

# ***Genetic Options for Adapting Forests to Climate Change***

*Glenn Howe*

*Department of Forest Ecosystems and Society  
Oregon State University*

*Brad St.Clair*

*Pacific Northwest Research Station  
U.S. Forest Service*



*Taskforce on Adapting Forests to Climate Change*

# Recent focus on an old topic

*Forest Ecology and Management*, 50 (1992) 153–169  
Elsevier Science Publishers B.V., Amsterdam

153

## Genetic strategies for reforestation in the face of global climate change

F. Thomas Ledig<sup>a</sup> and J.H. Kitzmiller<sup>b</sup>

<sup>a</sup>*Institute of Forest Genetics, Pacific Southwest Research Station, USDA Forest Service, P.O. Box 245, Berkeley, CA 94701, USA*

<sup>b</sup>*Chico Tree Improvement Center, USDA Forest Service, 2741 Cramer Lane, Chico, CA 95926, USA*

(Accepted 9 April 1991)

*“Uncertainty must be the guiding factor for planning reforestation efforts over the next several decades”*

- Uncertainty about future climates
- Uncertainty about the future health of forests



# *Concerns and opportunities*

## *Trees – major concern in relation to climate change*

- Key components of ecosystems
- Economically important and provide multiple ecosystem services
- Can mitigate or increase CO<sub>2</sub> emissions
- Long generation intervals means slow adaptation via natural selection and migration
- Many of today's trees will be exposed to the climates of 2090



# ***Concerns and opportunities***

## ***Specific concerns are...***

- Poor sexual reproduction and regeneration
- Poor survival, particularly at the seedling stage
- Poor growth
- Catastrophic losses from fire, insects, and disease

## ***Potential opportunities are...***

- Increased growth in the short-run in some areas?
- Increased water use efficiency?



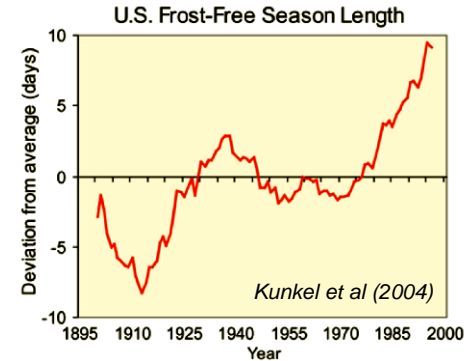
# Specific concerns

## Primary abiotic stressors

- Fire
- Summer drought
- Summer heat
- Warm winters
- Spring and fall frosts
- Long frost-free seasons
- Elevated CO<sub>2</sub>

## Primary biotic stressors

- Insects and pathogens
- Competition

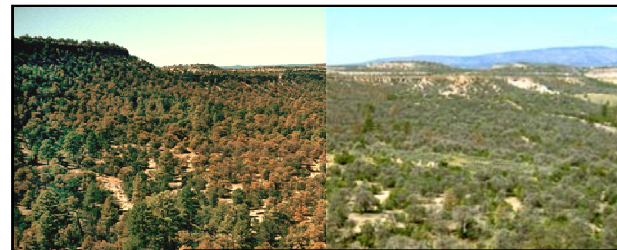


**Is an Unprecedented Dothistroma Needle Blight Epidemic Related to Climate Change?** *BioScience* (2005) 55:761-769

ALEX WOODS, K. DAVID COATES, AND ANDREAS HAMANN



Robert L. James,  
USFS, Bugwood.org



**Regional vegetation die-off in response to global-change-type drought**

David D. Breshears<sup>1,2</sup>, Neil S. Cobb<sup>3</sup>, Paul M. Rich<sup>4</sup>, Kevin P. Price<sup>5,6</sup>, Craig D. Allen<sup>9</sup>, Randy G. Balce<sup>8</sup>, William H. Romme<sup>7</sup>, Jude H. Kastens<sup>1,3</sup>, M. Lisa Floyd<sup>4</sup>, Jayne Belnap<sup>10</sup>, Jesse J. Anderson<sup>1</sup>, Orrin B. Myers<sup>1</sup>, and Clifton W. Meyer<sup>1</sup>

*PNAS* (2005) 102:15144–15148



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# *How does genetics impact forest management?*

## *How does genetics impact forest management?*

- Deployment of seed sources and breeding populations
- Development of improved genotypes via breeding or genetic engineering
- Gene conservation and maintenance of genetic diversity

## *Genetic and silvicultural options are complementary*

- Breeding and genetic engineering require very long lead times
- Genetic fixes may be more persistent than silvicultural fixes



# Genetic and silvicultural options are often complementary

Stressors	Possible actions	Options readily available?	
		Genetic	Silvicultural
<b>Primary abiotic stressors</b>			
Fire	Increase fire resistance/tolerance or reduce fires	N	Y
Summer drought	Increase drought hardiness or increase soil moisture	Y	Y
Summer heat	Increase heat tolerance or decrease heat load	N	Y
Warm winters	Lower chilling and seed stratification requirements	Y	N
Spring and fall frosts	Delay growth initiation and advance growth cessation or decrease cold by retaining canopies	Y	Y
Long frost-free seasons	Advance growth initiation and delay growth cessation	Y	N
Elevated CO <sub>2</sub>	None	N	N
<b>Primary biotic stressors</b>			
Insects and pathogens	Increase pest resistance/tolerance or reduce pests	Maybe	Y
Competition	Increase growth or reduce competitors	Y	Y

Genetic options include modification of traits for which sufficient genetic variation and heritability is known to exist.  
 Silvicultural options include alterations to the site or stand, but excludes site selection.



# Why is genetics important?

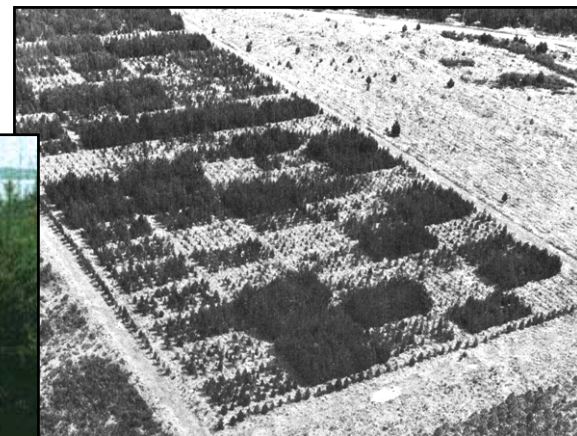


*Superior adaptability of a Douglas-fir seed source from California growing in Spain (Hernandez et al 1993)*



*Finnish Forest Research Institute*

*Lodgepole pine provenances from maritime areas are not adapted to the winters of eastern Finland*



*Lodgepole pine provenance test in New Zealand (Wright 1976)*

- Provenance variation is often large — associated with adaptation to cold and drought (e.g., growth phenology, cold hardiness, winter desiccation)
- Provenances moved northward are often damaged by cold
- Provenances moved southward often grow slower than local provenances



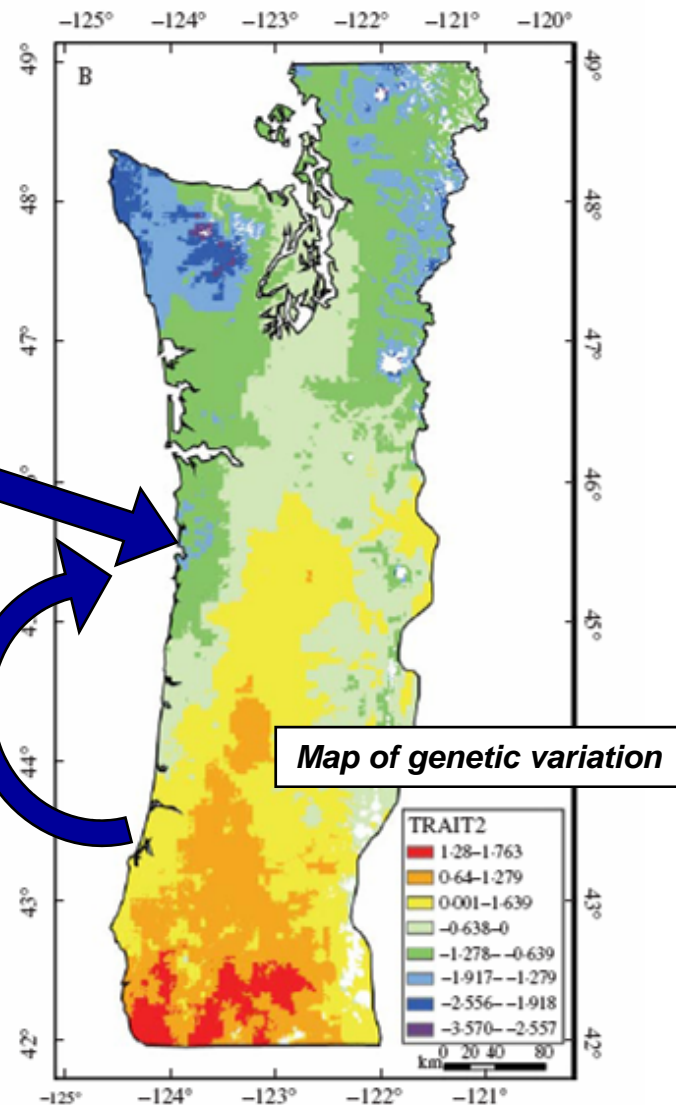


# Why is genetics important?

**Trees are adapted to their local climates** — if the climate changes, trees may become maladapted

Current climate, Tillamook Forest

In 2090, the climate of the Tillamook Forest may be like it now is in Coos Bay



# *Why is genetics important?*

*Projections of forest productivity in future climates may be inaccurate if adaptive genetic variation is ignored.*

*Analyses of the potential for carbon sequestration often assume that forest health will be maintained, and ignore adaptive genetic variation.*



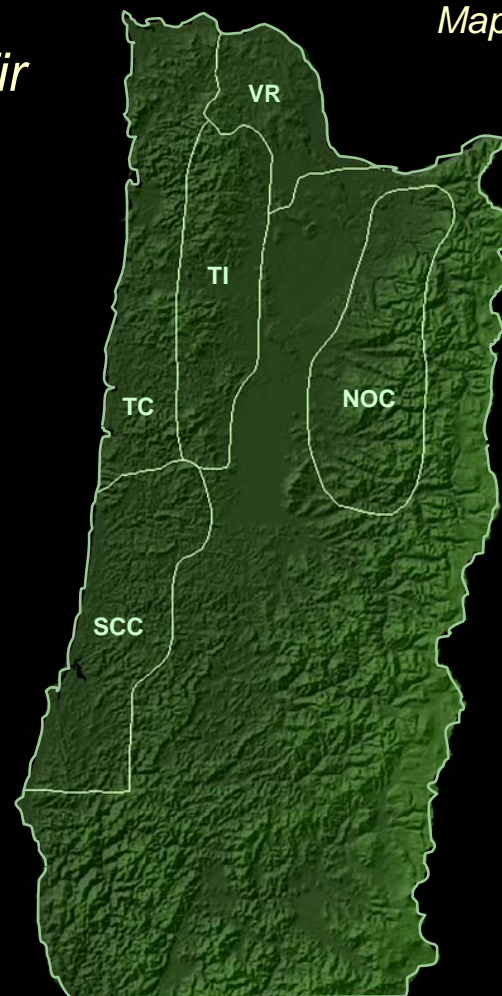
# ***Seed zones and breeding zones are used to ensure adaptability in tree improvement programs***

*Data from N. Crookston  
Maps by L. Magalska*



*Seed zones*

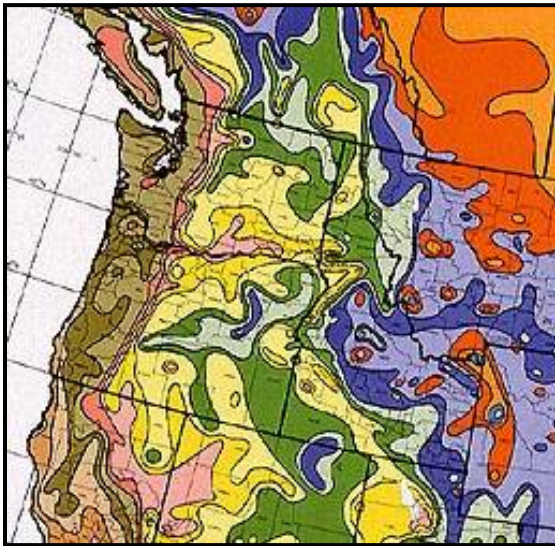
*Douglas-fir*



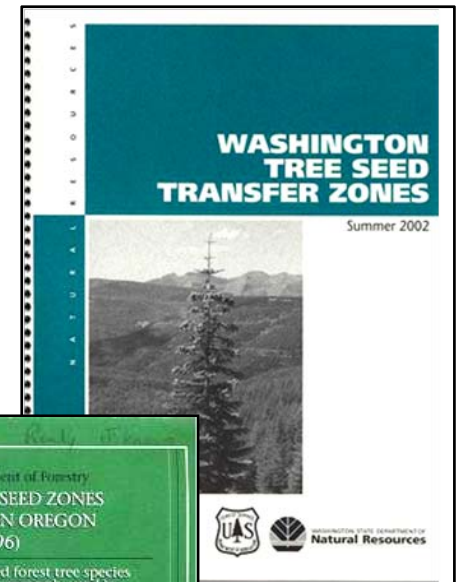
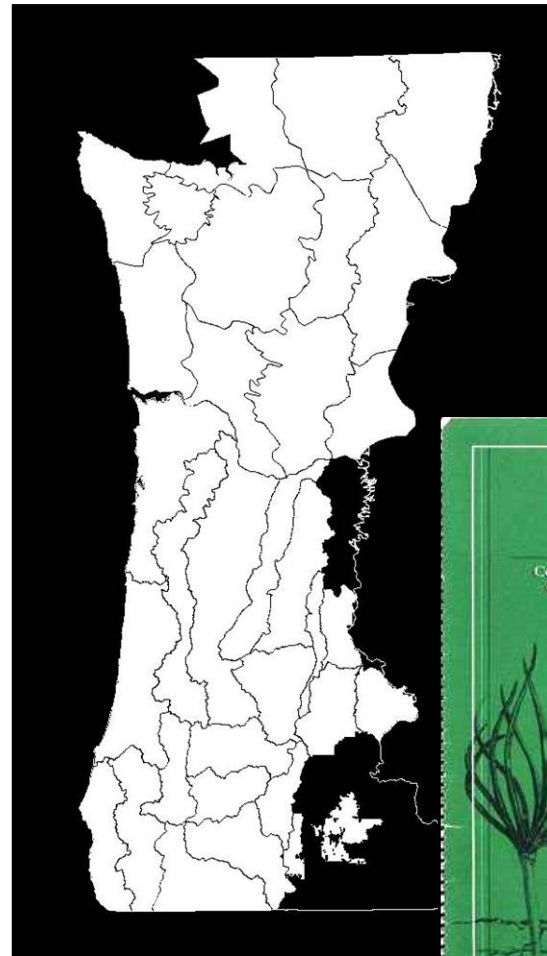
*NWTIC breeding zones*



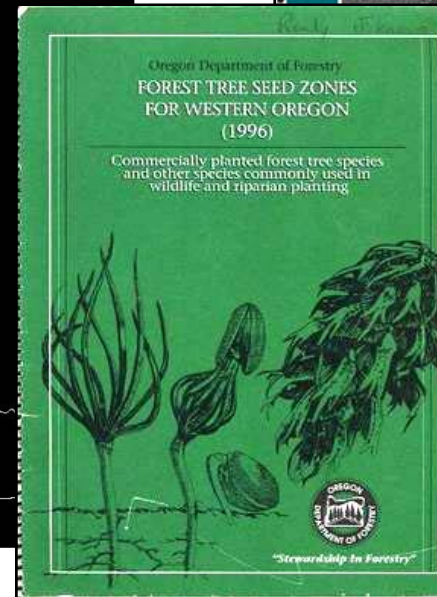
# Climate change impacts seed zones



*Seed zones and breeding zones are largely delineated based on climate*



*Randall and Berrang (2002) WA Dept Nat Resources*



*Randall (1996) OR Dept of Forestry*



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**Present**

**2030**

**2060**

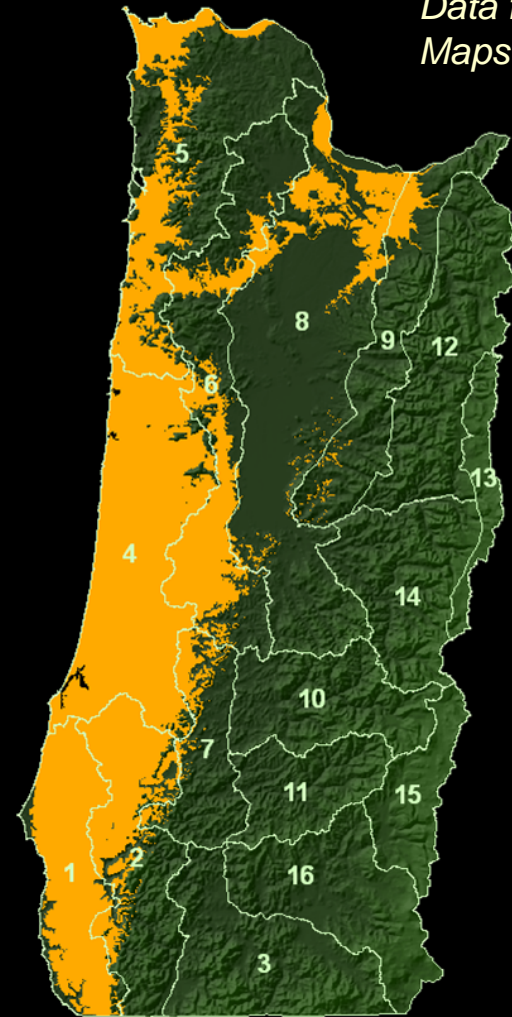
**2090**

*Douglas-fir  
Seed zone #4  
0-1000 ft*



*Seed zone*

*Data from N. Crookston  
Maps by L. Magalska*



*Climate*



**Present**

**2030**

**2060**

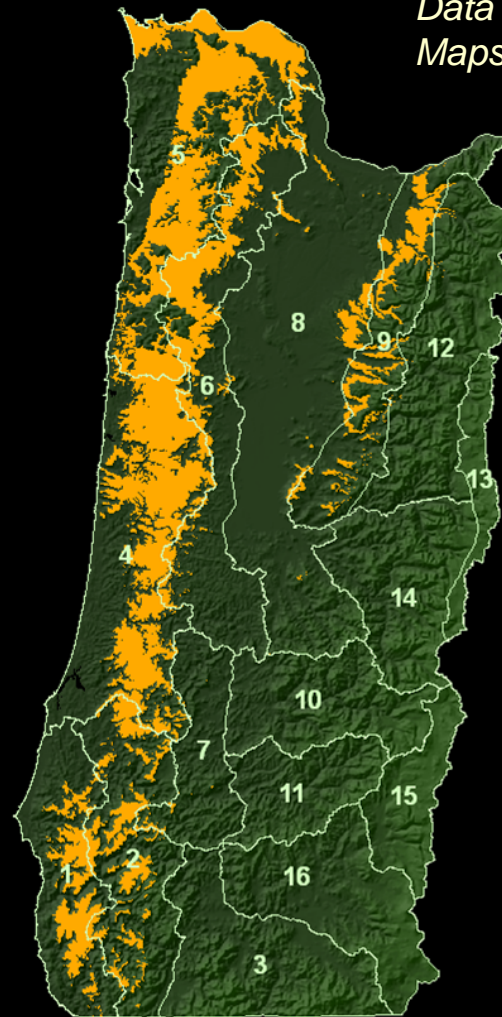
**2090**

*Douglas-fir  
Seed zone #4  
0-1000 ft*



*Seed zone*

*Data from N. Crookston  
Maps by L. Magalska*



*Climate*

**Present**

**2030**

**2060**

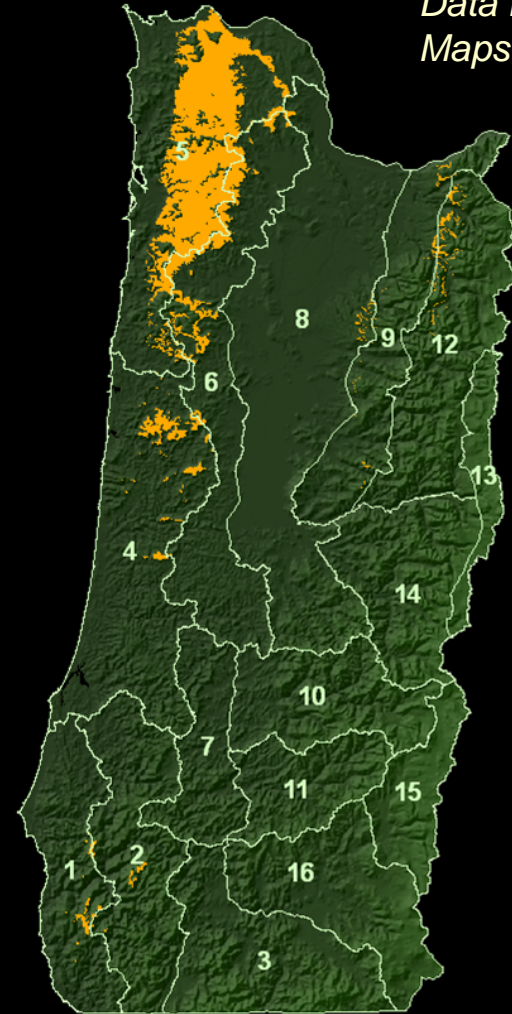
**2090**

*Douglas-fir  
Seed zone #4  
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*Seed zone*

*Data from N. Crookston  
Maps by L. Magalska*



*Climate*

**Present**

**2030**

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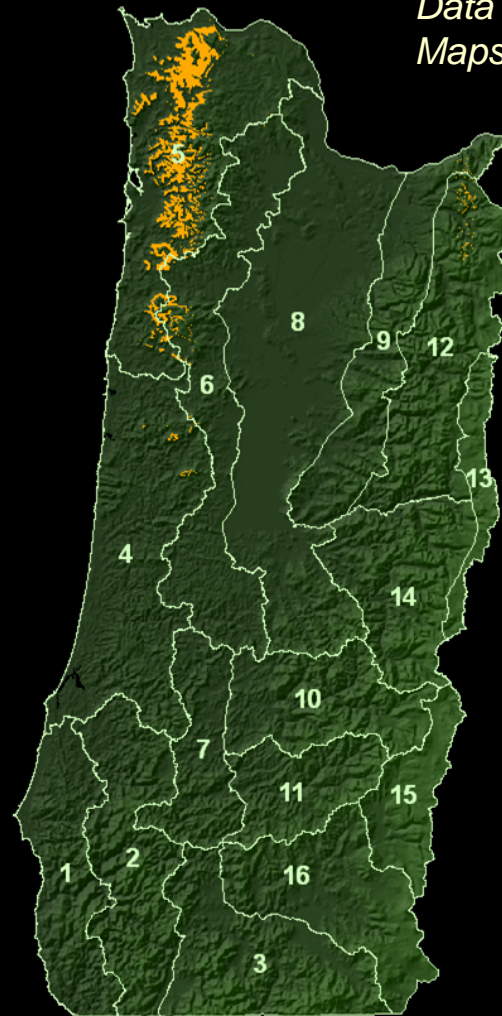
**2090**

*Douglas-fir  
Seed zone #4  
0-1000 ft*



*Seed zone*

*Data from N. Crookston  
Maps by L. Magalska*



*Climate*



# How do species adapt?

**Phenotypic plasticity** – the ability of an individual to change its characteristics (phenotype) in response to changes in environment

*Environmental patterns of genetic variation suggest that **phenotypic plasticity is insufficient** (but what about epigenetic effects?)*

**Natural selection** – the differential survival and reproductive success of individuals that differ in hereditary characteristics

*Ability of tree populations to evolve in place is **unclear***

**Migration** – the movement of genes from one population into another via seeds, pollen, or vegetative propagules

***Assisted migration** may be the **most effective approach** for ensuring genetically adapted populations, but is not without risk*

# *How do we infer the effects of climate change?*

**Common-garden tests** – *different individuals are grown in a common environment so that environmental differences among individuals are minimized and genetic differences are more readily observed*

## **Controlled environment experiments**

*Impose a few relatively simple regimes that mimic future climates.*

*Difficult to do on a large scale, but useful for studying causes of maladaptation.*

## **Short-term nursery tests (genecological tests)**

*Important adaptive traits show large differences among populations and strong correlations with climate.*

## **Long-term field tests (provenance tests)**

*Empirical tests of complex climatic regimes in the field over many years.*





# Short-term nursery tests

## Assumptions...

*Sensitive traits have the strongest correlations with temperature and precipitation.  
'Relative risk' can be used to judge the relative potential of maladaptation.*

## Advantages...

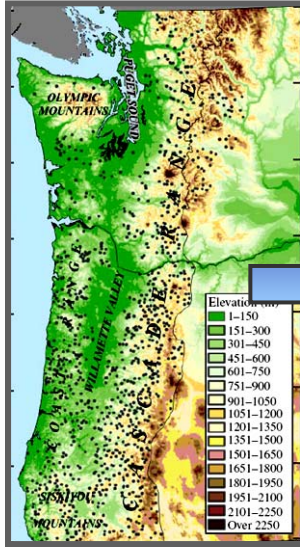
*Can provide information on new species cheaply and quickly.  
Can examine genetic variation in seed germination and establishment.*

## Disadvantages...

*Absolute responses to climate change are unknown.*



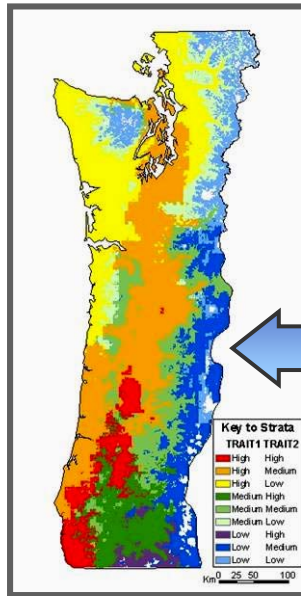
**Collect seed from many trees**



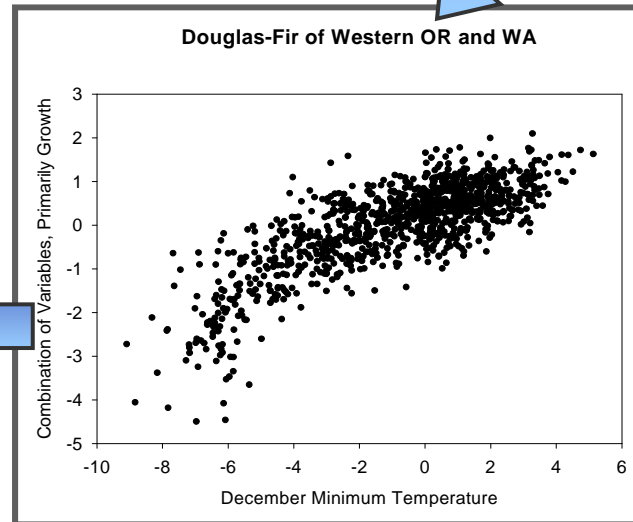
**Grow families in a common environment**



**Measure many adaptive traits**



**GIS**



**Traits vs source environ.**

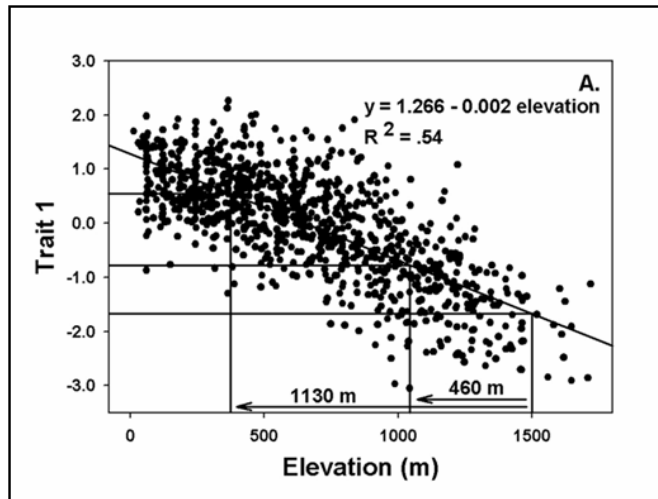
*St.Clair et al (2005), Anal. Bot. 96:1199*



# Genetic maladaptation of Douglas-fir seedlings to future climates

Table 2. Regression equations to predict population means for quantitative traits from climatic variables.

Trait	R <sup>2</sup>	Model <sup>a</sup>
Trait 1 <sup>b</sup>	0.53	$Y = -0.0126 + 0.580 \text{ WINMNT} - 0.532 \text{ FALMNT} + 0.262 \text{ SUMMNT} + 0.00369 \text{ SUMPRE}$
Trait 2 <sup>c</sup>	0.40	$Y = 3.182 + 0.00578 \text{ SUMPRE} - 0.300 \text{ SUMMXT} + 0.333 \text{ SPRMXT} - 0.201 \text{ WINMXT}$
Fall cold damage (%)	0.58	$Y = 36.4 + 5.24 \text{ WINMXT} - 2.87 \text{ FALMXT} - 0.155 \text{ SUMPRE} + 0.0387 \text{ SPRPRE}$
Bud-set (days)	0.46	$Y = 261 + 1.494 \text{ WINMNT} + 0.787 \text{ SPRMXT} + 0.0235 \text{ SUMPRE}$
Emergence (probits d <sup>-1</sup> )	0.30	$Y = 0.02880 - 0.00147 \text{ SPRMNT} - 0.000974 \text{ WINMNT} + 0.00169 \text{ FALMNT} + 0.000548 \text{ SUMMXT}$
Total weight (g)	0.15	$Y = 6.284 + 0.305 \text{ WINMNT} + 0.280 \text{ SUMMXT}$
Root:shoot ratio	0.12	$Y = 0.435 - 0.00456 \text{ WINMNT} - 0.00439 \text{ SUMMNT}$
Bud burst (days)	0.24	$Y = 112 - 0.621 \text{ SUMMXT} + 0.0289 \text{ SUMPRE} + 0.420 \text{ SPRMXT}$
Taper (mm cm <sup>-1</sup> )	0.19	$Y = 0.202 - 0.000170 \text{ SUMPRE} + 0.0000254 \text{ SPRPRE} - 0.00203 \text{ SPRMNT}$



Higher values for **Trait 1** are associated with later bud-set, faster emergence, larger size, greater partitioning to shoot versus roots.

St.Clair and Howe (2007) *Global Change Biol.* 13:1441-1454.



# Long-term provenance tests

## **Assumptions...**

*Climatic variation across the landscape mimics climate change.*

## **Advantages...**

*Tests complex climatic regimes over a long time in the field.*

*Traits measured are usually relevant to production forestry.*

*Provides some information on the absolute effects of climate change.*

## **Disadvantages...**

*Mostly relevant to plantation forestry because seed germination and establishment phases are bypassed.*

*Expensive and slow to produce results.*

*May confound climate and photoperiod.*





# Genetic tests - Needs

**Forest genetics database...**  
*USFS Climate Change Research Program*



**Analyses of existing provenance test data...**

*Response surfaces for new species using existing provenance test data.  
Meta-analysis to develop general response surfaces.*

**Provenance tests that examine wider climatic ranges...**

**Provenance tests that examine flowering, seed production, seed germination, and establishment...**

*More relevant to native, naturally regenerated forests.*





# Extensive provenance test data

**Table 2. Long-term field tests of Douglas-fir provenances.**

Name	Date planted	Provenances		Test sites		Citations
		Locations (range)	No.	Locations (range)	No.	
Heredity study	1912-1913	OR, WA	13	OR, WA (3° lat.; 1,000 m elev.)	5	Munger & Morris 1936; Silen 1965, 1966, 1978
OSU-1959	1959	OR, WA, BC (41.0-50.5° N. lat.; 90-1200 m elev.)	16	CA, OR, WA, BC (41.0-50.5° N. lat.; 90-1200 m elev.)	17	Ching & Bever 1960; Ching 1965; Rowe & Ching 1973; Ching & Hinz 1978
Hospital tract	1957-1971	CA, OR, WA, ID, MT, WY, UT, CO, AZ, NM, MX, BC (26.0-52.0° N. lat.)	79	Corvallis, OR	1	Gamble et al. 1996
IUFRO-1971	1971	CA, OR, WA, BC (39-55° N. lat.; 10-1800 m elev.)	≤176	30 Countries (Europe, Mideast, Asia, N. Amer, S. Hemisphere)	50+	Illingworth 1978; Breidenstein et al. 1990; Burzynski et al. 1990; Fletcher & Samuel 1990; Pirags 1990; Sziklai 1990; Kleinschmit & Bastien 1992
BCMof	1975	BC, WA	64	S. interior BC	1	Jaquish 1990
New Zealand	1957, 1959, 1974, & 1996	CA, OR, WA	40+	New Zealand	15+	Shelbourne & Lowe 2004



# *Genetic options – Planning*

*Develop your organization's perspective on risk and manage accordingly*

*Be prepared for climate change*

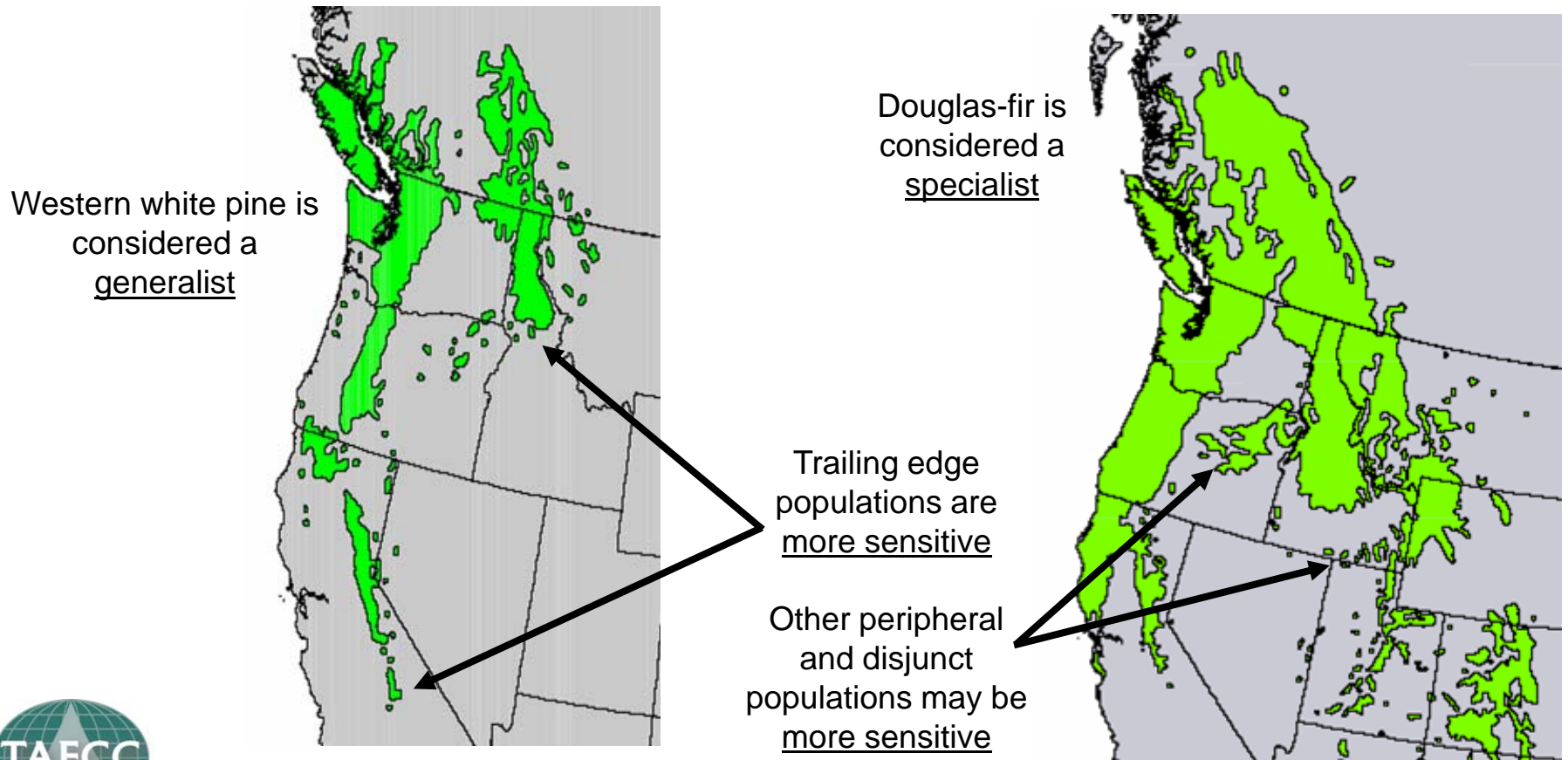
- Prioritize species, provenances, and sites for sensitivity to climate change
- Monitor sensitive systems for climate change impacts
- Know what to do before climate change impacts are observed
- In the future, you may need to get your seed from a different seed orchard (i.e., owned by someone else?)



# Genetic options – Planning

## Species/populations differ in sensitivity to climate change

Mountainous areas may be less sensitive because genetically different populations are close to one another



# **Genetic options**

## **Native, naturally regenerated forests**

### ***Maintain species and genetic diversity in situ...***

*Effectiveness may be limited if entire ecosystems become maladapted.*

### ***Avoid fragmentation and maintain corridors that facilitate migration (gene flow)...***

*Seed migration may be insufficient.*

*Pollen migration is limited by temperature-associated flowering phenology.*

.

### ***Establish 'genetic outposts'...***

*Stands adapted to future climates planted near native forests.*

*Facilitates assisted migration at the pollen level and (maybe) seed level.*

*Small number should be effective.*

*Plantation forests will help serve this function.*



# Genetic options

## *In situ preservation may become inviable*

**Conserve ecosystem functions, not current ecosystems...**

*Ex situ conservation will become more important.*

*Without artificial regeneration, genetic options are limited.*

*Rely on silviculture instead? But policies may preclude active management.*

**Assisted migration via planting must be considered...**

*e.g., Reforestation after fires – make reforestation plans before fires occur.*





# **Genetic options - Planted forests**

## **Assisted migration...**

*Movement of species, provenances, or breeding populations to 'new' sites where they are expected to be better adapted in the future.*

## **Considerations...**

*Should be highly effective, but requires artificial regeneration.*

*Which future climate is your target?*

*Deploy a mixture vs match genotypes to a specific future climate?*

*Plant at higher densities in anticipation of higher mortality due to mixing?*

## **Recommendations...**

*Use species on sites well within their current range.*

*Move seed within zones, but not to warmer or drier sites.*

*Need good, readily accessible climate data to do this (e.g., web based tools).*



# ***Genetic options - Planted forests***

## ***Match genotypes to sites and future climate...***

*Lots of genetic variation in current breeding populations.*

*Families and clones differ in drought /cold hardiness and growth phenology.*

*Genotype-site matching may reduce risks of added mismatch from climate change.*

## ***Considerations...***

*Maybe effective, but requires artificial regeneration.*

*Same risk considerations as for assisted migration.*

## ***Recommendations...***

*Characterize genotypes for drought hardiness, cold hardiness, growth phenology.*

*Plant these materials on characterized sites and monitor performance.*



# Genetic options - Planted forests

## **Breed for resistance or tolerance to pests...**

*A long-term, expensive, difficult prospect.*

*Key pests are being addressed – Which others will become problematic?*

*Biotech approaches may be the most effective (e.g., Bt insect toxins).*

## **Breed for drought hardiness and growth phenology...**

*Tests have been developed to assess cold and drought hardiness.*

*Breeding per se is generally not needed – assisted migration already available.*

## **Breed for broad adaptation...**

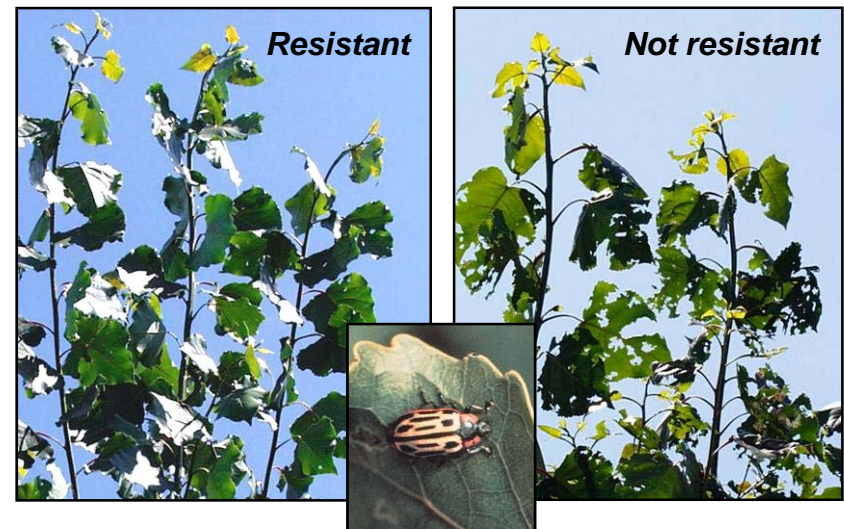
*Efficacy has not been tested.*

## **Recommendations...**

*Research needed to develop these tools.*

*Poplar trees have been genetically engineered to be resistant to the cottonwood leaf beetle.*

*Photos, Steve Strauss, OSU*

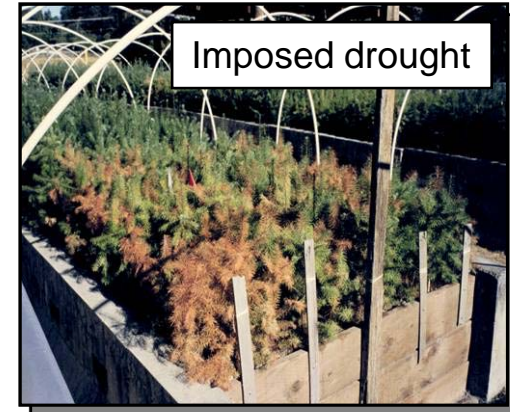


# Tests for genetic differences in cold and drought hardiness

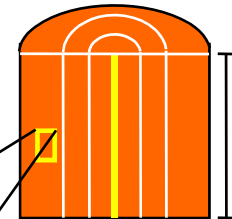
## Cold hardiness



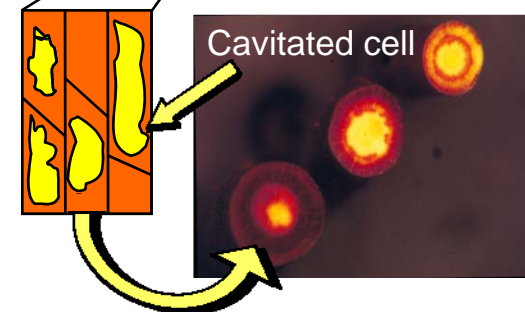
## Drought hardiness



Xylem cavitation



3-cm stem section





# **Genetic options - Planted forests**

## **Clonal forestry...**

*May speed adaptation to future climates via traditional breeding.*

*Will speed deployment of genetically-engineered materials.*

*May provide incentive to reduce genetic diversity.*

*May be more risky if match between genotype and future climate is incorrect.*

## **Genetic engineering (GE) and marker-aided selection**

*Maybe most effective for insects and pathogens.*

*Maybe the only realistic solution for some problems.*

## **Considerations...**

*Public perceptions will be an issue for clonal forestry and GE.*

## **Recommendations...**

*We need these options in our tool box – more research effort is needed.*



# Action items

## Tools...

- Interactive web-based tool to generate seed zones and breeding zones for future climates (USFS Climate Change Research Program)
- National Forest Genetics Data Center of genecological and provenance test data (USFS Climate Change Research Program)
- Growth models that incorporate climate change, species range shifts, and provenance variation (e.g., FVS, Crookston)



# Action items

## Research and testing...

- Provenance tests
  - Seed production and seedling establishment phases.*
  - Short-term seedling tests for unstudied species.*
  - Wide-ranging, long-term field tests for key species.*
- Wide testing of breeding materials in locations that represent a full range of future climates
- Characterize breeding populations and genotypes for adaptive traits to allow genotype-site matching



# Conclusions

- Prepare for climate change
- Complementary genetic and silvicultural options are available
- Maintain genetic diversity and flexibility
- Understand and evaluate assisted migration of species, seed sources, and breeding populations
- Plan for active management of native, naturally-regenerated forests, including protected areas to maintain healthy ecosystems
- Identify appropriate reforestation materials before large fires occur
- Foresters who know how to implement genetic and silvicultural options will be increasingly valuable in the future

