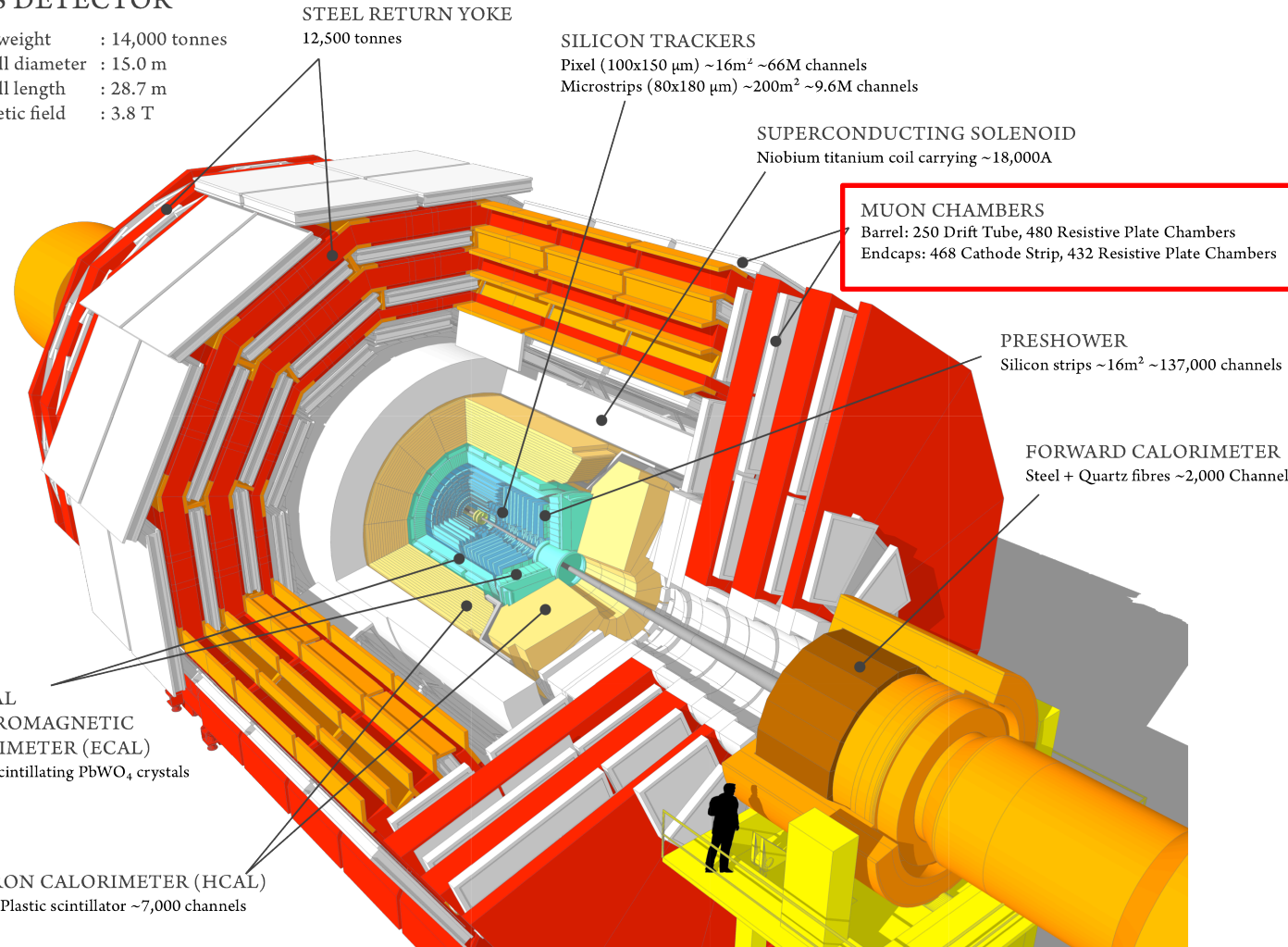
MUON CHAMBERS  
Barrel: 250 Drift Tube, 480 Resistive Plate Chambers  
Endcaps: 468 Cathode Strip, 432 Resistive Plate Chambers

PRESHOWER  
Silicon strips  $\sim 16\text{m}^2 \sim 137,000$  channels

FORWARD CALORIMETER  
Steel + Quartz fibres  $\sim 2,000$  Channels

CRYSTAL  
ELECTROMAGNETIC  
CALORIMETER (ECAL)  
 $\sim 76,000$  scintillating  $\text{PbWO}_4$  crystals

HADRON CALORIMETER (HCAL)  
Brass + Plastic scintillator  $\sim 7,000$  channels



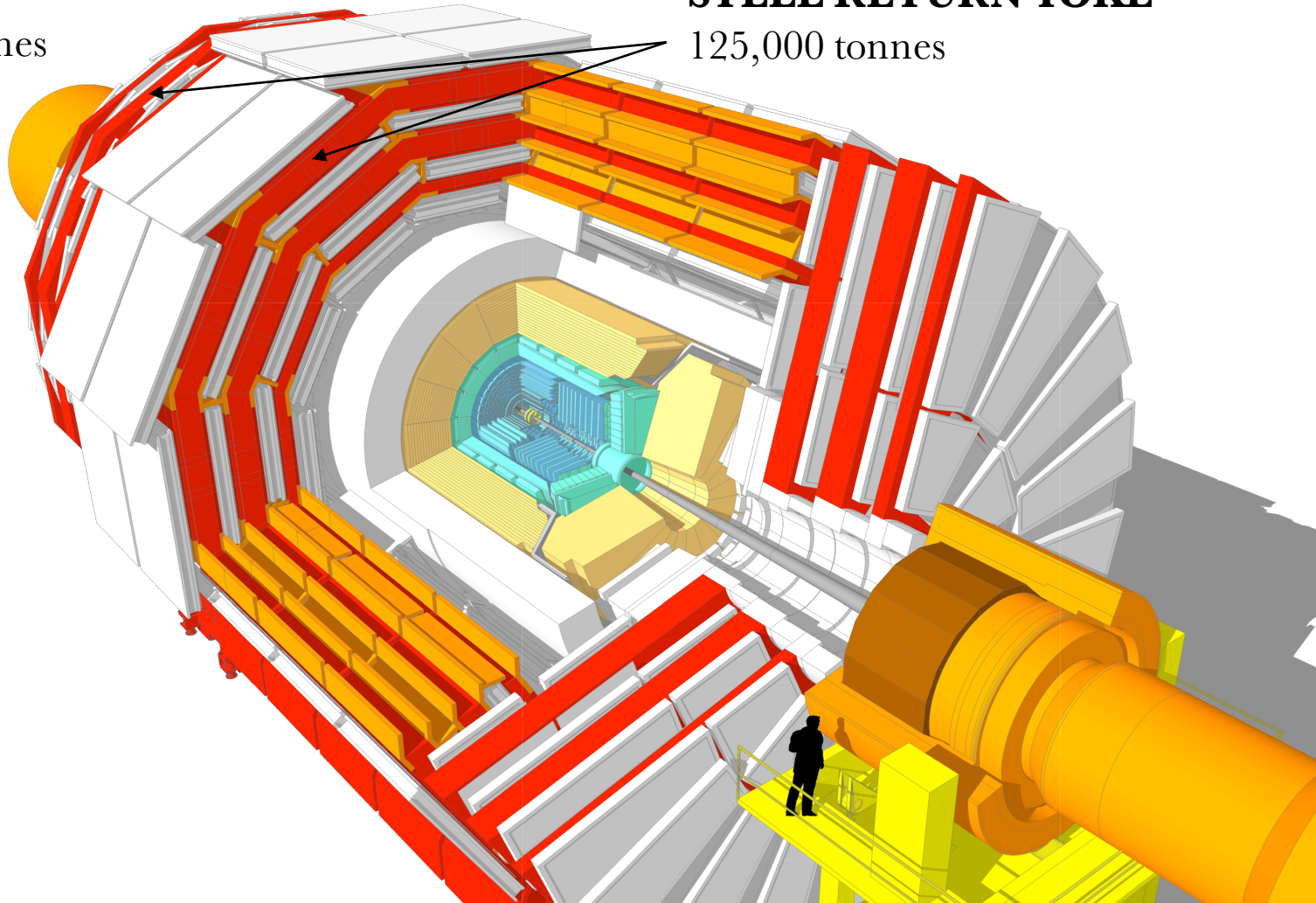
# Compact Muon Solenoid (CMS) Experiment

## CMS DETECTOR

Total weight 14,000 tonnes  
Overall diameter 15m  
Overall length 28.7 m  
Magnetic field 3.8T

## STEEL RETURN YOKE

125,000 tonnes





# Efficiency Measurements

---

- Studied the hit distribution, charge distribution and cluster size for HV scan and Position scan
- Evaluates the efficiency from cluster multiplicity(CM)

$$Efficiency = \frac{N1}{(N - N2)}$$

Where, N1: No. of events with CM $\geq$ 1 for given sector

N: Total no. of events

N2: sum of the no. of events with CM $\geq$ 1 for other sectors

# GE1/1 Tracking Analysis

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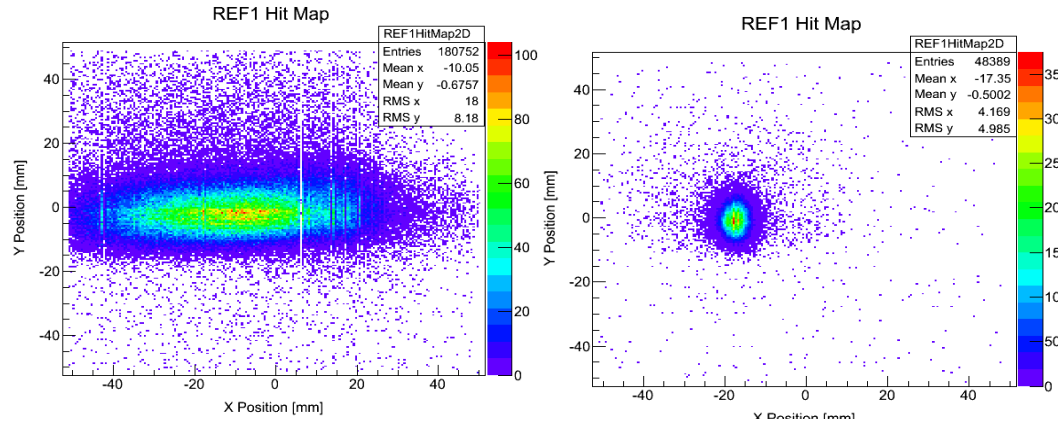
- Tracking is done in three steps:
- Step I - Alignment: By iterating the shift parameters in X and Y, we center all detector residuals on zero and then with respect to the first reference tracker, we rotate the remaining three trackers until the residual widths are minimized
- Step II - Conversion from (x,y) to (r,φ) coordinate system: Since we are dealing with radial readout strips in the GE1/1, it is more appropriate to use (r,φ) coordinates for tracking.

$$r = \sqrt{x^2 + y^2} \quad \varphi = \text{atan}(y/x)$$

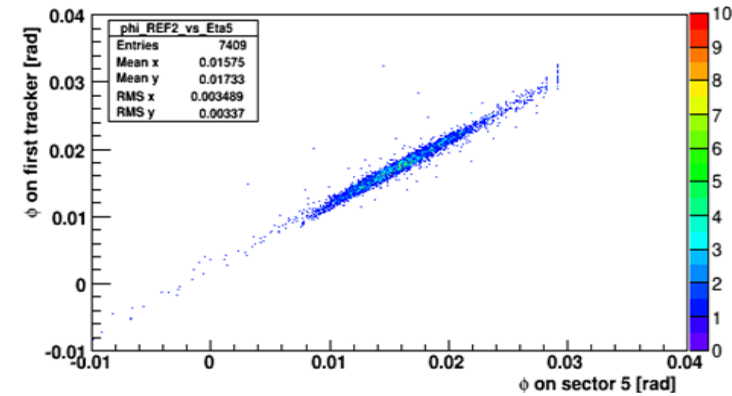
- Step III - Calculate final residuals (inclusive and exclusive)
- We measure both exclusive and inclusive residuals for GE1/1 detector and then calculated the resolution (Geometric mean of inclusive and exclusive residual)  $\sigma = \sqrt{(\sigma_{inc} \times \sigma_{exc})}$

# Tracking results

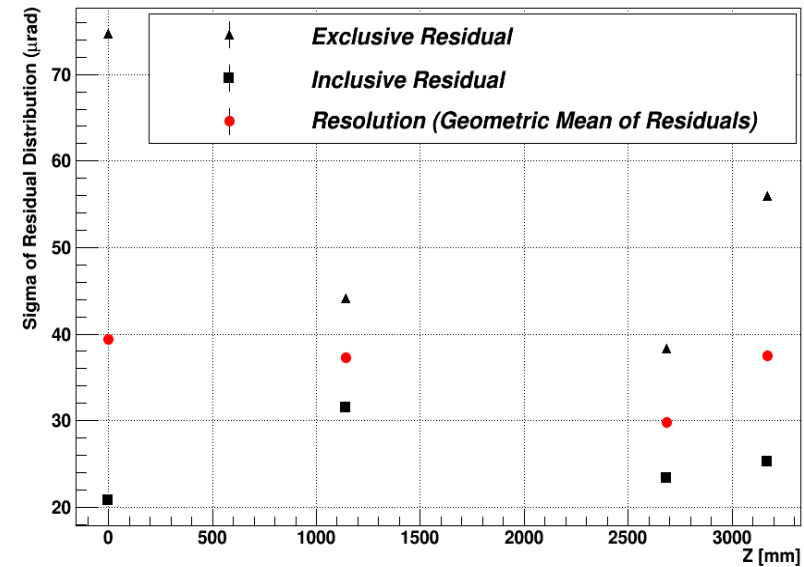
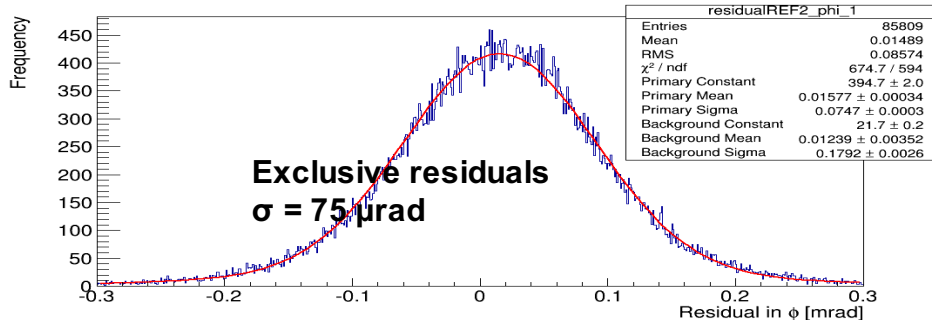
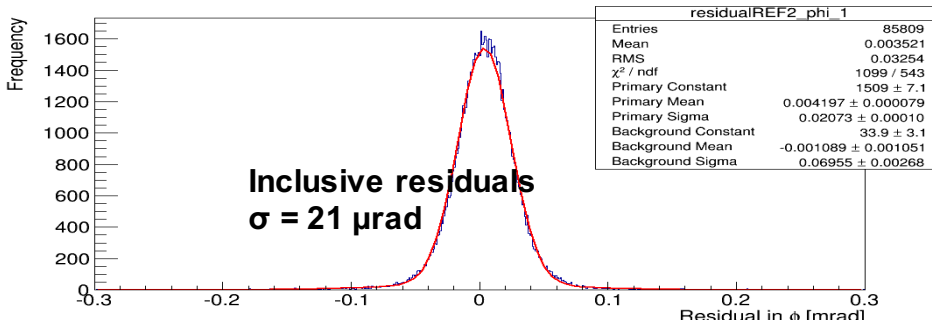
## Beam profiles with first tracker



## Correlation of GE1/1 detector hits with hits in first tracker detector:



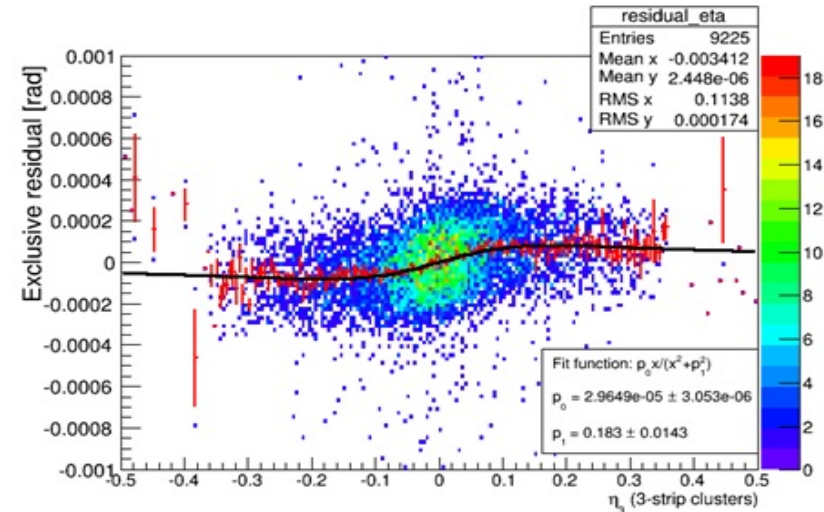
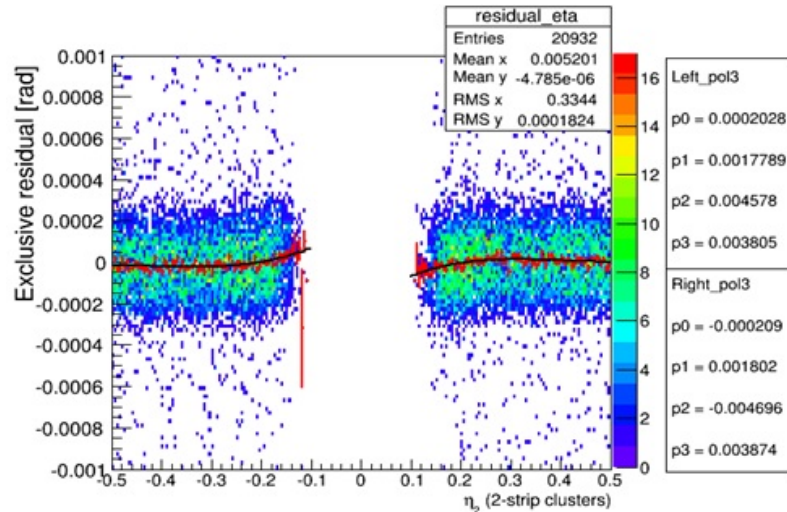
## Residuals of Tracker 1 in $\phi$



# Non-Linear Strip Response Correction

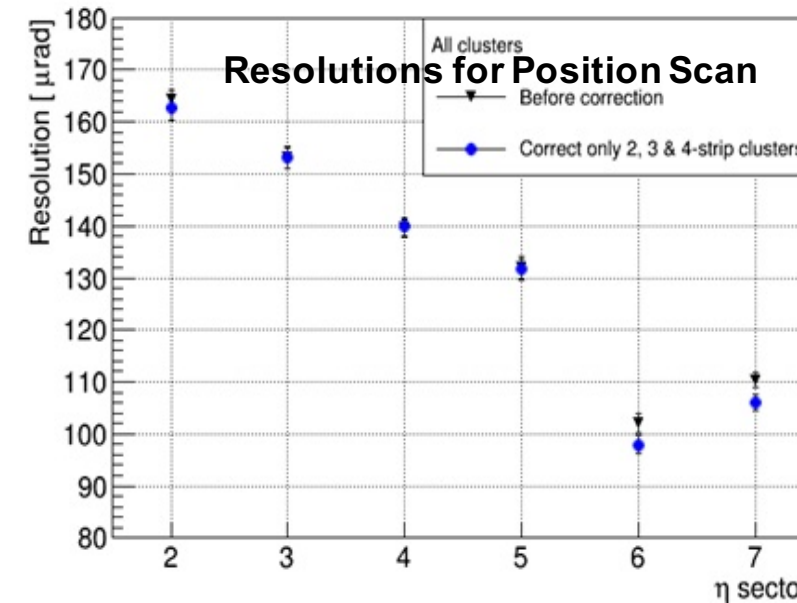
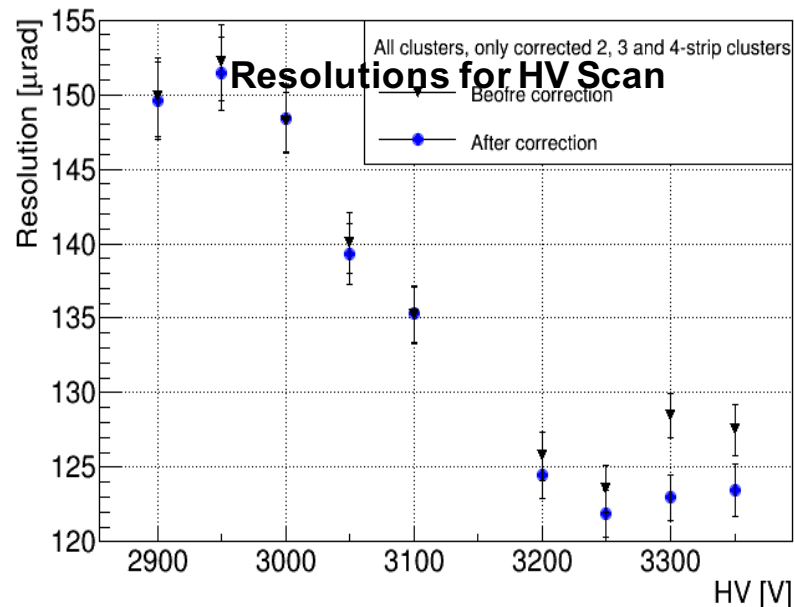
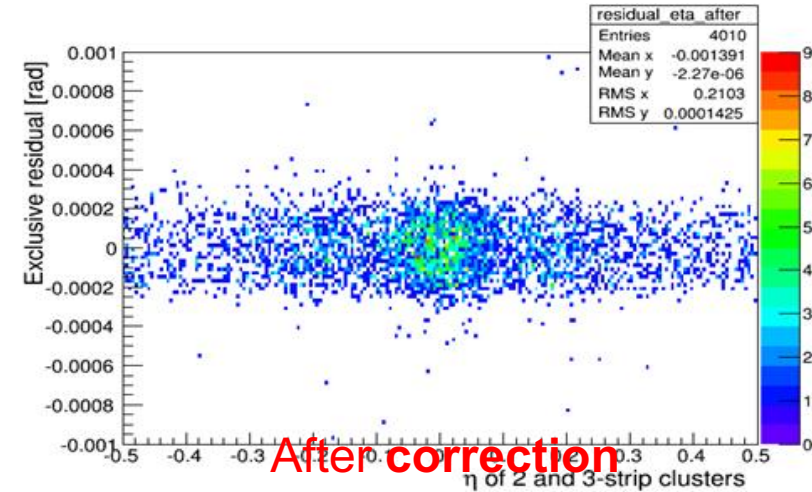
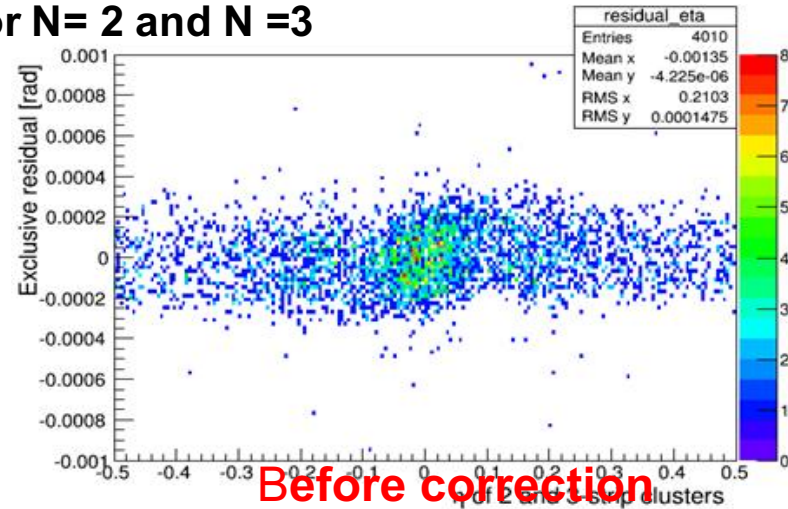
- The resolution of the same detector with a zigzag-strip readout board can be improved by  $\sim 30\%$
- Hence, we implemented the same correction to find the corresponding improvement in the resolution of the standard GE1/1 detector discuss here
- Strip cluster position is reconstructed via cluster barycenter (centroid):  $S_b = \sum_{i=1}^n \frac{q_i s_i}{q_{total}}$ , where  $q_i$  is charge of the  $i^{\text{th}}$  strip,  $s_i$  is strip number, and  $q_{total}$  is total cluster charge
- For cluster size  $N > 1$ , we define  $\eta_N = s_b - s_{\max}$ , where  $s_{\max}$  is the strip with maximum charge value. Then we plot exclusive residuals against  $\eta_N$  for  $N = 2$  and  $N = 3$
- Corrected resolution is obtained by subtracting the exclusive residual means as function of  $\eta_N$  from the original residuals

## Scatter Plots of Exclusive Residual vs. $\eta_N$ using combined HV scan data



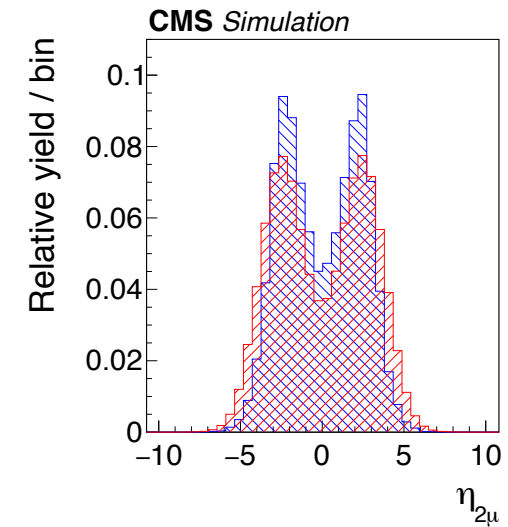
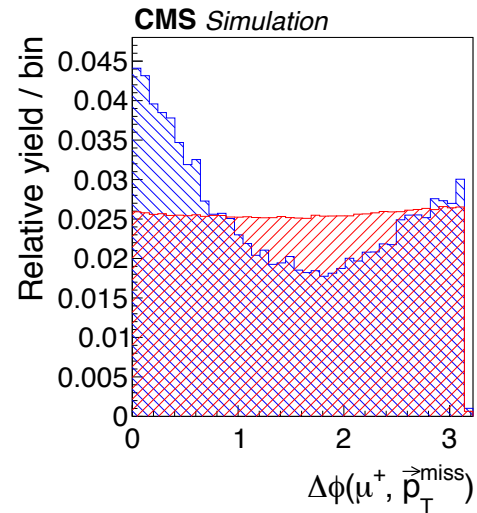
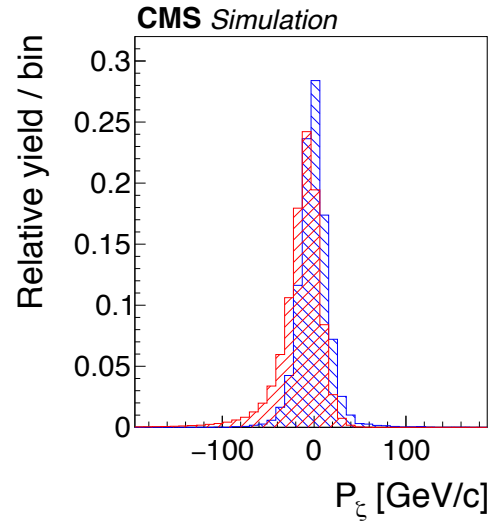
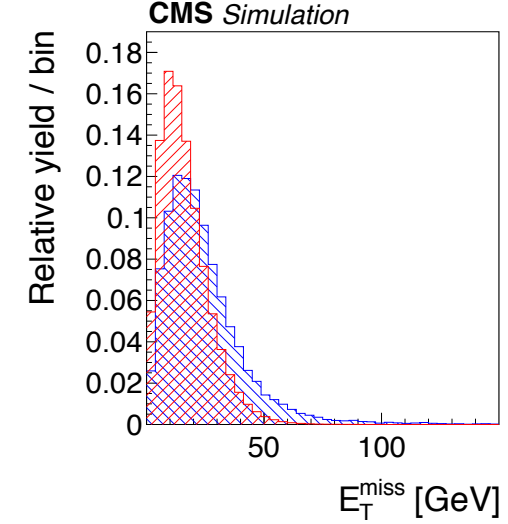
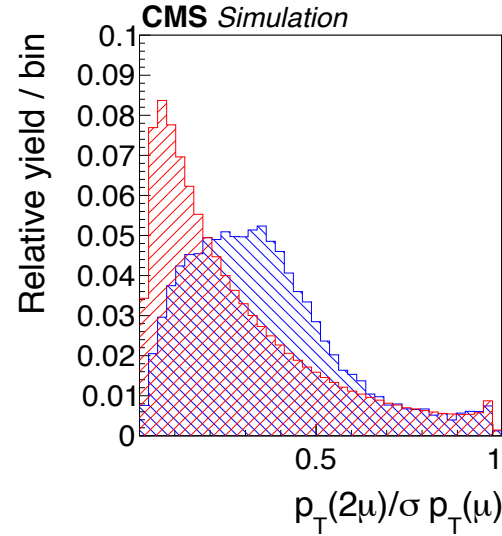
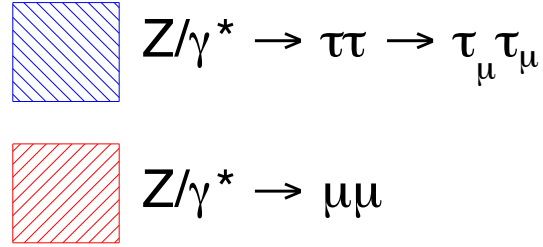
# Non-Linear Strip Response Correction

For N= 2 and N =3



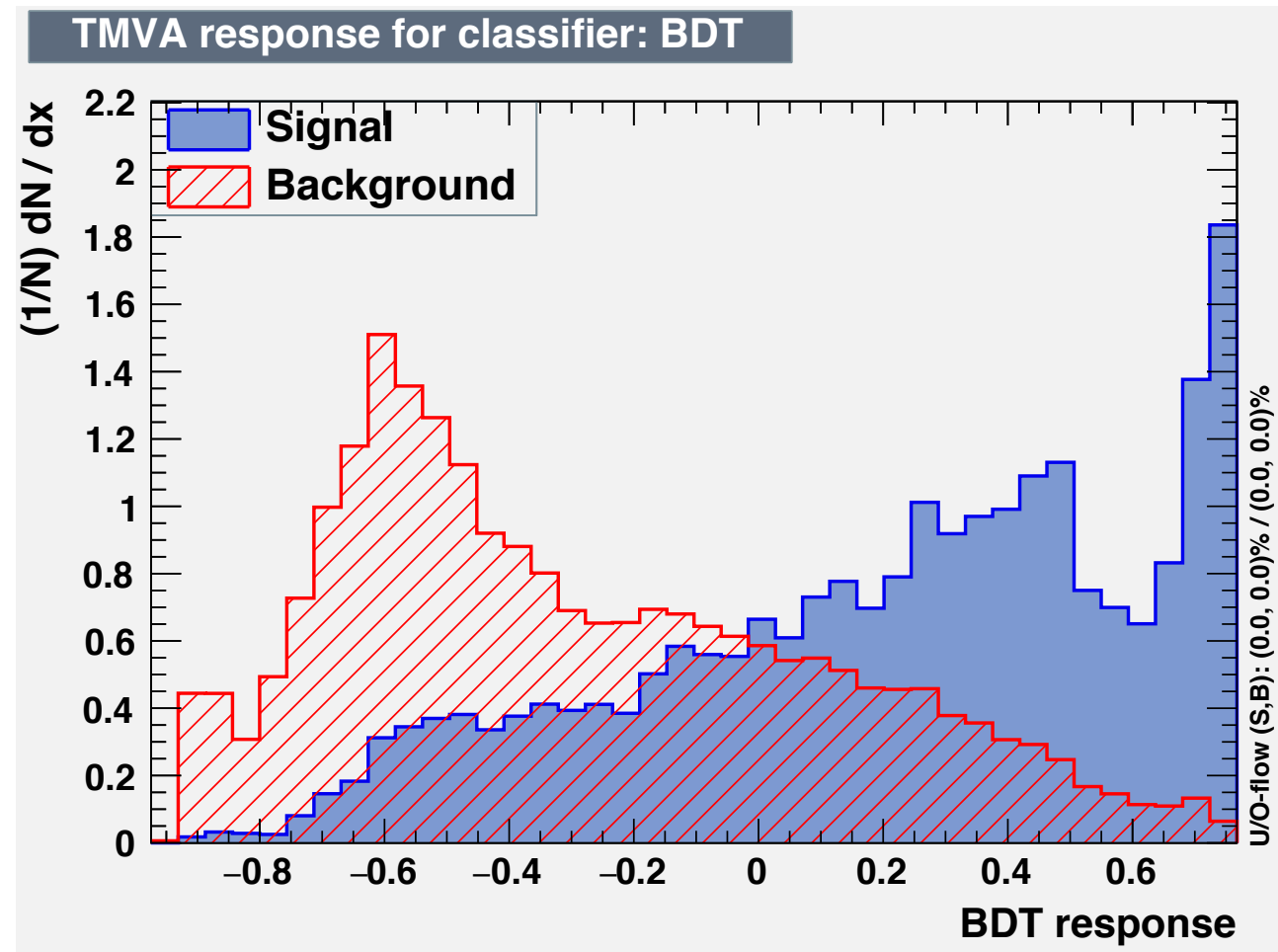
Overall resolution is improved by  $\leq 8\%$  after correcting the non-linear strip response

# BDT Input Variable for Z Cross Section Measurements

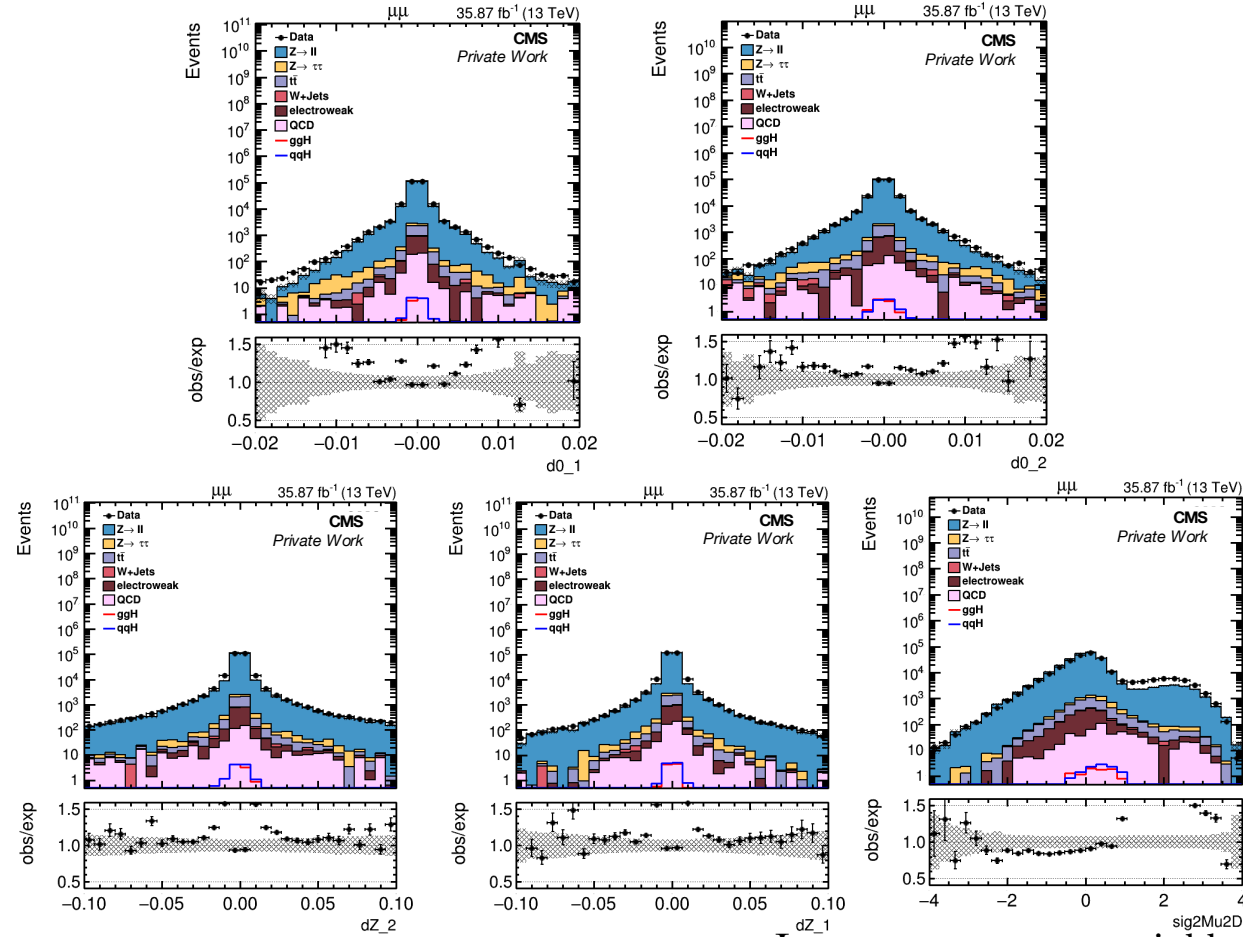




# BDT Distribution for Z Cross Section Measurements

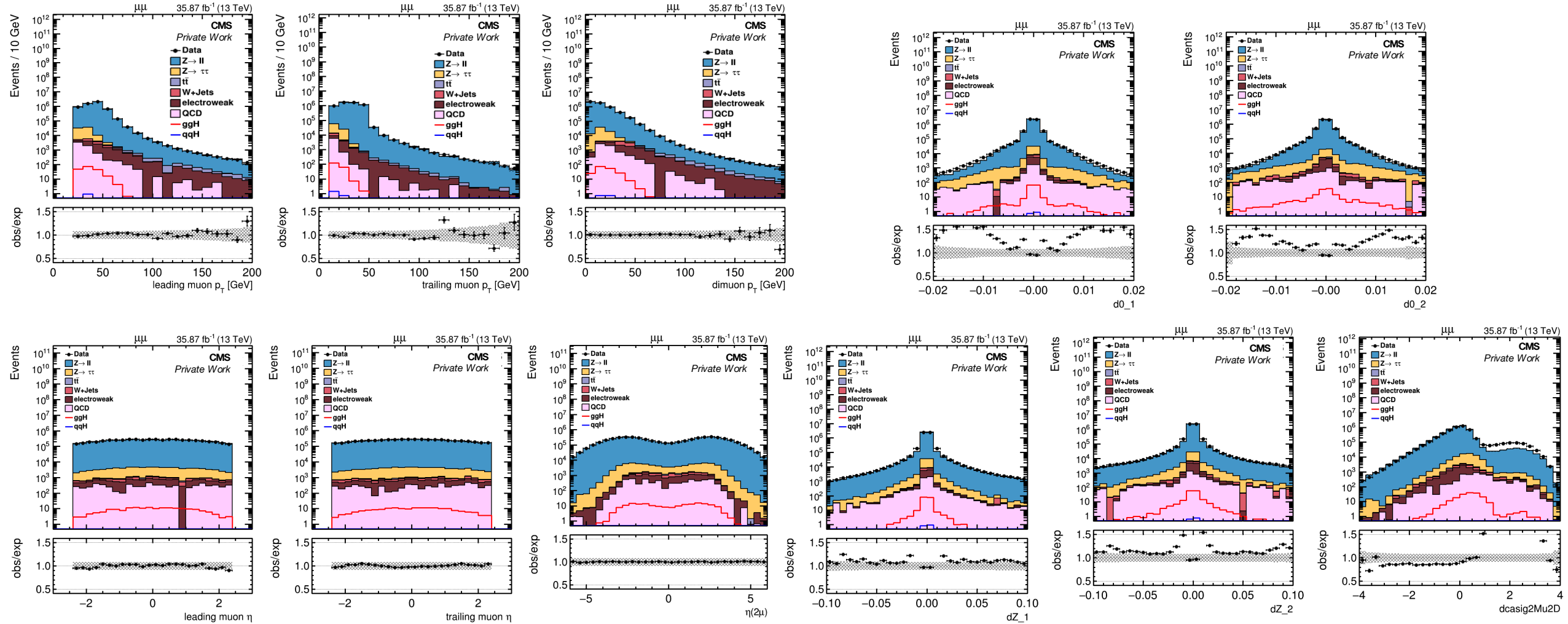


# Impact Parameter Plots for VBF Category

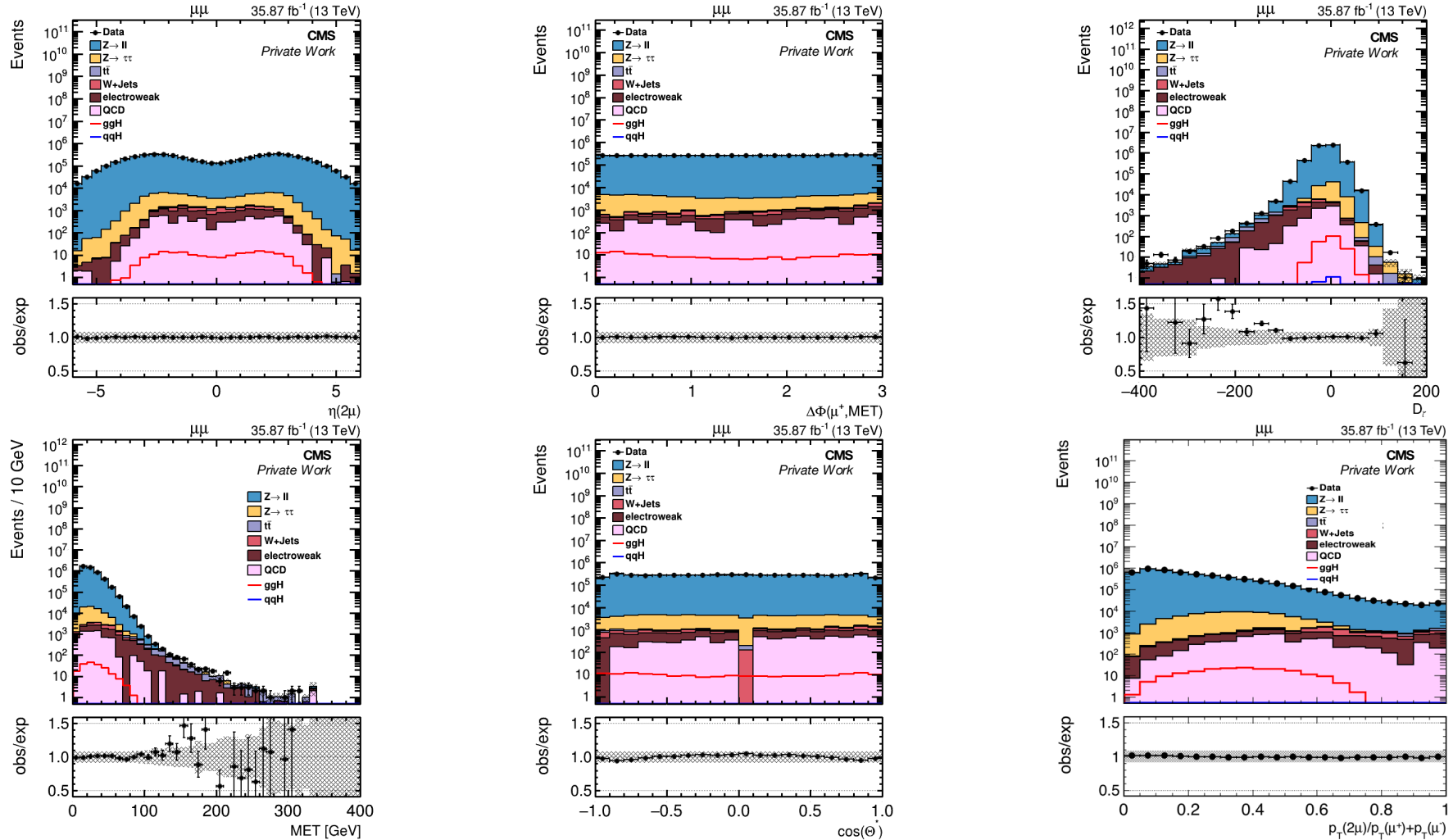


Impact parameter variables are excluded from BDT due to the discrepancy coming from misalignment of the trackers

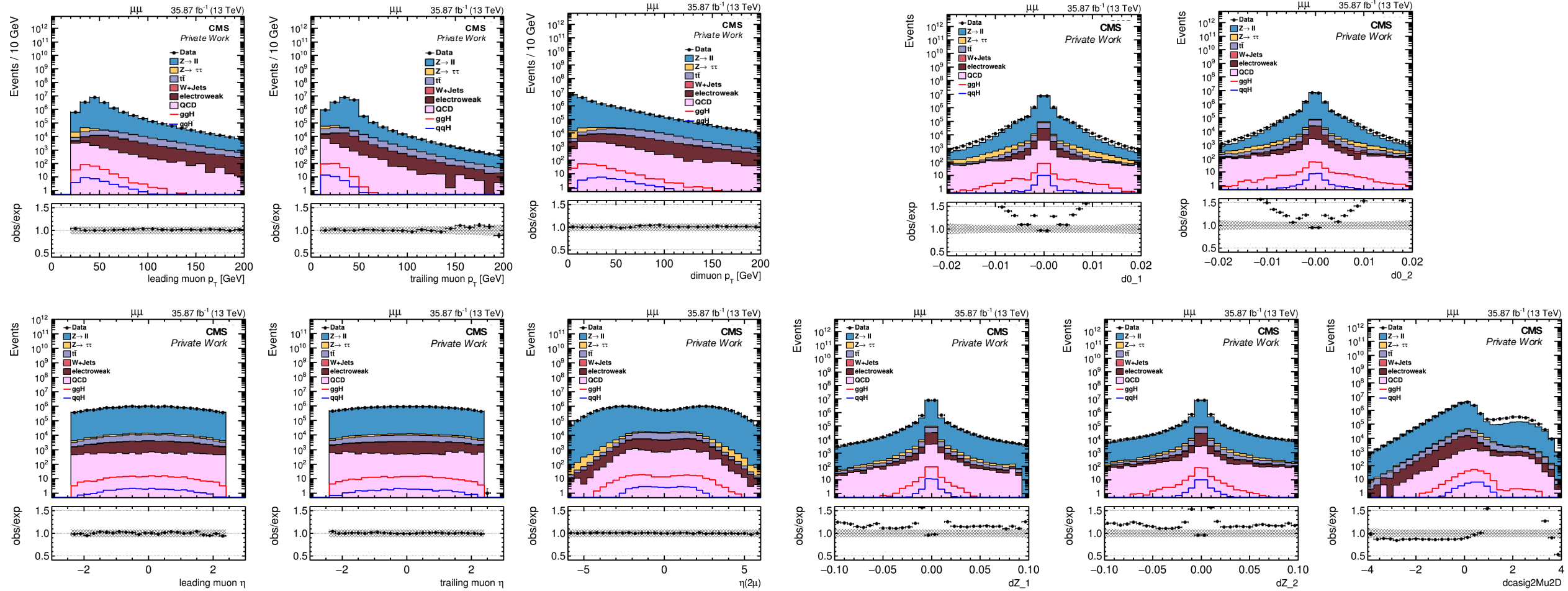
# Kinematic and Impact Parameter Plots for 0-jet Category



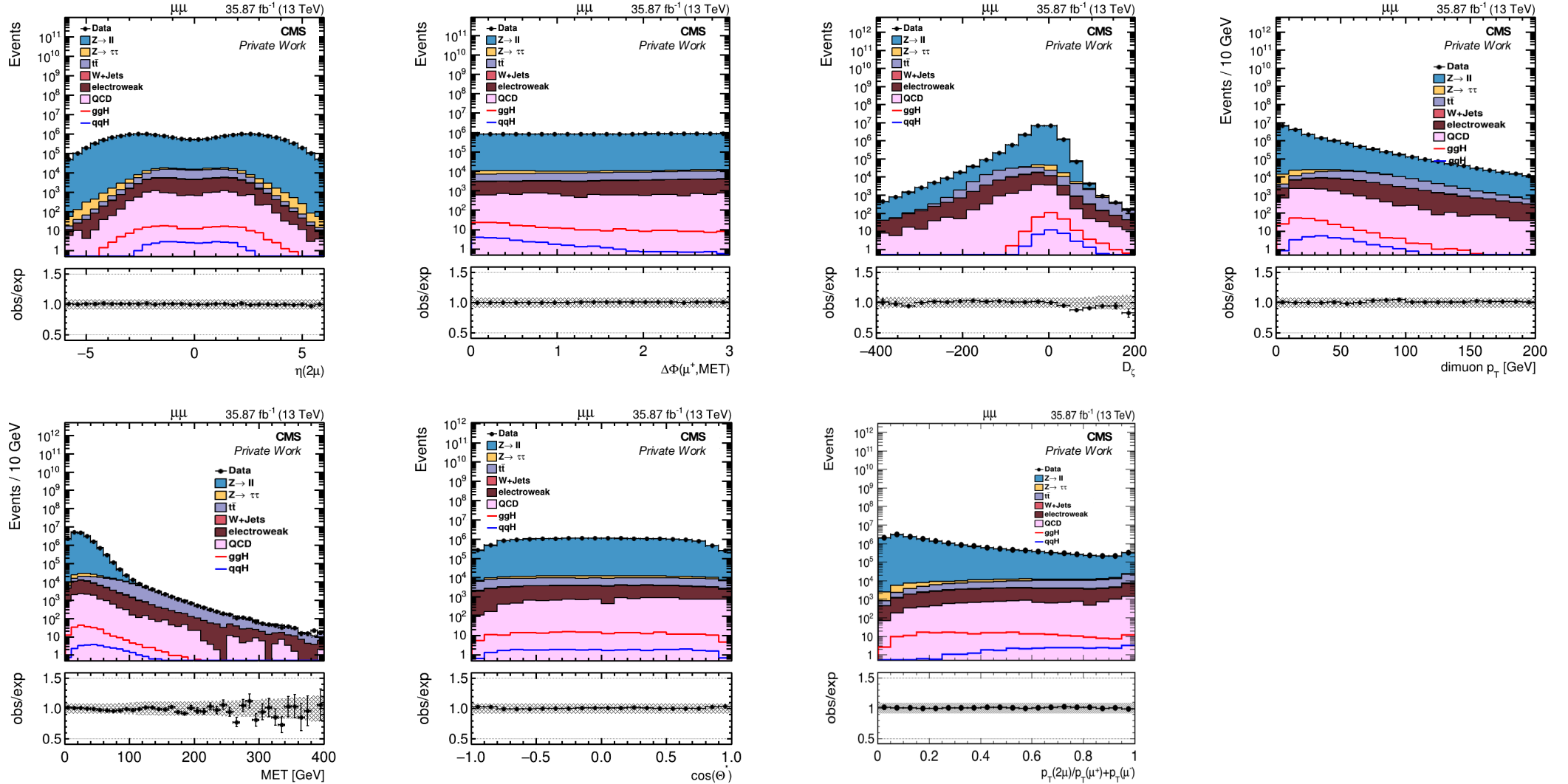
# Discriminating Variable Plots for 0-jet Category



# Kinematic and Impact Parameter Plots for Boosted Category



# Discriminating Variable Plots for Boosted Category



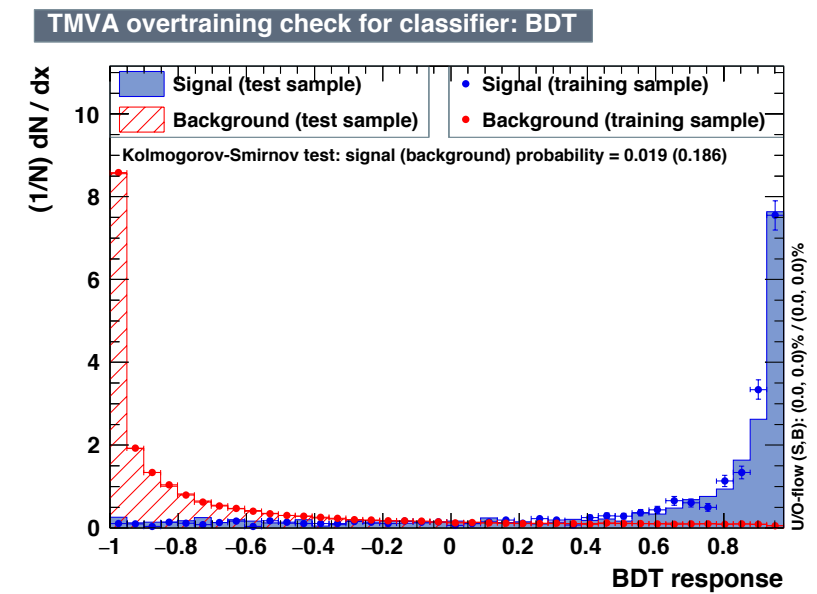
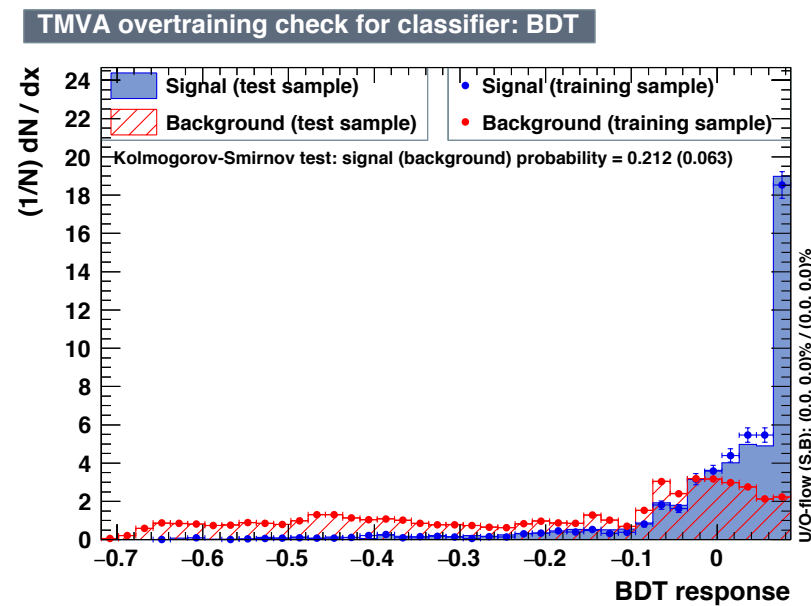
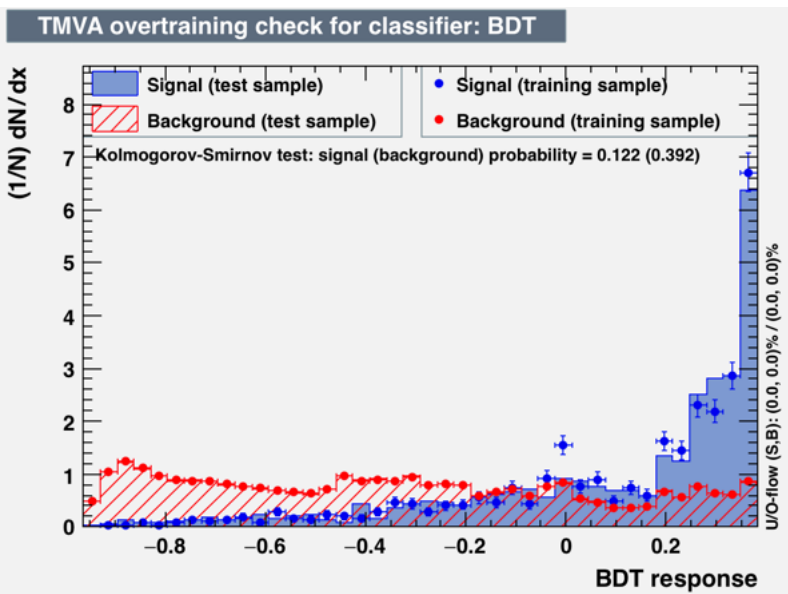


# Overtraining check

0-Jet

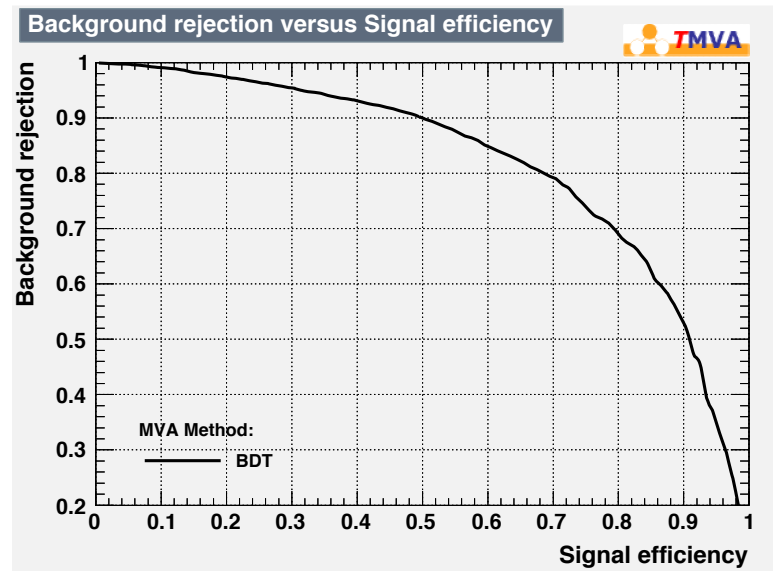
boosted

VBF

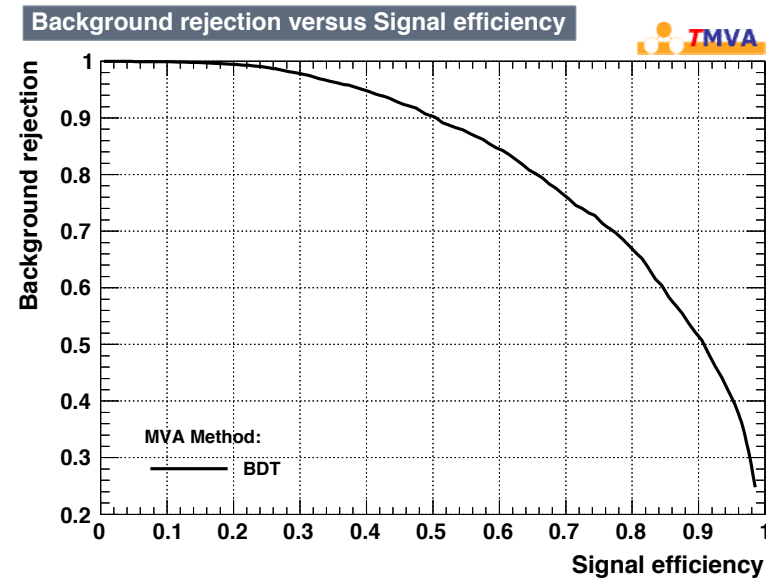


# ROC Curve

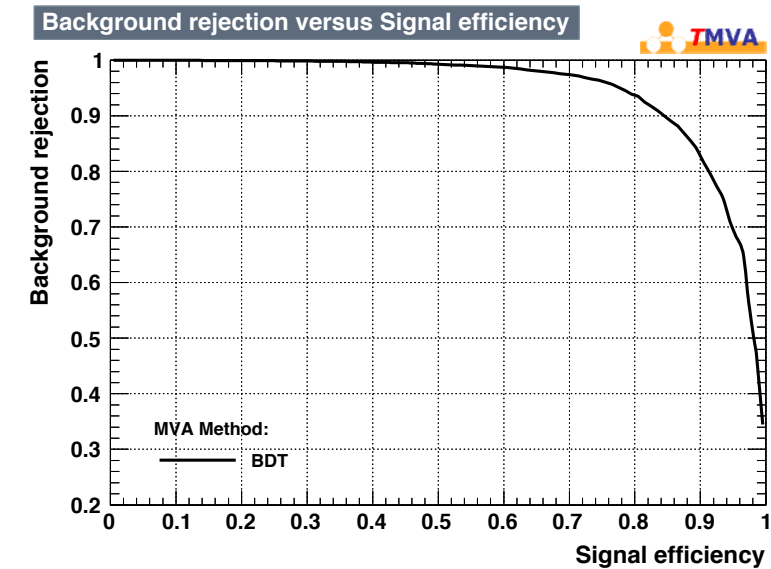
0-Jet



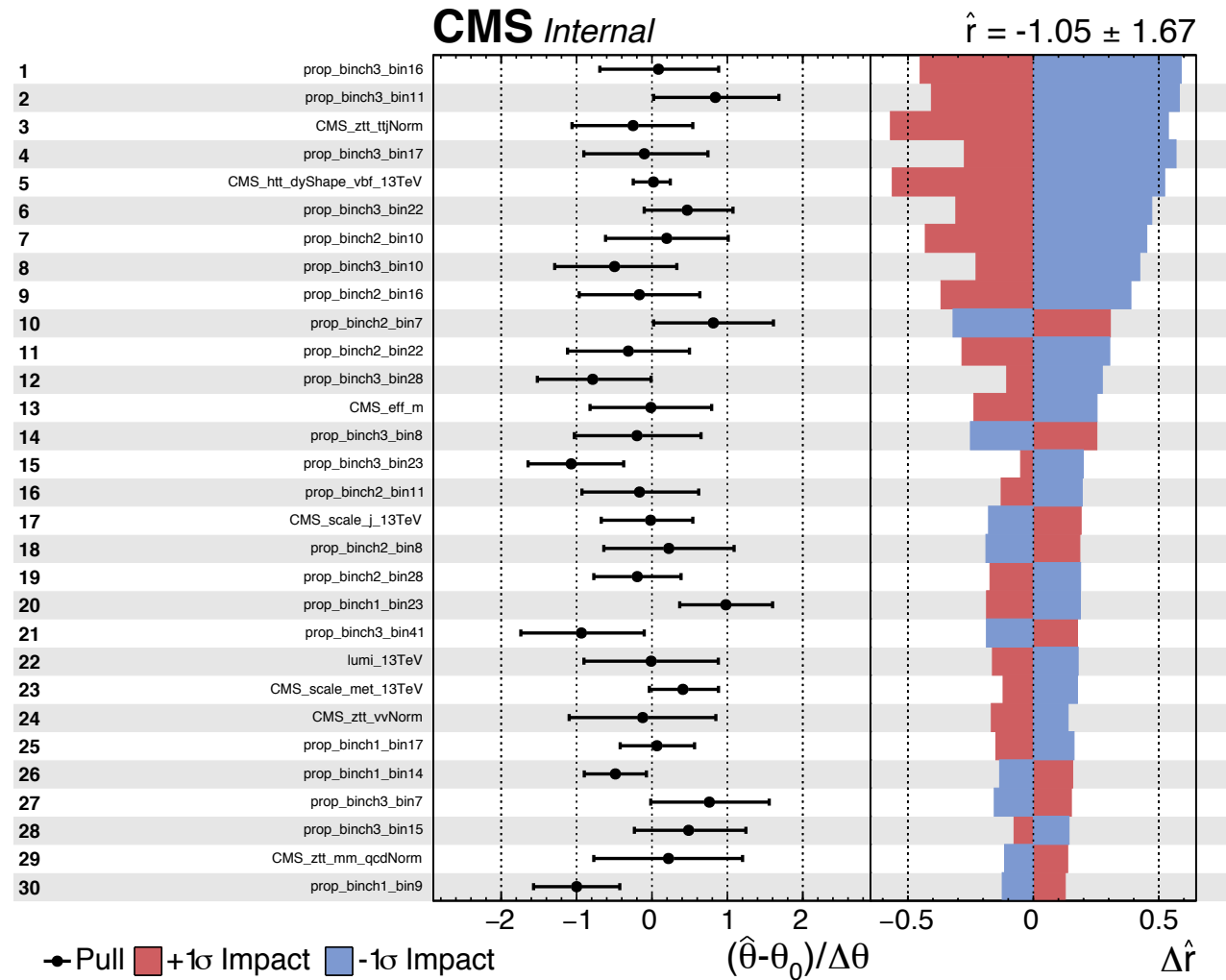
boosted



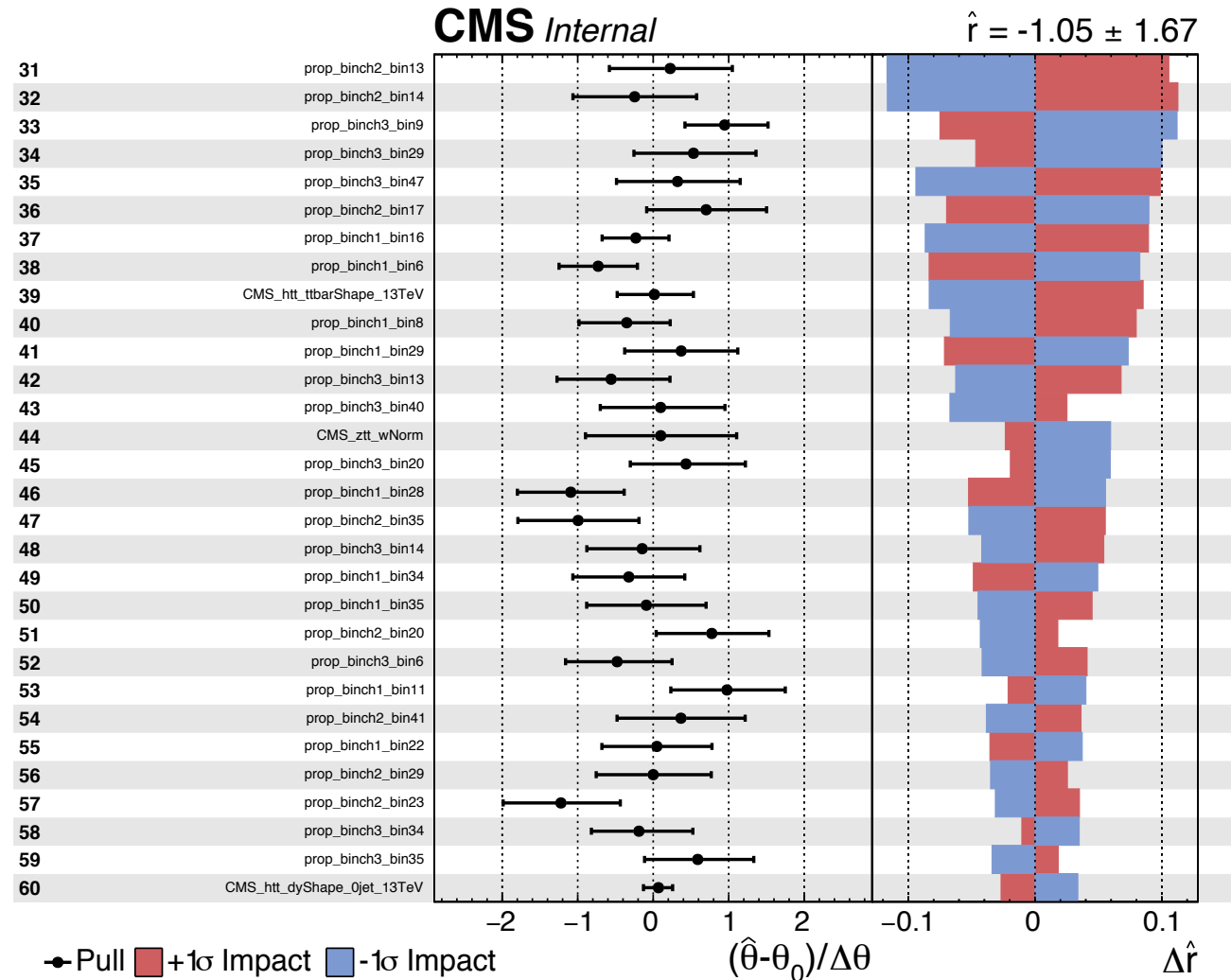
VBF



# Pulls and Impact (combine) Page 1



# Pulls and Impact (combine) Page 2



# Pulls and Impact (combine) Page 3

