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CLIMATE CHANGE ADAPTATION AND DISASTER RISK MANAGEMENT IN FISHERIES AND AQUACULTURE IN THE CARICOM REGION

Volume 2 — Regional Strategy and Action Plan





CRFM Technical & Advisory Document - Number 2013 / 8

CLIMATE CHANGE ADAPTATION AND DISASTER RISK MANAGEMENT IN FISHERIES AND AQUACULTURE IN THE CARICOM REGION:

Volume 2 – Regional Strategy and Action Plan

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SUMMARY

This report is the second of four outputs in this initiative of CRFM and FAO on 'Climate change adaptation and disaster risk management in fisheries and aquaculture in the CARICOM region'. The aim is to develop a strategy and action plan for integrating DRM, CCA and fisheries and aquaculture, with a focus on small-scale fisheries (SSF) and small-scale aquaculture.

This strategy and action plan is built upon, and integrates into, core policy documents. The regional policy context is primarily the 'Regional Framework for Achieving Development Resilient to Climate Change' (the Regional Framework) that articulates CARICOM's strategy on climate change. CARICOM Heads of Government endorsed the Regional Framework at their July 2009 meeting in Guyana and issued the Liliendaal Declaration which sets out key climate change related interests and aims of CARICOM Member States. Based on the Liliendaal Declaration is the Implementation Plan (IP) for the Regional Framework. It is entitled 'Delivering transformational change 2011 - 2021' and incorporated several global to regional instruments concerning climate change and variability. See volume 1 for references and a comprehensive list of recommended further reading

Fisheries and aquaculture initiatives in the CARICOM region should be integrated into the IP and take into account the CARICOM and OECS approaches to climate change that integrate DRM. Inclusion of, or collaboration with, non-CARICOM Caribbean countries in the IP also needs to be ensidered. The CDEMA Enhanced CDM Framework for 2007-2012 is another core document that emphasises in Outcome 4 the need to focus on community level adaptation and management. The fourteenth session of the Western Central Atlantic Fishery Commission (WECAFC), held in Panama in February 2012, agreed to address CCA and DRM in fisheries and aquaculture in future sessions and, in its 2012 - 2013 Work Plan, included the preparation of a strategy, action plan and programme proposal on these, to be supported by FAO. Several policy instruments need to be taken into account specifically for ecosystem approaches to fisheries and aquaculture. These include the Code of Conduct for Responsible Fisheries (FAO 1995) and the Caribbean Community Common Fisheries Policy (CCCFP) and the OECS St George's Declaration of Principles for Environmental Sustainability (SGD). These documents contribute to a vision such as: regional society and economy that is resilient to a changing climate and enhanced through comprehensive disaster management and sustainable use of aquatic resources.

The CCCC Regional Framework contains five strategy elements and twenty goals or similar statements. Some are more relevant to fisheries and aquaculture, using an ecosystem approach, than others. Several aspects are developed in the IP, mainly under the heading of coastal and marine matters. This strategy and action plan incorporates fisheries and aquaculture more prominently into the IP as requested by the CRFM in order to strengthen the existing linkages to mutual advantage.

The strategy and action plan draws on the above-mentioned documents, elaborates on the concept of transformation and develops content to which the proposed programme for the region can be linked (see Volume 3). This volume sets out strategic actions "to strengthen regional and national cooperation and develop capacity in addressing climate change impacts and disasters in the fisheries and aquaculture sector". It draws upon key regional policy instruments on fisheries, aquaculture, climate change and disasters. Ultimately it will be important for there to be linkages between the enhanced IP and critical fisheries and aquaculture policy at the regional and national levels. A protocol to the CCCFP that sets out these relationships could be a logical next step in this process.

ABBREVIATIONS AND ACRONYMS

ACP African, Caribbean and Pacific Group of States

ASSC / TMAC Agriculture Sub-Sector Committee / Technical Management Advisory Committee

CANARI Caribbean Natural Resources Institute

CARICOM Caribbean Community

CBO Community-Based Organization
CCA Climate Change Adaptation

CCCFP Caribbean Community Common Fisheries Policy
CCCCC Caribbean Community Climate Change Centre
CCRF Code of Conduct for Responsible Fisheries
CCRIF Caribbean Catastrophe Risk Insurance Facility

CDEMA Caribbean Disaster and Emergency Management Agency
CDERA Caribbean Disaster and Emergency Response Agency

CDM Comprehensive Disaster Management CEHI Caribbean Environmental Health Institute

CERMES Centre for Resource Management and Environmental Studies

CLME Caribbean Large Marine Ecosystem (Project)
CRFM Caribbean Regional Fisheries Mechanism

DRM Disaster Risk Management

EAA Ecosystem Approach to Aquaculture EAF Ecosystem approach to fisheries EBM Ecosystem based management

FAO Food and Agriculture Organization of the UN

FMM FAO Multi-donor Mechanism GEF Global Environment Facility

IP Implementation Plan

IPCC Intergovernmental Panel on Climate Change ISDR International Strategy for Disaster Reduction

NGO Non-governmental Organization

OECS Organization of Eastern Caribbean States

SES Social-ecological system
SGD St. George's Declaration
TNC The Nature Conservancy

UNFCCC United Nations Framework Convention on Climate Change

US United States

UWI University of the West Indies

WECAFC Western Central Atlantic Fishery Commission

TABLE OF CONTENTS

S	ımmary	i
A	bbreviations and acronyms	ii
1	Introduction	1
2	Delivering transformational change	1
3	Vision	3
4	Policy context and priorities	4
5	Approach and links to strategy elements	10
	5.1 Strategic element 1: Mainstream climate change adaptation strategies into sustainable development/agendas of CARICOM Member States	11
	5.2 Strategic element 2: Promote the implementation of specific adaptation measures to addre key vulnerabilities in the region	
	5.3 Strategic element 3: Promote actions to reduce greenhouse gas emissions through fossil fureduction and conservation, and switching to renewable and cleaner energy sources	
	5.4 Strategic element 4: Promote actions to reduce the vulnerability of natural and human syst in CARICOM Member States to the impacts of a changing climate	
	5.5 Strategic element 5: Promote actions to derive social, economic and environmental benefit from the prudent management of standing forests in CARICOM countries	
6	Strategy and action plan	14
7	Annex	26
	Annex 1: Liliendaal Declaration on Climate Change and Development	26

1 INTRODUCTION

This strategy and action plan is intended to assist interested parties "to strengthen regional and national cooperation and develop capacity in addressing climate change impacts and disasters in the fisheries and aquaculture sector". The regional policy context is primarily the 'Regional Framework for Achieving Development Resilient to Climate Change' (the Regional Framework) which articulates CARICOM's strategy on climate change¹. CARICOM Heads of Government endorsed the Regional Framework at their July 2009 meeting in Guyana and issued the Liliendaal Declaration which sets out key climate change related interests and aims of CARICOM Member States. Based on the Liliendaal Declaration (Annex 1) is the Implementation Plan (IP) for the Regional Framework. It is entitled 'Delivering transformational change 2011 - 2021' and incorporated several global to regional instruments concerning climate change and variability². Fisheries and aquaculture initiatives in the CARICOM region should be integrated into the IP and take into account the CARICOM (including OECS) response to climate change in other sectors. The fourteenth session of the Western Central Atlantic Fishery Commission (WECAFC), held in Panama in February 2012, agreed to address CCA and DRM in fisheries and aquaculture in future sessions, and in its 2012 - 2013 Work Plan, included the preparation of a strategy, action plan and programme proposal on these, to be supported by FAO.

Several policy instruments also need to be taken into account specifically for ecosystem approaches to fisheries and aquaculture. These include the Code of Conduct for Responsible Fisheries (CCRF³) and the still evolving Caribbean Community Common Fisheries Policy (CCCFP⁴). Both of these are connected to a web of international, regional and sub-regional instruments that all provide a strong policy foundation upon which to build the current strategy and action plan. This document bridges the space between what is (the assessment study) and what will be (programme proposal) by strategically framing desired action. We turn directly to this task, drawing on the above-mentioned documents, and elaborating on the concept of transformation, before addressing the action plan to which the proposed programme for the region is linked (see Volume 3).

2 DELIVERING TRANSFORMATIONAL CHANGE

The IP bears the powerful title "Delivering transformational change". This can be further enhanced by packaging the notion in a conceptual framework often used for resilience (see Volume 1). In this section we briefly introduce one practical perspective on the concept of transformation and show how it can be applied to the challenges of CCA and DRM that we need to tackle, especially in terms of governance changes.

Authors have described how transformation involves coordinating information and activities, building knowledge and understanding of social-ecological system (SES) dynamics, providing leadership in the form of visions and goals, and using social networks along with seizing a window of opportunity

¹CCCCC. 2009. Climate Change and the Caribbean: A Regional Framework for Achieving Development Resilient to Climate Change (2009 - 2015). Caribbean Community Climate Change Centre, Belmopan, Belize ²CCCCC. 2012. Delivering transformational change 2011 - 2021: Implementing the CARICOM `Regional Framework for Achieving Development Resilient to Climate Change. Caribbean Community Climate Change Centre, Belmopan, Belize

³http://www.fao.org/docrep/005/v9878e/v9878e00.HTM

⁴http://www.caricom-fisheries.com/PublicationsandDocuments

to transform⁵. We show in Figure 2.1 how the elements of the regional plan fit alongside the process that they describe.

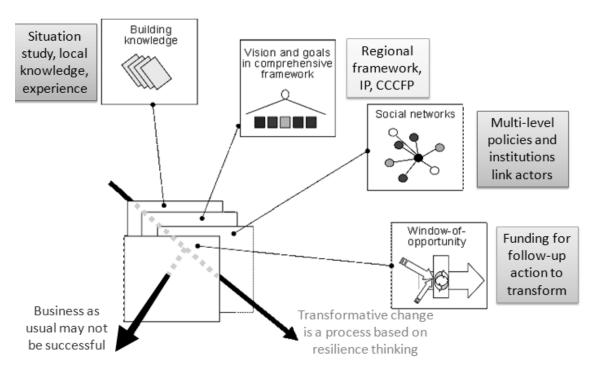


Figure 2.1The linked parts and process of transformative change (Adapted from Olsson et al 2004)

Consistent with the concepts of resilience we appreciate that there will be uncertainty. Transformative change sets out to alter the fundamental state of a social-ecological system, but exactly what changes take place depends on a number of factors, not all of which are under the control of the change agent. Navigating the course calls for guidance through constantly monitoring and evaluating progress. The following paragraphs show how we can match a strategy for the CRFM countries with this process.

The current initiative builds on our knowledge of CCA and DRM in relation to fisheries and aquaculture. We have not yet tapped the wealth of local knowledge and experience in these areas. Even as we continue to build knowledge, the Regional Framework and IP hierarchically set out components of the change required from vision to action (Figure 2.2) via a network of sectors, each with actors, policies and institutions. These notions fit well with ecosystem approaches.

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⁵Olsson, P., C. Folke and F. Berkes. 2004. Adaptive co-management for building resilience in social–ecological systems. Environmental Management 34: 75 - 90.

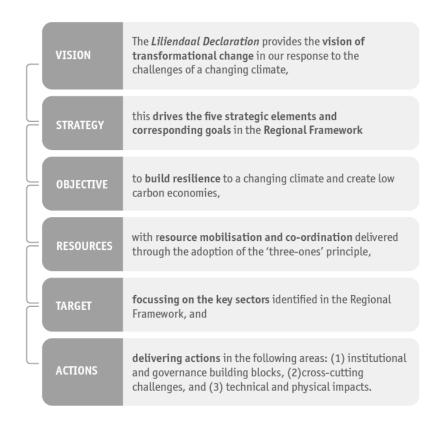


Figure 2.2 Implementation Plan for the Regional Framework sets out a hierarchical structure (Source: CCCCC 2012)

The window of opportunity typically concerns the development of adaptive capacity to a level at which change becomes much more feasible. Many factors feed into capacity-building such as human, physical and financial resources along with skills and an outlook or culture that is progressive. The proposed programme contributes to capacity development, as do several other ongoing initiatives, in order to reduce vulnerability. We need to see the vision through the window of opportunity.

3 VISION

Several texts provide ingredients for an appropriate vision, and the list continues to expand rapidly. Recent additions include ideas on low carbon economies⁶, green and blue economy initiatives⁷, blue carbon trading⁸ and many more. UNFCCC, ISDR, CCRF and several other global level instruments are referred to in current regional policy guidance, whether the statements are called visions, goals, aims, overarching purposes or other similar high-level terms. CDERA (2007) reminds us that even at the sub-regional level there is policy guidance for DRM and CCA⁹. The OECS St George's Declaration of Principles for Environmental Sustainability (SGD¹⁰) covers these in two principles:

⁶Government of Guyana. 2010. Transforming Guyana's economy while combating climate change. Office of the President, Republic of Guyana

⁷UNEP, FAO, IMO, UNDP, IUCN, World Fish Center, GRIDArendal. 2012. Green Economy in a Blue World. ⁸Linacre, N., A. Kossoy and P. Ambrosi. 2011. State and trends of the carbon market 2011. World Bank, Washington, DC.

⁹CDERA. 2007. CDM Strategy and Programme Framework 2007 - 2012. Caribbean Disaster Emergency Response Agency. Barbados

¹⁰OECS Secretariat. 2006. St. George's Declaration of Principles for Environmental Sustainability in the OECS (Revised 2006). OECS Secretariat, Castries

- Principle #8: "Preparation for Climate Change" whereby "Governments will enact laws, create organizations and institutions and provide money to assist people and communities to adapt to the impact of climate change"
- Principle # 9: "Integrated Disaster Management" whereby "Governments will integrate disaster management initiatives with environmental priorities to help the peoples of the region in their preparation for and management of the impacts of natural and man-made disasters"

We can work with what already exists, noting areas of complementarity, rather than create an entirely new vision just for CCA and DRM in fisheries and aquaculture. The vision that drives the Regional Framework is the achievement of a "regional society and economy that is resilient to a changing climate" as amplified by the Liliendaal Declaration. CDEMA is working towards the overall goal of "regional sustainable development enhanced through comprehensive disaster management". CRFM is guided in part by the CCCFP vision of "effective cooperation and collaboration among Participating Parties in the conservation, management and sustainable utilisation of the fisheries resources and related ecosystems in the Caribbean region in order to secure the maximum benefits from those resources for the Caribbean peoples and for the Caribbean region as a whole". These compatible statements can form a linked vision such as: regional society and economy that is resilient to a changing climate and enhanced through comprehensive disaster management and sustainable use of aquatic resources. This is incorporated into the draft strategy and action plan in Annex 2.

4 POLICY CONTEXT AND PRIORITIES

The CCCCC Regional Framework contains five strategy elements and twenty goals or similar statements. Some are more relevant to fisheries and aquaculture, using an ecosystem approach, than others. Several aspects are developed in the IP, mainly under the heading of coastal and marine matters. The CDEMA Enhanced CDM Framework for 2007-2012 (a new strategy is being prepared) is general but also contains relevant components (Table 4.1). In the CCCFP, the content is entirely related to fisheries and aquaculture, but mention of CCA and DRM is limited (Table 4.2). The Regional Framework and Enhanced CDM Framework are fairly similar in structure. Both also set out various principles to guide implementation that relate mainly to promoting good governance. However, the structure of the CCCFP is completely different. It is a regional agreement comprising articles with statements of intent, but a work programme has not yet been developed for it. Although the three documents are not directly comparable they can be analysed for areas of commonality. The highest priority for attention is where shared coverage is greatest, but this does not exclude critical areas arising for other reasons. None of the documents constrain what may be set out as an action plan for fisheries and aquaculture. Therefore action plan and programme proposal is not restricted to merely an elaboration of these frameworks and agreement. Entirely new ground can be covered if necessary.

Table 4.1 Enhanced CDM Framework 2007 - 2012 (Source CDERA 2007)

GOAL

Regional Sustainable Development enhanced through Comprehensive Disaster Management

PURPOSE

'To strengthen regional, national and community level capacity for mitigation, management, and coordinated response to natural and technological hazards, and the effects of climate change.

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
Enhanced institutional	An effective	Disaster Risk	Enhanced community
support for CDM	mechanism and	Management has been	resilience in CDERA
Program	program for	mainstreamed at	states/ territories to
implementation at	management of	national levels and	mitigate and respond to
national and regional	comprehensive disaster	incorporated into key	the adverse effects of
levels	management	sectors of national	climate change and
	knowledge has been	economies (including	disasters
	established	health, tourism, agri	
		culture and nutrition)	
		,	
OUTPUTS	OUTPUTS	OUTPUTS	OUTPUTS
1.1 National Disaster	2.1 Establishment of a	3.1 CDM is recognized	4.1 Preparedness,
Organizations are	Regional Disaster Risk	as the roadmap for	response and
strengthened for	Reduction Network to	building resilience and	mitigation capacity
supporting CDM	include a Disaster Risk	decision- makers in the	(technical and
implementation and a	Reduction Centre and	public and private	managerial) is
CDM program is	other centres of	sectors understand and	enhanced among
developed for	excellence for	take action on Disaster	public, private and civil
implementation at the	knowledge acquisition	Risk Management	sector entities for local
national level	sharing and	3.2 Disaster Risk	level management and
1.2 CDERA CU is	management in the	Management capacity	response
strengthened and	region	enhanced for lead	4.2 Improved
restructured for	2.2 Infrastructure for	sector agencies,	coordination and
effectively supporting	fact- based policy and	national and regional	collaboration between
the adoption of CDM in	decision making is	insurance entities, and	community disaster
member countries	established	financial institutions	organizations and other
1.3 Governments of	/strengthened	3.3 Hazard information	research/data partners
participating states/	2.3 Improved under-	and Disaster Risk	including climate
territories support CDM	standing and	Management is	change entities for
and have integrated	/community-based	integrated into sectoral	undertaking
CDM into national	knowledge sharing on	policies, laws,	comprehensive disaster
policies and strategies	priority hazards	development planning	management
1.4 Donor programming	2.4 Existing	and operations, and	4.3 Communities more
integrates CDM in to	educational and	decision- making in	aware and
relatedenvironmental,	training materials for	tourism, health,	knowledgeable on
climate change and	Comprehensive	agriculture and	disaster management
disaster management	Disaster Management	nutrition, planning and	and related procedures
programming in the	are standardized in the	infrastructure	including safer
region.	region.	3.4 Prevention,	building techniques
1.5 Improved	2.5 A Strategy and	Mitigation,	4.4 Standardized
coordination at national	curriculum for building	Preparedness,	holistic and gender-
and regional levels for	a culture of safety is	Response, Recovery	sensitive community
disaster management	established in the	and Rehabilitation	methodologies for
1.6 System for CDM	region	Procedures developed	natural and
monitoring, evaluation		and Implemented in	anthropogenic hazard

and reporting being	tourism, health,	identification and
built	agriculture and	mapping, vulnerability
	nutrition, planning and	and risk assessments,
	infrastructure	and recovery and
		rehabilitation
		procedures developed
		and applied in selected
		communities.
		4.5 Early Warning
		Systems for disaster
		risk reduction
		enhanced at the
		community and
		national levels

Table 4.2 Content of the Caribbean Community Common Fisheries Policy highlighting CCA and DRM aspects (Extracted from CARICOM 2010)

Section of CCCFP Summary of content			
Preamble	Refers to the instruments and country commitments that give context		
Definitions	Sets out meanings of aquaculture, EAF, fisheries resources and others		
Establishment of the	The Agreement establishes the Caribbean Community Common		
CCCFP	Fisheries Policy		
Participation	Who can be party to the agreement, extending beyond CARICOM		
Vision, Goal and	Vision (see text above) and goal statements, plus nine objectives		
Objectives	including: "integrate environmental, coastal and marine management		
	considerations into fisheries policy so as to safeguard fisheries and		
	associated ecosystems from anthropogenic threats and to mitigate the		
	impacts of climate change and natural disasters"		
Fundamental Principles	Six principles including: "application of internationally-recognised		
	standards and approaches, in particular the precautionary approach to		
	fisheries management and the ecosystem approach to fisheries		
	management"		
Scope	"The Agreement shall apply to: the development and management of		
	fisheries and aquaculture; the conservation, sustainable development		
	and management of fisheries resources and related ecosystems; the		
	production, processing, marketing and trading of fishery and		
	aquaculture products; and to the welfare of fishers".		
General Undertakings	Participating Parties are reminded of their obligations to implement the		
on Implementation	agreement, including establishing a Competent Agency		
Role of the Competent	Comprehensive but general list applicable to most regional fisheries		
Agency	bodies and with no specific mention of CCA and DRM		
Access to Fisheries	Minimal provisions for access agreements with encouragement for		
Resources	parties to collaborate, but with the possibility of developing protocols		
Fisheries Sector	"to promote and adopt measures to enhance the development of the		
Development	fisheries and aquaculture sectors and to improve the welfare and socio-		
	economic conditions of fishers and fishing communities"		
Statistics and Research	Cooperation to "collect and compile fisheries catch and fishing effort,		
registration and licensing data as well as biological, ecological,			
economic, social, aquaculture and any other relevant data" and			
	research		
Conservation and	Persuasion to "formulate, adopt, implement and revise conservation		
Management of	and management measures and, where appropriate, fisheries		
Fisheries Resources	management and development plans on the basis of the best available		

Section of CCCFP	Summary of content
	information, including traditional knowledge"
Registration and	To "take into account the status of available fisheries resources and
Licensing	existing fishing capacity when registering and licensing fishing
	vessels, fishers and other operators in the fisheries and aquaculture sector"
Inspection,	Mainly concerns monitoring, control and surveillance (MCS) and
Enforcement and	illegal, unreported and unregulated (IUU) fishing
Sanctions	
Confidentiality and	Addresses intellectual property rights in data, documents and products
Intellectual Property Rights	developed by the various parties
Dissemination of	Speaks mainly to efforts to "disseminate relevant information to
Information	stakeholders to enable them to be familiar with regional and
	international developments in fisheries and thereby facilitate informed
	decision-making and widespread acceptance of and participation in
	this Agreement"
Public Awareness	Includes establishing "research and education programmes to raise
	awareness of the impact of global warming, climate change, sea level rise and other environmental changes on the fisheries sector"
Marketing and Trade of	Largely about "national or common policies, measures and standards"
Fisheries Resources	
Links with	To "facilitate the development of strategic alliances and partnerships
International	with relevant agencies created by multilateral environmental
Organisations	agreements as well as regional fisheries management organisations
	and arrangements and other relevant national, regional and
	international agencies and organisations, whether governmental or non-governmental"
Protocols	Parties "undertake to prepare Protocols relating to" many aspects of
	fisheries and aquaculture", but CCA and DRM are not mentioned
Reporting, Review and	Concerns very general provisions for monitoring and evaluation with a
Development	review five years after the Agreement comes into force
Amendments, Dispute	Final sections that are primarily legal requirements of the agreement
Settlement, Depositary,	
Signature, Accession,	
Entry into Force,	
Withdrawal	

In keeping with the CRFM preference for the matters of CCA and DRM in fisheries and aquaculture to be closely linked to the Regional Framework and IP we examine the strategic elements and goals of the former to determine the fit of these matters and to comment on areas for the action plan (and hence programme proposal) to address from now to 2021. Areas of highest priority or benefit will be focused upon in the action plan. Table 4.3 communicates, in summary fashion, the level of priority suggested, also taking into account the CDM and CCCFP contents. For ease of reference the assigned priorities are also format coded: normal text for low, *italic* for medium and **bold** for high priority.

Table 4.3 Fit of fisheries and aquaculture into the Regional Framework taking into account CDM and CCCFP (Based on CCCCC 2012)

Strategy elements	Go	als	Priority for fisheries, aquaculture
1. Mainstream climate	1.	Assess the vulnerability and	High priority for watersheds,
change adaptation strategies		risks associated with a	coasts, nearshore and open sea
into the sustainable		changing climate	ecosystems
development agendas of the	2.	Reduce vulnerability to a	High priority, especially from a
CARICOM Member States.		changing climate	livelihoods perspective that
The CCCCC will adopt a			integrates many features and should
learning-by-doing approach			be of concern to all
to capacity building and	3.	Effectively access and utilise	High priority, as resource
build on the progress		resources to reduce	mobilisation is key, including
achieved in past projects		vulnerability to a changing	making better use of existing
(CPACC, ACCC, MACC		climate	resources through networking
and SPACC) by furthering	4.	Build a society that is more	High priority since awareness and
institutional capacity,	٦.	informed about and resilient	information runs through all of the
strengthening the knowledge		to a changing climate	instruments prominently
base, and deepening	5.	Build the Caribbean	Low priority given the several other
awareness and participation	٦.	Community Climate Change	
a marchess and participation		•	initiatives contributing to this goal
		Centre's capacity to support	
		the implementation of the	
	_	strategy	14 7
	6.	Reduce the region's carbon	Medium priority since small-scale
		footprint through the	fisheries and all but highly intensive
		promotion of energy	aquaculture are fairly efficient
		efficiency measures	
2. Promote the	1.	Promote the adoption of	Medium priority for aquaculture at
implementation of specific		measures and disseminate	small to medium scales since many
adaptation measures to		information that would make	other factors also come into play
address key vulnerabilities in		water supply systems	
the region. This element of		resilient to climate-induced	
the strategy seeks to		damage	
strengthen the climate	2.	Promote the implementation	High priority for coastal settlements
resilience of the most		of measures to reduce	ancillary services (e.g. boatyards),
vulnerable sectors by		climate impacts on coastal	postharvest facilities and access to
supporting the dissemination		and marine infrastructure	the coast and nearshore generally
of successful adaptation	3.	Promote the adoption of	Medium priority since although
measures, some of which are		measures and dissemination	there are many actual or potential
already being implemented		of information that would	interactions between aquaculture,
in the region. Specifically,		adapt tourism activities to	tourism and fisheries the links tend
the strategy seeks to address		climate impacts	to be indirect unless physical
the impacts of climate	4.	Promote sound conservation	High priority as encompasses both
change on: (a) water supply;	۲.	practices in coastal and	habitat degradation and biodiversity
(b) coastal and marine		marine ecosystems to shelter	conservation, but also applies to
ecosystems; (c) tourism; (d)		these resources from	most freshwater aquaculture
coastal infrastructure; and (e)		climate-induced damage	_
health, which combined	5.	Promote the adoption of	systems except closed systems Medium priority since despite the
represent the largest threats	٦.	•	Medium priority since, despite the
to the well-being of the		sound practices and	importance of health to all, the links
CARICOM countries.		measures to prevent and/or	to fisheries and aquaculture tend to
Criticon counties.		reduce climate-induced	be indirect except for some toxins
		health impacts in the	such as ciguatera. Agrochemical
2.0	4	community	bio-accumulation may be a hazard
	1.	Promote the use of	<i>Medium</i> priority as for carbon
3. Promote actions to reduce greenhouse gas emissions	1.	renewable energy resources	footprint; energy sector innovations

Strategy elements	Goals	Priority for fisheries, aquaculture
through fossil fuel reduction		are likely to be applied or adapted
and conservation, and	2. Support the assessment of	Medium priority for aquaculture on
switching to renewable and	wind potential to supply	shore, but low for fisheries except if
cleaner energy sources	electric power in CARICOM	offshore wind farms are introduced
	countries	
	3. Support the development of	Low priority for water heaters, but
	innovative financing	medium for solar technology which
	mechanisms for the	can be applied to both fisheries and
	deployment of solar water	aquaculture for economics, safety
	heaters (SWHs)	
	4. Assess the feasibility of	Low priority for energy production
	converting waste to energy	but fish waste by-products fit into
	in CARICOM countries	green/blue economy initiatives
	5. Assess the economic	Low priority at this time, but OTEC
	viability of environmental	has previously been proposed in
	impact of shore-based Ocean	association with aquaculture and fisheries enhancement if successful
	Thermal Energy Conversion (OTEC) Plants	fisheries enhancement if successful
4. Promote actions to reduce	All strategic actions (not goals)	High priority given that most
the vulnerability of natural	-Revise building codes, to	labour for fishing and coastal
and human systems in	include restricting construction	aquaculture is located in coastal
CARICOM countries to the	in areas susceptible to coastal	settlements as well as the physical
impacts of a changing	flooding, landslides, or tidal	infrastructure
climate	surges;	
	- Develop new standards for	Low priority since roads that serve
	road construction to ensure	fisheries and aquaculture are often
	adequate surface drainage;	part of public service infrastructure
	- Implement integrated land-use	High priority for aquaculture and
	planning;	fisheries to better integrate rights of
		access and improve management
	- Enact national standards for	Medium to high (marketing and
	sanitation, both to reduce the	trade related) priority specifically
	required volume of water, as	for fisheries and aquaculture given
	well as to ensure safe systems	that high socio-economic
	for the treatment of wastewater	importance of sanitation generally
	and other forms of waste so as to	will spill over to benefit these
	reduce public health risks;	sectors/stakeholders
	- Develop and test crop varieties	Low priority except in areas where
	that are more tolerant to adverse	pond and flooded field aquaculture
	weather conditions such as	can be practiced, but may be of
	droughts, high winds, and	higher importance where resistant
	floods;	varieties are being engineered
	- Implement public education	High priority since awareness and
	and awareness programs;	information runs through all goals
	- Develop new legal tools that	High priority for both fisheries and
	make for a more responsive	aquaculture where insurance is
5. Promote actions to derive	insurance industry 1. Promote the adoption of best	scarce and capital costs increasing
social, economic, and	1. Promote the adoption of best practices for sustainable	Medium priority from an ecosystem approach that considers watershed
environmental benefits from	forest management	impacts on habitat degradation
the prudent management of	2. Engage in negotiations with	Low priority except in areas used
standing forests in	international partners to	for aquaculture and concerning the
sumaing forests in	micrianonai partners to	Tor aquaculture and concerning the

Strategy elements	Goals	Priority for fisheries, aquaculture
CARICOM countries.	mobilise resources for the	coastal impacts from watersheds
	protection of standing forests	
	3. Undertake research aimed at	Low priority as very indirect link to
	improving current	fisheries and aquaculture matters
	methodologies for	_
	estimating carbon	
	sequestration rates in	
	tropical forests	

Our analysis highlights several areas of high priority that focus mainly on knowledge mobilisation, physical infrastructure, technology, integrated planning and legal-institutional arrangements. The items of medium and low priority are mainly those addressed in other sectors or in society generally that fisheries and aquaculture can reasonably expect to benefit from without any special effort. There are also a few cases where priority is low given limited geographic, technological or other relevance.

5 APPROACH AND LINKS TO STRATEGY ELEMENTS

It is feasible and desirable to apply the Regional Framework to fisheries and aquaculture. Based on the Framework's strategic elements and high priority goals we can now examine the IP, Enhanced CDM Framework and CCCFP again, along with other (primarily regional level) documents, to identify goal-oriented outcomes and strategic actions. These actions, or a selection of them to be determined by CRFM countries, will form the basis of the programme proposal for the period 2013 - 2021 that is set out in the third volume. While the strategic actions at this point will be fairly general to serve the regional interest, some will be taken to national and local levels across sets of countries in the programme proposal, achieving the vertical and lateral integration that all of the key documents aim for while creating opportunities for learning networks, replication and scaling up. The starting point for this step is the existing IP which has political endorsement. Readers are encouraged to carefully review the IP¹¹. The database of initiatives in the region suggests that a lot is going on, but while disaster management, biodiversity, health, water resources, agriculture and education are prominent, forestry and fisheries are less so and aquaculture is not specifically identified.

Although CCA and DRM are converging, as noted in the assessment report, the fact remains that not all disasters are climate-related. The strategy and action focus most on the zone of convergence while not ignoring geological hazards. Technological hazards, such as due to radioactivity, chemical spills and other man-made sources are not fully addressed. These will need to be included at a later stage.

The 'three ones' principle or approach in the IP is said to have been successful at providing "a model for mobilising limited resources, policy-setting and decision-making, and monitoring". It is described in Chapter 2 of the IP thus:

- 1. **One co-ordinating mechanism to manage the process** (Note in the Implementation Plan we recommend that there is a regional co-ordinating mechanism and separate national co-ordinating mechanisms in each country).
- 2. One plan that provides the framework for co-ordinated action by all partners. (Note 'One Plan' means one agreed set of shared and common goals and objectives which can be contained within various individual documents).

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¹¹http://www.caribbeanclimate.bz/projects/planning-for-climate-compatible-development-in-the-caribbean.html

3. One monitoring and evaluation framework to measure progress, transparency and value for money. (Each country to define its own targets, defined by risk assessments and national priorities, and M&E measures).

The second principle strongly encourages interested parties to build upon the existing content of the IP, customising it to their specific needs which are expected to be dynamic, but contributing to the one plan integrity and coherence at the same time. In elaborating strategic actions for fisheries and aquaculture in the context of CCA and DRM and consistent with the IP we should therefore try to:

- Take the current content of the IP (mainly its Annex 1) as a starting point
- Strengthen the current content concerning fisheries and aquaculture
- Add new fisheries and aquaculture content where critical areas are lacking
- Ensure that both CCA and DRM are adequately addressed in all content
- Look for links with other sectors consistent with an ecosystem approach
- Provide preliminary indication as to what level(s) action should take place at
- Set out strategic actions to be easily used to construct a programme proposal

The strategy and action plan follows the guidance above. Most of it is extracted from the IP, then edited and reformatted to suit the task at hand. The IP table contains columns on country or location, lead agency / collaborators, timeframe, estimated cost and link to existing actions. We omit these columns which have many blank cells in the original table. For aquaculture and fisheries these fields are best filled in after there is agreement on the strategic actions and perhaps best located in the programme proposal which can subsequently be linked back to the IP.

The strategy and action plan is organised around the strategic elements, as is the IP. We present what is already in the IP and suggest improvements and / or additions. Some elements have much more aquatic content than others. This unevenness amongst elements is not problematic once the issues are addressed. The sub-sections of the elements set out the strategic actions relevant to fisheries and aquaculture from CCA and DRM perspectives that are already in the IP and some proposed additional strategic actions.

In the sections below we provide a brief analysis of the existing and proposed additional strategic actions, linked to various guiding documents. Readers are reminded of the rating of relevance assigned in the previous section. Our main focus is on goals rated to be of high or medium priority. This does not preclude attention to low priority goals if CRFM countries so decide.

5.1 Strategic element 1: Mainstream climate change adaptation strategies into sustainable development / agendas of CARICOM Member States

This element contains the most coastal zone and marine content. Actions cover both CCA and DRM. The actions under this element are very comprehensive save for the limited attention to aquaculture. In many cases the objectives and outcomes can be made more specific to fisheries and aquaculture and relate more to the several global and regional instruments that guide these areas. This, however, is a matter of fine tuning that can be done at the programme or project level within the existing frame. It would be more prudent to leave the existing wording and make the necessary finer scale interpretation as required upon implementation than to re-draft most of these actions prior to the scheduled review of the entire IP based on what has or has not worked to been taken up for implementation. For these reasons, only a few additional strategic actions are proposed to fill the most obvious gaps.

Focusing on fisheries and aquaculture, some additional strategic actions are proposed. These additional actions fill in some of the gaps revealed by the situation assessment (Volume 1) and flagged in particular by Outcome 3 of the Enhanced CDM Framework. Although mainstreaming CCA and DRM into fisheries and aquaculture is made easier by ecosystem approaches, most of the CRFM countries are still a long way from comprehensively introducing EAF or EAA as customary practice.

The focus of the additional actions is to forge new connections that offer benefits to most fisheries and aquaculture stakeholders through attention to CCA and DRM. They also serve as entry points for addressing recognized deficiencies in the IP such as inadequate attention to gender mainstreaming. A key feature of successful mainstreaming is to ensure that the benefits outweigh the costs of attending to the additional variables and uncertainties that arise by widening the scope of the core topic area or sector. In fisheries, even more than aquaculture, mainstreaming CCA and DRM internalizes many of the uncertainties known to be problematic and allows them to be addressed in a more systematic way.

5.2 Strategic element 2: Promote the implementation of specific adaptation measures to address key vulnerabilities in the region

This element also contains much coastal zone and marine content. Actions cover CCA and DRM, and there are several cross-sectoral linkages such as with water resources management, tourism and health that can be packaged in the EAF.

Specific adaptation measures for fisheries and aquaculture can quickly generate an unnecessarily long and detailed list of strategic actions unless sets of ideas are grouped together. The actions under this element perform credibly in terms of packaging the key ideas. Aquaculture has to be read into the broad actions under water resources management for example, but this may be advantageous in places where there would be little attention to aquaculture on its own, but much attention to water resources.

Focusing on fisheries and aquaculture, some additional strategic actions are proposed. This strategic element addresses key vulnerabilities. Determining what is 'key' at any time depends on many dynamic factors and is ultimately, in a region such as CARICOM, a matter for negotiation. In these additional actions we highlight current pressing issues such as poverty, information exchange and the development of capacity through multilevel networking. We also pay more attention to social institutions for learning in keeping with the emphasis on resilience.

5.3 Strategic element 3: Promote actions to reduce greenhouse gas emissions through fossil fuel reduction and conservation, and switching to renewable and cleaner energy sources

This element is of medium to low priority primarily because advances in the areas of concern are likely to be made first in other sectors of industry before being applied, if at all, to fisheries and aquaculture.

Aquaculture is likely to be a beneficiary of advancement in this subject area along with the shore-based postharvest operations in fisheries. Applications to fishing vessels and harvest operations are likely to be fewer although fuel consumption and cost is a major issue. These areas fit well within the green economy thrust in the region and are likely to receive attention as resources are mobilised for this. Aspects of appropriate marine technology need to be highlighted in order for them to benefit.

Focusing on fisheries and aquaculture, some additional strategic actions are proposed. For a topic such as energy it would be unreasonable to expect fisheries and aquaculture to feature prominently given their presumed insignificance as energy consumers or GHG emitters in comparison to several other economic sectors in the CARICOM region. However, especially in the context of green economy initiatives, it is important to establish that fisheries and aquaculture are relevant as end users of new technology even if not the prime motivation for its development. These additional actions attempt to bring in some of the main areas in which fisheries and aquaculture can benefit.

5.4 Strategic element 4: Promote actions to reduce the vulnerability of natural and human systems in CARICOM Member States to the impacts of a changing climate

This element overlaps considerably with element 2. However, one may argue that it more explicitly recognizes SES and is compatible with livelihood perspectives in order to focus more on the local individual, household and community levels.

Due to the overlap with element 2 this element is relatively poorly populated. However, much of what applies to agriculture also applies to fisheries and aquaculture. This is aided by the three areas often being housed within the same ministry of government. Advantage can be taken to focus on the local level as stated in the introduction and to insert goals and objectives derived from several fisheries and aquaculture instruments.

Focusing on fisheries and aquaculture, some additional strategic actions are proposed. The additional actions for this element emphasize building capacity to reduce vulnerability in the aquatic social-ecological systems. Here, vulnerability also applies to SSF communities, the poor and otherwise disadvantaged in terms of food security. Areas and actors that are key to food security must be protected. The potential for overlap with actions under other elements is extremely high. We concentrate on establishing networks in the proposed actions.

5.5 Strategic element 5: Promote actions to derive social, economic and environmental benefits from the prudent management of standing forests in CARICOM countries

This element is least relevant to fisheries and aquaculture except for fairly specific circumstances. Areas of mangrove forest are relevant to both aquaculture and fisheries. Economic valuation of mangroves can be useful for policy making and planning from an ecosystem services perspective on alternative uses or non-use. Forest management in upland watersheds can impact the coast and water supply for aquaculture. These aspects of forestry are largely covered under previous elements of the strategy but may bear repeating.

Focusing on fisheries and aquaculture, some additional strategic actions are proposed. Forests, whether in the coastal zone or upland watershed, are of concern in ecosystem approaches to fisheries and aquaculture. The actions suggested here concentrate on the value of forests in providing ecosystem services.

6 STRATEGY AND ACTION PLAN

Vision

A regional society and economy that is resilient to a changing climate and enhanced through comprehensive disaster management and sustainable use of aquatic resources.

Guide points

- An integrated approach is important in minimizing the use and costs of limited technical, administrative, and financial resources; in reducing any potential conflicts in policy development; and in promoting coordination among all stakeholder groups in hazard risk reduction;
- Effective and sustained involvement of civil society;
- Stakeholder involvement and participation must be effectively coordinated so as to minimize
 duplication of effort and conflict, and ensure efficient use of resources and the creation of
 positive synergies;
- An effective institutional, administrative, and legislative environment is a *sine qua non* to effective and timely resilience-building to the hazard risks associated with a changing climate:
- Investing in resilience-building to a changing climate is investing in sustainable development;
- Investing in proactive resilience-building to a changing climate can significantly limit the immediate losses and future cost of recovery from climate events;
- An enabling environment for the adoption of appropriate technologies and practices is necessary to ensure that national, regional, and international commitments with respect to the causes and effects of a changing climate are fulfilled;
- Effective collaboration with other regional and international state actors and organisations must be an integral part of resilience-building to a changing climate;
- Reducing the singular and cumulative impacts of natural disasters can alleviate development challenges;
- Access to information and transparency in planning and implementation

(taken from the regional framework p.22)

Strategic element 1: Mainstream climate change adaptation strategies into sustainable development / agendas of CARICOM Member States

Existing

Action	Sector	Activity
	or Area	Level
Goal 1: Assess the vulnerability and risks associated with a changing		
climate		
Objective: Manage the adverse effects of climate change on coastal and		
marine resources		
Outcomes:	High	priority
Coral reef research programme institutionalized and providing data to		
guide scientific research by 2021		
• Increased output of peer reviewed and published research for		
contribution to teaching of climate science and to IPCC use		

Action	Sector or Area	Activity Level
Establish a functioning network of Coral Reef Early Warning Systems (CREWS) in selected countries. In addition to Jamaica stations, installations should include Belize, Barbados, Tobago and Saint Lucia	Coastal Zone and Marine	Regional
Monitoring in all countries with coral reef is institutionalized between UWI Centre for Marine Sciences and national agencies	Coastal Zone and Marine	Regional National
Implement and institutionalize regional coral reef research programmes at the CMS and CERMES	Coastal Zone and Marine	Regional
Continue research in coral nursery to identify species that are adaptive to warming sea temperatures and other parameters in selected countries	Coastal Zone and Marine	Regional National
CERMES to be provided with institutional support (building and equipment) to allow for expansion of teaching and research functions	Coastal Zone and Marine	Regional
ERI University of Belize to be provided institutional support (building and equipment) to allow for expansion of teaching and research functions	Coastal Zone and Marine	National
Research and development of models to forecast changes in distribution, abundance and production of commercially important fisheries (e.g. lobsters, conch, shrimp, ground fish, tunas, flyingfish, groupers, snappers etc.) and the social and economic impacts (food security, employment, income etc.) based on different climate scenarios (temp, salinity, pH, etc.) to facilitate planning, decision-making and disaster risk management	Coastal Zone and Marine	Regional National
Establish/strengthen systems to collect and compile fisheries catch and fishing effort data as well as biological, ecological, economic, social, aquaculture and any other relevant data to monitor performance of key commercial and other associated and dependent species in the ecosystem	Coastal Zone and Marine	Regional National
Mapping and valuation of coastal ecosystems and habitats, including coral reefs, sea-grass beds, mangrove systems, to document location, state of health and contribution to economic development	Coastal Zone and Marine	Regional National
Formulate, adopt, implement and revise conservation and management measures and, where appropriate, fisheries management and development plans on the basis of the best available information, including climate change, to achieve optimum sustainable use and long-term conservation of fisheries resources	Coastal Zone and Marine	Regional National
Revise and upgrade regional and national fisheries and marine resource management policies, legislation and regulations to incorporate and address ecosystem approach to fisheries, climate change and DRM considerations	Coastal Zone and Marine	Regional National
Conduct research to develop methodologies and systems for culture of indigenous marine and brackish water and fresh water species to diversify industry, reduce pressures on the living marine resources and build coastal community resilience	Coastal Zone and Marine	Regional National

Action	Sector or Area	Activity Level
Develop and implement programmes aimed at the protection and rehabilitation of degraded fisheries habitats and ecosystems, and the environment generally	Coastal Zone and Marine	Regional National
Strengthen the capability of fisherfolk organisations at the regional, national and local levels to enhance community participation in planning and implementing programmes to increase resilience to climate change.	Coastal Zone and Marine	Regional National
Development of human resource capacity of stakeholders in the fisheries sector to incorporate climate change consideration including DRM in fisheries development and management.	Coastal Zone and Marine	Regional National
Identify, and where possible develop, alternative livelihood opportunities for fisherfolk and other vulnerable groups in the coastal zone	Coastal Zone and Marine	Regional National
Strengthen institutional capability of the CRFM and related institutions to coordinate, monitor and support the mainstreaming of climate consideration and DRR in fisheries and marine resource management	Coastal Zone and Marine	Regional
Climate modelling: The partnership with Caribbean institutions will be strengthened and expanded to produce data at greater resolutions. Continued training and upgrading of Caribbean technical expertise will be facilitated through doctoral and post-doctoral programs. More models will be investigated and utilized that are relevant to the region and that can provide resolution down to 10 square kilometre grids. International cooperation with technical partners will continue.	Multi sector	Regional
Sea level rise: Additional monitors will be installed to strengthen the monitoring network. The Regional Archiving Centre will be further supported at the location in Belize. A routine and permanent maintenance program under the leadership of the CIMH will be in place. Technical coordination and leadership will be the responsibility of the CIMH, NOAA and the DSLI of the UWI.	Multi sector	Regional
Goal 1: Assess the vulnerability and risks associated with a changing		
climate(continued) Objective: Reduce GHG emissions through the promotion and use of renewable and alternative energy sources Outcomes: (None stated)	High	priority
Develop and / or implement new research and teaching areas in alternative energy, waste management, resource economics and GIS / remote sensing.	Coastal Zone and Marine Energy	Regional
Goal 2:Rreduce vulnerability to a changing climate Objective: Limit the effects of climate change on agriculture and food security Outcome: • Adequate food supplies being produced to meet the region's food and nutrition needs by 2021	High	priority
Prepare and implement agriculture sector adaptation strategies for selected countries, learning from the Guyana experience.	Agri- culture	Regional National

Action	Sector	Activity
X7.1 1.11. 1 (C.1	or Area	Level
Vulnerability and capacity assessment of the impacts of climate change on	Multi-	Regional
water, agriculture, tourism, coastal and marine and forestry sectors for the years 2020 and 2050 in all CARICOM states.	sector	National
Prepare water sector adaptation strategies for all CARICOM countries by	Water	Regional
2017.	vv ater	National
Implement water sector adaptation strategies for all CARICOM countries.	Water	National
Develop climate resilient IWRM strategies in all countries.	Water	National
Establish water resources management agencies where necessary and	Water	National
provide additional support where agencies exist.		
Goal 3: Effectively access and utilize resources to reduce vulnerability to		
a changing climate		
Objective: Use of forests to support sustainable development while	High	priority
reducing GHG emissions	8	P
Outcome:		
Common negotiating position on forest issues at UNFCCC Information placing and according to a between according to the COR MOR and the Corp.	Es ma starre	National
Information sharing and coordination between countries at COP MOP on CDM, REDD+ and other forest related initiatives.	Forestry	National
Training and capacity building in REDD+ negotiations, CDM project	Capacity	Regional
development, preparation of NAMAs and development of national low	building	National
carbon strategies.	building	National
Coordinated and common negotiation strategy at UNFCCC.	Multi-	Regional
essiammed and common negotiation strategy at early ever	sector	National
Goal 4: Promote sound conservation practices in coastal and marine		
ecosystems to shelter these resources from climate-induced damage		
Objective: (None stated)	High	priority
Outcomes:		
(None stated)		
Protection, including reforestation, of mangrove swamps in selected coastal	Coastal	Regional
areas.	zone and	National
	marine	
Planning and Policy Davidonment in place for the Coastal and Marine	Coastal	Dagional
Planning and Policy Development in place for the Coastal and Marine Areas (that includes Climate Change and Disaster Risk Reduction	Coastal zone and	Regional National
considerations).	marine	Ivational
considerations).	areas	
Improve governance arrangements by identifying and facilitating the	Coastal	Regional
empowerment and participation of the relevant interest groups (particularly	zone and	National
vulnerable groups in coastal areas (e.g. fisherfolk, civil engineers, etc).	marine	
	areas	
Develop the legislative and regulatory framework to promote/improve	Coastal	Regional
management of the marine and coastal areas (include climate change and	and	National
Disaster Risk management issues).	Marine	
Incorporate Climate Change and the principles of Sustainable Land	Coastal	Regional
Management (SLM) into coastal physical planning and development	and	National
procedures.	Marine	D : 1
Formula distribution with the first term of the		Regional
Ensure that tourism policies and plans conform to the principles of	Multi-	_
sustainable tourism.	sectoral	National
sustainable tourism. Goal 5: Build the Caribbean Community Climate Change Centre's		_
sustainable tourism. Goal 5: Build the Caribbean Community Climate Change Centre's capacity to support the implementation of the strategy	sectoral	National
sustainable tourism. Goal 5: Build the Caribbean Community Climate Change Centre's		-

Action	Sector or Area	Activity Level
• Climate change mitigation and adaptation responses in the region are coordinated in an efficient and transparent manner		
No action clearly related to fisheries or aquaculture		
Goal 6: Reduce the region's carbon footprint through the promotion of		
energy efficiency measures		
Objective: (None stated)	Medium	priority
Outcomes:		
(None stated)		
Develop and implement climate change and DRM awareness / education /	Tourism	Regional
information programme (including relevant fora, websites, databases		
publications, toolkits, guidelines) targeted to key tourism-sector sub-sectors		
and stakeholders (public / private sector organizations, civil society / NGO,		
communities).		
Encourage public and private sector tourism stakeholders and civil society	Tourism	Regional
to apply a mix of technology and behavioural change to address the issue of		National
climate change.		

Additional

Action	Sector or Area	Activity Level
Goal 1: Mainstream DRM into fisheries and aquaculture at multiple levels Objective: To fully recognize the interconnectedness of CCA and DRM, so as to integrate them fully into all fisheries and aquaculture policies and plans by 2015 Outcomes: • CCA and DRM integrated into sustainable fisheries and aquaculture at all points in the fish chain rather than added as an afterthought • Changed mindset and behaviour among diverse stakeholders to adequately understand and address risks from a variety of sources and be proactive	High	priority
More closely link poverty reduction to multi-sector development, EAF,	Fisheries	Multilevel
EAA, DRM and CCA, based for example on the recent CRFM poverty assessment for the region	and Aqua- culture	
Mainstream gender into fisheries and aquaculture DRM and CCA, noting	Fisheries	Multilevel
that this is an area in which the IP admits weakness and can be tackled at	and Aqua-	iviuitiie vei
both national and local levels, especially with civil society assistance	culture	
Introduce the Hyogo Framework of Action within the fisheries and	Fisheries	Multilevel
aquaculture sectors, and facilitate implementation of multilevel action via	and Aqua-	
closer networking among fisheries, aquaculture and DRM practitioners	culture	
Support the development of crisis prevention and management policies in	Fisheries	Multilevel
the fisheries and aquaculture sectors at multiple levels by involving	and Aqua-	
networks of national and local CCA and DRM experts with aquatic skills	culture	
Improve the systems for expedient rebuilding and rehabilitation of the	Fisheries	Multilevel
aquaculture, but especially fisheries sector, in the aftermath of natural	and Aqua-	
disasters as key contributors to food security at local and national levels	culture	
Strengthen the capacity of the CRFM, as a leading regional fisheries body	Fisheries	Multilevel
(RFB), to advocate the inclusion of fisheries and aquaculture into DRM	and Aqua-	
and CCA through CARICOM's regional and national mechanisms	culture	

Action	Sector or Area	Activity Level
Increase the capacities of fishers and coastal communities to deal with more extreme rough sea events by improving safety at sea, early warning systems and community-based or assisted rescue capability	Fisheries and Aqua- culture	Multilevel
Increase the capacities of fishers and coastal communities to participate and engage in policy development processes that utilize EBM and other integrated approaches, either as individuals or community-based groups	Fisheries and Aqua- culture	National
Strengthen the ability of coastal and rural communities to properly assess damage and loss following the impacts of an extreme weather event or slow onset events	Fisheries and Aqua- culture	Regional National

Strategic element 2: Promote the implementation of specific adaptation measures to address key vulnerabilities in the region

Existing

Action	Sector or Area	Activity Level
Goal 1: Promote the adoption of measures and disseminate information that would make water supply systems resilient to climate-induced damage Objective: Manage the adverse effects of climate change on water resources Outcomes: • Integrated Water Resources Management policies and strategies in place for prudent management of water resources • Appropriate distribution and storage infrastructure in place to satisfy water quality and demand across all sectors • Measures are in place to facilitate installation of resilient infrastructure to cope with extreme events (floods & droughts)	Medium	priority
Assessment, quantification and mapping of surface water resources in CARICOM Member States.	Water	Regional National
Vulnerability and capacity assessment of the impacts of climate change on water in all countries.	Water	National
Assessment, quantification and evaluation of water demand and consumption patterns.	Water	National
Prepare water sector adaptation strategies for all CARICOM countries by 2015.	Water	Regional National
Implement water sector adaptation strategies for all CARICOM countries.	Water	National
Goal 2: Promote the implementation of measures to reduce climate impacts on coastal and marine infrastructure Objective: Manage the effects of climate change on coastal and marine area Outcomes: • Reduced vulnerability and increased resilience of coastal communities and infrastructure • Reduced vulnerability and increased resilience of coastal and marine ecosystems • Strengthen food security, availability and distribution infrastructure and mechanisms to meet the requirements during normal times and during disasters	High	priority

Action	Sector or Area	Activity Level
Mangrove reforestation in selected coastal areas of Jamaica (GCCA), Guyana and Suriname.	Coastal Zone and Marine	National
Expansion of commercial fish processing and storage facilities and infrastructure (canneries) and value added products.	Coastal Zone and Marine	National
Planning and policy development for the coastal and marine areas (including EAF, EAA, CCA and DRM considerations).	Coastal Zone and Marine	Regional National
Improve governance arrangements by identifying and facilitating the empowerment and participation of the relevant interest groups (particularly vulnerable groups in coastal areas).	Coastal Zone and Marine	Regional National
Develop the legislative and regulatory framework to promote/improve management of the marine and coastal areas (include climate change and Disaster Risk Management issues).	Coastal Zone and Marine	Regional National
Incorporate Climate Change and the principles of Sustainable Land Management (SLM) into coastal physical planning and development procedures.	Coastal Zone and Marine	Regional National
Ensure that tourism policies and plans conform to the principles of sustainable tourism.	Coastal Zone and Marine	Regional National
information that adapt tourism activities to climate impacts Objective: reduced vulnerability and increased resilience of the tourism sector to the adverse impacts of climate change. Outcomes: • Enhance the integration of the CCA and DRM strategies and response measures into the tourism sector • Policy and decision makers are provided with research findings for planning and decision making • Appropriate adaptation and mitigation policies, strategies and plans implemented	Medium	priority
Assess the socio-economic impacts of climate change on tourism.	Tourism	Not stated
Develop / enhance tourism-related policies, strategies and plans to incorporate sustainable tourism principles and mainstream climate change adaptation and mitigation and DRM issues and recommendations.	Tourism	Not stated
Fiscal regime to encourage sustainable construction in less vulnerable coastal zones	Tourism	Not stated
Goal 4: Promote sound conservation practices in coastal and marine ecosystems to shelter these resources from climate-induced damage Objective: (None stated) Outcomes: (None stated)	High	priority
CFRM to continue work in technical research priority areas and provide technical assistance to national governments.	Coastal Zone and Marine	Regional National
Protection, including reforestation, of mangrove swamps in selected coastal areas.	Coastal Zone and Marine	Regional National

Action	Sector or Area	Activity Level
Planning and Policy Development for the Coastal and Marine Areas (that includes EAF, EAA, CCA and DRM considerations).	Coastal Zone and	Regional National
Turneys and an arrange are the identifying and facilitating the	Marine Coastal	Not
Improve governance arrangements by identifying and facilitating the empowerment of the relevant interest groups (vulnerable groups) in coastal	Zone and	stated
areas (e.g. fisherfolk, civil engineers, etc).	Marine	[Regiona
		National]
Develop the legislative and regulatory framework to promote management of the marine and coastal areas (include climate change and Disaster Risk	Coastal Zone and	Not stated
Management Issues)	Marine	[Regiona
		l National]
Incorporate Climate Change and the principles of Sustainable Land Management (SLM) into coastal physical planning and development	Coastal Zone and	Not stated
procedures.	Marine Marine	[Regiona
		l National]
Ensure that tourism policies and plans conform to the principles of sustainable tourism.	Coastal Zone and	Not
tourism.	Marine	stated [Regiona
		l National]
Goal 5: Promote the adoption of sound practices and measures to prevent		rationar
and/or reduce climate-induced health impacts in the community Objective: Limit the effects of climate change on human health		
Outcomes:	Medium	priority
• Measures are in place to prevent or reduce the incidence of diseases and health related issues exacerbated by climate change.		P
• Monitoring and early warning systems are in place to enable effective first		
response and management during and after events/ episodes. Conduct research on linkages between climate and health, with emphasis on	Health	National
diseases and impacts.	TT 1:1	NT (' 1
Sensitize stakeholders, including the general public and health professionals, to the role and threat of climate change in determining wellbeing and health.	Health	National

Additional

Action	Sector or	Activity
	Area	Level
Goal 1: Promote the adoption of measures and disseminate information that would make fisheries and aquaculture resilient to climate-induced damage primarily through a sustainable livelihoods approach Objective: Manage the adverse effects of climate change and disasters on fisheries and aquaculture Outcomes: • Policies and strategies in place for prudent public and private sector management of risks • Measures are in place to facilitate resilient social ecological systems to cope with extreme events	Medium	priority

Action	Sector or Area	Activity Level
Build local and national capacities to undertake hazard identification and	Fisheries	Multilevel
analysis, undertake risk assessments and compile risk mapping down to the	and Aqua-	
local level to facilitate empowerment and strengthen subsidiarity	culture	
Develop education and training for the entire DRM cycle, especially for	Fisheries	Multilevel
climate-related disaster mitigation, and also strengthening local early	and Aqua-	
warning and response capacities at multiple levels	culture	
Develop stronger partnerships to mobilize resources for implementation of	Fisheries	Multilevel
programmes and projects within the fisheries and aquaculture sectors via	and Aqua-	
networked fisheries, aquaculture, CCA and DRM stakeholders	culture	
Design rehabilitation, reconstruction and recovery activities to rebuild	Fisheries	Multilevel
livelihoods that are more resilient than those existing before the disaster;	and Aqua-	
avoid contributing to 'poverty traps' by increasing aid dependencies	culture	
Document, analyse and understand local knowledge, existing strengths,	Fisheries	Multilevel
coping strategies and community measures in disaster-prone coastal	and Aqua-	
communities so as to build on and share lessons about their resilience	culture	
Create channels through which local knowledge and culture can be included	Fisheries	Multilevel
in policy and be used to inform implementation as part of any action plan	and Aqua-	
	culture	
Ensure that small-scale fisheries and aquaculture do not contribute to land	Fisheries	Multilevel
or coastal degradation and exacerbate issues of climate change and disasters	and Aqua-	
	culture	
Develop innovative insurance products, including for income insurance	Fisheries	Multilevel
where applicable, that can be tied to existing implementation capacity	and Aqua-	
	culture	
Plan strategically in disaster response for long-term rehabilitation and	Fisheries	Multilevel
recovery bearing in mind the sustainability of livelihoods, management, and	and Aqua-	
any changes to ecosystems that occurred as a result of the disaster	culture	3.6.1.21 1
Record and disseminate local knowledge and good practices through	Fisheries	Multilevel
DRM/CCA action learning networks or other means of social learning	and Aqua-	
Maritan and analysis the invalence of (2) fields in a	culture	N 414:11
Monitor and evaluate the implementation of (?) fisheries management plans	Fisheries	Multilevel
in such a way as to be prepared to replace physical infrastructure,	and Aqua-	
technology and practices with improvements should disaster recovery allow	culture	
wholesale replacements		

Strategic element 3: Promote actions to reduce greenhouse gas emissions through fossil fuel reduction and conservation, and switching to renewable and cleaner energy sources

Existing

Action	Sector or	Activity
	Area	Level
Goal 1: Promote the use of renewable energy resources		
Objective: Adopt energy efficiency and conservation methods.		
Outcomes:		
Policies and legislation in place to remove barriers and promote	Medium	priority
investment in renewable and alternative energy.		
• Energy reduction target in place at the CARICOM level.		
• Demand side power management institutionalized in the region.		
No action clearly related to fisheries or aquaculture		
The delical crossing relation to include of administration		

Action	Sector or Area	Activity Level
Goal 1: Promote the use of renewable energy resources (continued) Objective: Reduce GHG emissions through the promotion and use of renewable energy and alternative energy sources. Outcome: • Renewable energy provides up to xx percent of energy supply in CARICOM countries as group by 2021.	Medium	priority
No action clearly related to fisheries or aquaculture		
Goal 2: Support the assessment of wind potential to supply electric power in CARICOM countries Objective: Reduce GHG emissions through the promotion and use of renewable energy and alternative energy sources. Outcomes: (None stated)	Medium	priority
No action clearly related to fisheries or aquaculture		
Goal 3: Support the development of innovative financing mechanisms for the deployment of solar energy (water heaters, etc) Objective: Reduce GHG emissions through the promotion and use of renewable energy and alternative energy sources. Outcomes: (None stated)	Medium	priority
No action clearly related to fisheries or aquaculture		
Goal 4: Assess the feasibility of converting waste to energy in CARICOM Countries Objective: Reduce GHG emissions through the promotion and use of renewable energy and alternative energy sources. Outcomes: (None stated)	Low	priority
No action clearly related to fisheries or aquaculture		
Goal 5: Assess the economic viability and environmental impact of shore-based Ocean Thermal Energy Conversion (OTEC) plants Objective: Reduce GHG emissions through the promotion and use of renewable energy and alternative energy sources. Outcomes: (None stated)	Low	priority
No action clearly related to fisheries or aquaculture		

Additional

Action	Sector or	Activity
	Area	Level
Goal 1: Promote in aquaculture and fisheries the use of renewable energy resources and the conversion of waste into new by-products Objective: Improve energy efficiency and conservation, and waste utilization consistent with global green economy initiatives Outcomes: • Policies and programmes in place to promote investment in affordable renewable and alternative energy advances • Energy demand in fisheries and aquaculture declines at all points along the value chain	Medium	priority

Action	Sector or Area	Activity Level
• Income to support livelihoods is generated from items that were waste		
Increase research, development and investment funding for green technology such as supplemental wind and solar power for fishing vessels and processing plants, and vessel designs or powering to reduce fossil fuel consumption.	Fisheries and Aqua- culture	Multilevel
Align aquaculture and onshore fish processing to take advantage of advances in agriculture and other industries including implementing energy audits, etc.	Fisheries and Aqua- culture	Multilevel
Accelerate research into and development of biofuels and the use of more efficient and affordable outboard and inboard engines in fishing vessels	Fisheries and Aqua- culture	Multilevel

Strategic element 4: Promote actions to reduce the vulnerability of natural and human systems in CARICOM Member States to the impacts of a changing climate

Existing

Action	Sector or Area	Activity Level
Goal: (not stated, so revert to the language of the strategic element) Objective: Limit the effects of climate change on agriculture and food security Outcomes: • Enhanced food security through adequate food supplies being produced to meet the region's food and nutrition needs by 2021 • Enhanced and secured livelihoods in the agriculture sector	High	priority
Expanded extension and support services for farmers.	Agri- culture	National
Develop and institutionalize infrastructure and logistics to support post-harvest handling, transportation, distribution and marketing of food within and amongst individual countries, based on needs and local conditions.	Agri- culture	Regional National
Regional and national emergency preparedness institutions to become an integral part of the climate change adaptation response strategy.	Agri- culture Coastal Zone	Regional National
Goal: (not stated, so revert to the language of the strategic element) Objective: Limit the effects of climate change on agriculture and food security Outcomes:	High	priority
Add value to agriculture production through processing		
Develop and implement policies and other measures to promote investment in the processing of agricultural products, to add value and variety to output for food and other uses.	Agri- culture	National

Additional

Action	Sector or Area	Activity Level
Goal: (not stated, so revert to the language of the strategic element) Objective: Limit the effects of climate change on fisheries and aquaculture contribution to food security (?) Outcomes: • Enhanced food security through adequate food supplies being produced to meet the region's food and nutrition needs by 2021 • Enhanced and secured livelihoods in the fisheries and aquaculture sector	High	priority
Use network and institutional analysis as tools to establish institutional arrangements that span from local to national to regional levels easily	Fisheries and Aqua- culture	Multi-level
Adopt a livelihoods approach to fisheries and aquaculture policies and plans within CCA, and the design and implementation of disaster preparedness, response and recovery programmes	Fisheries and Aqua- culture	Multi-level
Work with a range of agencies, including civil society, to implement systematic approaches to livelihood diversification for fishing and aquaculture communities to build resilience and reduce vulnerability	Fisheries and Aqua- culture	Multi-level
Ensure that while strengthening coastal community based organizations (CBOs) in DRM/CCA they are sensitised to fisheries and aquaculture, preferably by linking them to site-based fisherfolk organisations	Fisheries and Aqua- culture	Multilevel
Build public sector / private sector (social) partnerships at national level in CRFM countries to better mobilise resources including knowledge	Fisheries and Aqua- culture	Multilevel

Strategic element 5: Promote actions to derive social, economic and environmental benefits from the prudent management of standing forests in CARICOM countries

Existing

Action	Sector or Area	Activity Level
Goal 1: Promote the adoption of best practices for sustainable forest management Objective: Use of forests to support sustainable development while reducing GHG emissions. Outcomes: • Policies and legislation are based on scientific assessments. • Protocols and manuals as part of management plans to guide operations within forests and protected areas. • Value added to timber through production and processing of wood products in-country.	Medium	Priority
Economic valuation of forest resources (timber and non-timber products and services) in selected countries.	Forestry	National
Enact legislation to support implementation of management plans.	Forestry	National
Goal 2: Engage in negotiations with international partners to mobilise resources for the protection of standing forests Objective: Use of forests to support sustainable development while reducing GHG emissions. Outcomes:	Low	Priority

Action	Sector or Area	Activity Level
 Common negotiating position on forest issues at UNFCCC. Significant resources mobilized to support national sustainable development initiatives. 		
No action clearly related to fisheries or aquaculture		
Goal 3: Undertake research aimed at improving the current methodologies for estimating carbon sequestration rates in tropical forests Objective: Use of forests to support sustainable development while reducing GHG emissions. Outcomes: • Research programs in place to support forest and protected areas management.	Low	Priority
No action clearly related to fisheries or aquaculture		

Additional

Action	Sector or Area	Activity Level
Goal 1: Promote sustainable forest policy and management that incorporates ecosystem approaches to fisheries and aquaculture Objective: Use of forests to support sustainable development and maintain services from connected ecosystems Outcomes: • Improved policies and plans based on comprehensive resource valuation • Plans and practices in forested areas are guided by ecosystem approaches	Medium	priority
Add to the cases of economic valuation of coastal forests such as mangrove for alternative uses including those related to fisheries and aquaculture; share lessons from the valuation studies in order to guide policy	Fisheries and Aqua- culture	Multi-level
Enhance the linkages between forest management in upland watersheds and coastal management, including aspects such as ensuring freshwater supplies and flows sufficient for both aquaculture and coastal ecosystems	Fisheries and Aqua- culture	Multi-level

7 ANNEX

Annex 1: Liliendaal Declaration on Climate Change and Development

"We, the Heads of State and Government of the Caribbean Community, at our Thirtieth Meeting of the Conference in Liliendaal, Guyana from 2–5July 2009, affirm our commitment to the principles and objectives of the Caribbean Community as embodied in the Revised Treaty of Chaguaramas establishing the Caribbean Community including the CARICOM Single Market and Economy:

- 1. **Recalling** the objective, principles and commitments of the 1992 United Nations Framework Convention on Climate Change (UNFCCC) and its Kyoto Protocol;
- 2. **Gravely concerned** that our efforts to promote sustainable development and to achieve the internationally agreed development goals including the Millennium Development Goals(MDGs) are under severe threat from the devastating effects of climate change and sea level rise which has led to increasingly frequent and intense extreme weather events, damage to biodiversity, coral bleaching ,coastal erosion, changing precipitation patterns.

- 3. **Emphasising** that dangerous climate change is already occurring in all SIDS (Small Islands and Low-lying Coastal Developing States(SIDS)) regions including the Caribbean and that many SIDS will cease to exist without urgent, ambitious and decisive action by the international community to reduce global greenhouse gas emissions significantly and to support SIDS in their efforts to adapt to the adverse impacts of climate change, including through the provision of increased levels of financial and technical resources.
- 4. **Very concerned** that the estimated total annual impact of potential climate change on all CARICOM countries is estimated atUS\$9.9 billion in the total Gross Domestic Product (GDP) in 2007 US\$ prices or about 11.3% of the total annual GDP of all 20 CARICOM countries (Member States and Associate Member States) according to the World Bank estimates;

WE affirm:

- 1. **Our belief** that the global response to climate change should be undertaken on the basis of common but differentiated as well as historical responsibility and that it should not compromise the ability of SIDS to pursue Sustainable Development and the sharing of the cost of addressing climate change should be equitable and should not perpetuate poverty.
- 2. **Our continued commitment to** the work of the Intergovernmental Panel on Climate Change (IPCC) and call on all Parties to ensure that UNFCCC decisions are guided by that work;
- 3. **Our Endorsement** for the Caribbean Challenge in its efforts to protect the Region's Marine Resources and in its work towards fulfilling the UNFCCC ecosystem-based management and adaptation recommendations and implementing the Millennium Development Goals related to reducing biodiversity loss;
- 4. **Support for** the coordinating role of the CARICOM Task Force for Climate Change and Development established by the Conference of Heads of State and Government and the implementing role of the Caribbean Community Climate Change Centre (CCCCC) and the roles of the CARICOM Secretariat, the Alliance of Small Island Developing States (AOSIS) chaired by the Government of Grenada and the CARICOM Representatives in the international climate change negotiations; and
- 5. **The importance** of a common Regional approach to address the threats and challenges of climate change and of the full and effective participation of the Region in the upcoming United Nations Climate Change Conference in Copenhagen, Denmark (COP15), the UN Secretary- General's Climate Change Summit in September 2009 and their preparatory processes.

WE Declare:

- 1. **That all Parties to the UNFCCC should** work with an increased sense of urgency and purpose towards arriving at an ambitious and comprehensive agreement at the COP15 in Copenhagen in 2009 which provides for: long-term stabilisation of atmospheric greenhouse gas concentrations at levels which will ensure that global average surface temperature increases will be limited to well below1.5°C of pre-industrial levels; that global greenhouse gas emissions should peak by 2015; global CO₂ reductions of at least 45 percent by 2020 and reducing greenhouse gas emissions by more than 95 percent of 1990 CO₂ levels by 2050;
- 2. **Adaptation** and capacity building must be prioritised and a formal and well financed framework established within and outside of the Convention, including the multi-window

insurance facility, to address the immediate and urgent, as well as long term, adaptation needs of vulnerable countries, particularly the SIDS and the LDCs;

- 3. **The need** for financial support to SIDS to enhance their capacities to respond to the challenges brought on by climate change and to access the technologies that will be required to undertake needed mitigation actions and to adapt to the adverse impacts of climate change;
- 4. **Our full support for the** location of the Headquarters of the UNFCCC Adaptation Fund Board in Barbados:
- 5. **Support** for climate change negotiations to be fully cognisant of the requirement for improved land use management;
- 6. **Our recognition** of the value and potential of standing forest, including pristine rainforest, and our affirmation of its potential contribution to Reduced Emissions from Deforestation and Degradation (REDD). Forest conservation or avoided deforestation and sustainable management of forests are important mitigation tools against climate change in a post 2012 Agreement. We also support the approach to harmonizing climate change mitigation and economic development as proposed by Guyana in its Low Carbon Development Strategy;
- 7. **Strong determination** to overcome technical, economic and policy barriers to facilitate the development, diffusion and deployment of appropriate and affordable low-and zero-emission technologies and renewable energy services; We also recognise the need for energy efficiency and conservation and the need for increased technical and financial support for the development of renewable energy in the Caribbean;
- 8. **Our commitment** to providing more effective preparedness for response to natural disasters through the development of better risk assessment and material coordination along with the streamlining of risk reduction initiatives. In pursing this task, we call on the Parties negotiating the new Climate Change Agreement to endorse the Alliance for Small Island Developing States (AOSIS) proposal on risk management and risk reduction strategies, including risk sharing and transfer mechanisms such as insurance;
- 9. **Strong support** for the streamlining of all climate change funding mechanisms including the Global Environment Facility to include the vulnerability index in their formulae in order to better facilitate SIDS' access to financial resources; and to explore mechanisms to support the Caribbean Community adaptation programmes;
- 10. **Our commitment** to ensuring that the Caribbean Community and its supporting institutions will play their full part in implementing our shared vision, goals and actions, working in strategic partnerships with others;
- 11. **Our resolve** to strengthen our educational institutions to provide training, education, research and development programmes in climate change and disaster risk management particularly in renewable and other forms of alternative energy, forestry, agriculture, tourism, health, coastal zone management and water resources management to increase the Region's capacity to build resilience and adapt to climate change; and
- 12. **Our further resolve** to institute a comprehensive programme of public awareness and education and hereby invite all, partners, organisations and stakeholders to play a full part in promoting a better understanding of climate change and its impacts and in addressing adaptation and mitigation".

4July2009

Issued at the conclusion of the 30th Meeting of the Conference of Heads of Government of the Caribbean Community, 2-5 July2009, Georgetown, Guyana